# Quantum Computation (CO484) <br> Quantum Cryptography with No Cloning 

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## Cloning of Qubits?

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Is it possible to create a second copy of a general qubit $|\psi\rangle$ using a unitary operation $\mathbf{U}$.


Theorem (No Cloning Theorem)
The exists no unitary transformation $\mathbf{U}$ such that

$$
\mathbf{U}|\psi\rangle|0\rangle=|\psi\rangle|\psi\rangle
$$

for all qubits $|\psi\rangle \in \mathbb{C}^{2}$.

## Argument

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\mathbf{U}(\alpha|\psi\rangle+\beta|\phi\rangle)|0\rangle & =\alpha \mathbf{U}(|\psi\rangle)|0\rangle+\beta \mathbf{U}(|\phi\rangle)|0\rangle \\
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but also if $\mathbf{U}$ is a cloning operator:

$$
\begin{aligned}
\mathbf{U}(\alpha|\psi\rangle+\beta|\phi\rangle)|0\rangle= & (\alpha|\psi\rangle+\beta|\phi\rangle)(\alpha|\psi\rangle+\beta|\phi\rangle) \\
= & \alpha^{2}|\psi\rangle|\psi\rangle+\beta^{2}|\phi\rangle|\phi\rangle \\
& +\alpha \beta|\psi\rangle|\phi\rangle+\alpha \beta|\phi\rangle|\psi\rangle
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\end{aligned}
$$

Only for $\alpha=0$ or $\beta=0$ we have

$$
\begin{aligned}
\alpha|\psi\rangle|\psi\rangle+\beta|\phi\rangle|\phi\rangle= & \alpha^{2}|\psi\rangle|\psi\rangle+\beta^{2}|\phi\rangle|\phi\rangle \\
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\mathbf{U}(|\psi\rangle \otimes|0\rangle) \approx|\psi\rangle \otimes|\psi\rangle \text { and } \mathbf{U}(|\phi\rangle \otimes|0\rangle) \approx|\phi\rangle \otimes|\phi\rangle
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By unitarity - U preserving inner products - we get

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(|\psi\rangle|0\rangle)^{\dagger}(|\phi\rangle|0\rangle)=\langle\psi \mid \phi\rangle\langle 0 \mid 0\rangle=\langle\psi \mid \phi\rangle \approx\langle\psi \mid \phi\rangle^{2}
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$$

Thus $\langle\psi \mid \phi\rangle \approx 0$ or $\langle\psi \mid \phi\rangle \approx 1$.

## Communication on Insecure Channels



Bob

## Communication on Insecure Channels



## Communication on Insecure Channels



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## Communication on Insecure Channels



$$
\begin{aligned}
E N C\left(T, K_{A}\right) & =M \\
D E C\left(M, K_{B}\right) & =T \\
\operatorname{DEC}\left(E N C\left(T, K_{A}\right), K_{B}\right) & =T
\end{aligned}
$$

## One-Time-Pad or Vernam Cipher

Gilbert Sandford Vernam, 1917

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K=K_{A}=K_{B} \\
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Caveat: Never ever reuse random key K!

## Example

$$
\begin{array}{lllllll}
T & 0 & 1 & 1 & 0 & 1 & 1
\end{array}
$$

## Example

$$
\begin{array}{llllllll}
T & & 0 & 1 & 1 & 0 & 1 & 1 \\
K & \oplus & 1 & 1 & 1 & 0 & 1 & 0
\end{array}
$$

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\begin{array}{llllllll}
T & & 0 & 1 & 1 & 0 & 1 & 1 \\
K & \oplus & 1 & 1 & 1 & 0 & 1 & 0 \\
\hline M & & 1 & 0 & 0 & 0 & 0 & 1 \\
& & & & & & \\
& & \downarrow & \downarrow & \downarrow & \downarrow & \downarrow & \downarrow \\
& & & & & & & \\
M & 1 & 0 & 0 & 0 & 0 & 1
\end{array}
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$$
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& & \downarrow & \downarrow & \downarrow & \downarrow & \downarrow & \downarrow \\
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K & \oplus & 1 & 1 & 1 & 0 & 1 & 0
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& & & & & & & \\
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These quantum techniques aim in addressing two security aims:

Authentication. Is sender really Alice?
Intrusion Detection. Is Eve eavesdropping?

## BB84

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The protocol is based on the use of two (computational) bases:

$$
\begin{gathered}
\overleftrightarrow{\downarrow}=\{|\mathfrak{q}\rangle,|\leftrightarrow|\}=\left\{(1,0)^{T},(0,1)^{T}\right\} \\
\mathbb{X}=\{|\mathbb{\searrow}\rangle,|\boldsymbol{Z}\rangle\}=\left\{\frac{1}{\sqrt{2}}(-1,1)^{T}, \frac{1}{\sqrt{2}}(1,1)^{T}\right\}
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\begin{gathered}
\mathfrak{\Im}=\{|\mathfrak{\downarrow}\rangle,|\leftrightarrow|\}=\left\{(1,0)^{T},(0,1)^{T}\right\} \\
\mathbb{X}=\{|\mathbb{\Sigma}\rangle,|\swarrow\rangle\}=\left\{\frac{1}{\sqrt{2}}(-1,1)^{T}, \frac{1}{\sqrt{2}}(1,1)^{T}\right\}
\end{gathered}
$$

Interpretation of messages in both basis

| M | 予 | X |
| :---: | :---: | :---: |
| 0 | ↔ | 亿) |
| 1 |  | \} |

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Assume that Alice sends 0 encoded as $|\swarrow\rangle$ in the $\nwarrow$ basis but Bob uses $\uparrow$ to measure it: In this case he will measure $|\uparrow\rangle$ or $|\leftrightarrow|$ with $50 \%$ chance, i.e. concludes with a 50:50 chance that Alice intended to send 0 or 1 respectively.

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However, if they don't agree on the measurement base, Bob will make the wrong assumption of what Alice has sent.

Assume that Alice sends 0 encoded as $|\swarrow\rangle$ in the $\chi$ basis but Bob uses $\uparrow$ to measure it: In this case he will measure $|\uparrow\rangle$ or $|\leftrightarrow|$ with $50 \%$ chance, i.e. concludes with a 50:50 chance that Alice intended to send 0 or 1 respectively. This is due to the following obvious facts that:

$$
\begin{array}{ll}
|\nwarrow\rangle=\frac{1}{\sqrt{2}}(|\downarrow\rangle-|\leftrightarrow\rangle) & |\uparrow\rangle=\frac{1}{\sqrt{2}}(|\nearrow\rangle+|\nwarrow\rangle) \\
|\swarrow\rangle=\frac{1}{\sqrt{2}}(|\uparrow\rangle+|\leftrightarrow\rangle) & |\leftrightarrow\rangle=\frac{1}{\sqrt{2}}(|\swarrow\rangle-|\nwarrow\rangle)
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Step 3. Over the classical channel Alice and Bob compare which basis they used for each bit. If they agree they keep it otherwise they drop it.

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Step 3. Over the classical channel Alice and Bob compare which basis they used for each bit. If they agree they keep it otherwise they drop it.
Step 4.a Bob choose a part (e.g. half) of the transmitted bits (drops them) and compares them openly with Alice.

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Step 1.b Alice randomly chooses $n$ times whether to use $\uparrow$ or $\chi$ to encode each bit.
Step 2.a Alice encodes the bits accordingly in the bases and sends the qubits to Bob.
Step 2.b Bob randomly chooses $n$ times whether to use $\overleftrightarrow{\leftrightarrows}$ or to measure the qubits he got and measures them.
Step 3. Over the classical channel Alice and Bob compare which basis they used for each bit. If they agree they keep it otherwise they drop it.
Step 4.a Bob choose a part (e.g. half) of the transmitted bits (drops them) and compares them openly with Alice.
Step 4.b If these test bits do not agree (subject to transmission errors) Alice and Bob conclude that Eve was eavesdropping and abandon

## Example

$$
\begin{array}{l|llllllllllll}
K_{A} & 0 & 1 & 1 & 0 & 1 & 1 & 1 & 0 & 1 & 0 & 1 & 0
\end{array}
$$

## Example

| $K_{A}$ | 0 | 1 | 1 | 0 | 1 | 1 | 1 | 0 | 1 | 0 | 1 | 0 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $B_{A}$ | $\Phi$ | $\ddagger$ | $X$ | $\Phi$ | $\Phi$ | $\uparrow$ | $X$ | $\ddagger$ | $X$ | $X$ | $X$ | $\ddagger$ |

## Example

## Example



## Example

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## Example



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| $K_{A}$ <br> $B_{A}$ | $\begin{gathered} 0 \\ \stackrel{\uparrow}{\leftrightarrows} \end{gathered}$ | $\begin{gathered} 1 \\ \stackrel{\leftrightarrow}{\leftrightarrows} \end{gathered}$ | 1 | $\begin{gathered} 0 \\ \stackrel{\uparrow}{\uparrow} \end{gathered}$ | $\begin{gathered} 1 \\ \stackrel{~}{\leftrightarrows} \end{gathered}$ | $\stackrel{1}{\overleftrightarrow{\downarrow}}$ | $\begin{array}{r}1 \\ \times \\ \hline\end{array}$ |  | 1 <br> $\times$ | $\begin{array}{r}0 \\ \times \\ \hline\end{array}$ | 1 | $\stackrel{0}{\uparrow}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | $\leftrightarrow$ | $\downarrow$ | $\Sigma$ | $\leftrightarrow$ | 1 | 1 | $\Sigma$ | $\leftrightarrow$ | $\Sigma$ | $\checkmark$ |  | $\leftrightarrow$ |
|  | $\downarrow$ | $\downarrow$ | $\downarrow$ | $\downarrow$ | $\downarrow$ | $\downarrow$ | $\downarrow$ | $\downarrow$ | $\downarrow$ | $\downarrow$ |  | $\downarrow$ |
| $B_{B}$ | X | $\stackrel{\leftrightarrow}{\downarrow}$ | X | X | $\stackrel{+}{*}$ | X | $\stackrel{\uparrow}{\uparrow}$ | $\stackrel{\downarrow}{ }$ | $X$ | $\chi$ |  | $\uparrow$ |
| obs | $\nearrow$ | $\downarrow$ | § | 邓 | 1 | $\nearrow$ | 1 | $\leftrightarrow$ | § | $\checkmark$ | $\Sigma$ | $\leftrightarrow$ |
| $K_{B}$ | 0 | 1 | 1 | 1 | 1 | 0 | 1 | 0 | 1 | 0 | 1 | 0 |
|  |  | $\checkmark$ | $\checkmark$ |  | $\checkmark$ |  |  | $\checkmark$ | $\checkmark$ | $\checkmark$ | $\checkmark$ | $\checkmark$ |
| K |  | 1 | 1 |  | 1 |  |  | 0 | 1 | 0 | 1 | 0 |

## B92

## Charles Bennett 1992

The idea is to use a non-orthogonal basis to encode 0 and 1 , e.g.

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B=\{|\leftrightarrow\rangle,|\swarrow\rangle\}=\left\{(1,0)^{T}, \frac{1}{\sqrt{2}}(1,1)^{T}\right\}
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Step 1. Alice chooses $n$ random bits and encodes them, e.g. $0 \equiv|\leftrightarrow\rangle$ and $1 \equiv|\swarrow\rangle$ and send these qubits to Bob.

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Step 1. Alice chooses $n$ random bits and encodes them, e.g. $0 \equiv|\leftrightarrow|$ and $1 \equiv\left|\swarrow^{7}\right\rangle$ and send these qubits to Bob.
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Step 3. Bob tells Alice over an open classical which qubits he considers ambiguous in order to drop them.
Again - as in BB84 - some bits can be sacrificed to see if an extensive number of "transmission errors" indicates that Eve was eavesdropping and abandon transmission.

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$|\Sigma\rangle$ Bob knows that Alice sent $0 \equiv|\leftrightarrow\rangle$.

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$|\swarrow\rangle$ Bob drops this bit.

In the average three quarters of the qubits have to be discarded.

## Example

$$
\begin{array}{l|llllllllllll}
K_{A} & 0 & 0 & 1 & 0 & 1 & 0 & 1 & 0 & 1 & 1 & 1 & 0
\end{array}
$$

## Example

$$
\begin{array}{l|llllllllllll}
K_{A} & 0 & 0 & 1 & 0 & 1 & 0 & 1 & 0 & 1 & 1 & 1 & 0 \\
& \leftrightarrow & \leftrightarrow & \nearrow & \leftrightarrow & \nearrow & \leftrightarrow & \nearrow & \leftrightarrow & \nearrow & \nearrow & \nearrow & \leftrightarrow
\end{array}
$$

## Example

$K_{A} \left\lvert\, \begin{array}{cccccccccccc}0 & 0 & 1 & 0 & 1 & 0 & 1 & 0 & 1 & 1 & 1 & 0 \\ \leftrightarrow & \leftrightarrow & \nearrow & \leftrightarrow & \nearrow & \leftrightarrow & \nearrow & \leftrightarrow & \nearrow & \nearrow & \nearrow & \leftrightarrow \\ & \downarrow & \downarrow & \downarrow & \downarrow & \downarrow & \downarrow & \downarrow & \downarrow & \downarrow & \downarrow & \downarrow \\ & \downarrow\end{array}\right.$

## Example



## Example

| $K_{A}$ | $\leftrightarrow$ | $\stackrel{0}{↔}$ | $\begin{aligned} & 1 \\ & \nearrow \end{aligned}$ | $\stackrel{0}{\leftrightarrow}$ | ${ }^{1}$ | $\stackrel{0}{\bullet}$ | $\begin{aligned} & 1 \\ & \nearrow \end{aligned}$ | $\stackrel{0}{↔}$ | ${ }_{\square}^{1}$ | ${ }_{\square}^{1}$ | ${ }^{1}$ |  | $\leftrightarrow$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | $\downarrow$ |  | $\downarrow$ | $\downarrow$ |  | $\downarrow$ |  |  |  |  |  |  |  |
| $\begin{aligned} & B_{B} \\ & \text { obs } \end{aligned}$ | $\underset{\text { X }}{\substack{\text { S }}}$ | $\stackrel{\uparrow}{\leftrightarrow}$ | $X$ | $\underset{\nwarrow}{\mathbb{X}}$ |  |  | 出 |  |  |  |  |  |  |

## Example

| $K_{\text {A }}$ |  | $\stackrel{0}{\leftrightarrow}$ | $\begin{aligned} & 1 \\ & \nearrow \end{aligned}$ | $\begin{gathered} 0 \\ \leftrightarrow \end{gathered}$ | $\stackrel{1}{\square}$ | $\stackrel{0}{\oplus}$ | $\stackrel{1}{\square}$ | $\stackrel{0}{\leftrightarrow}$ | $\begin{aligned} & 1 \\ & Z \end{aligned}$ | $\checkmark$ | 1 | $\stackrel{1}{\square}$ | $\stackrel{0}{\leftrightarrow}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | $\downarrow$ | $\downarrow$ | $\downarrow$ | $\downarrow$ | $\downarrow$ | $\downarrow$ | $\downarrow$ | $\downarrow$ | $\downarrow$ |  | $\downarrow$ | $\downarrow$ | $\downarrow$ |
| $B_{B}$ | X | $\stackrel{\uparrow}{\sim}$ | X | X | $\uparrow$ | $\mathbb{X}$ | $\uparrow$ | $\uparrow$ | X |  | $\uparrow$ | $X$ | $\uparrow$ |
| $K_{B}$ | 0 | ? | ? | 0 | 1 | 0 | + | $\xrightarrow{+}$ | ? |  | 1 | ? |  |

## Example

| $K_{A}$ | $\stackrel{0}{4}$ | 0 $\leftrightarrow$ | $\begin{aligned} & 1 \\ & \swarrow \end{aligned}$ | $0$ | $\begin{aligned} & 1 \\ & \nearrow \end{aligned}$ | $0$ | $\begin{aligned} & 1 \\ & \swarrow \end{aligned}$ | $\begin{gathered} 0 \\ \leftrightarrow \end{gathered}$ | $\begin{aligned} & 1 \\ & \nearrow \end{aligned}$ | $\begin{aligned} & 1 \\ & \swarrow \end{aligned}$ | $\begin{aligned} & 1 \\ & \swarrow \end{aligned}$ | 0 $\leftrightarrow$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | $\downarrow$ | $\downarrow$ | $\downarrow$ | $\downarrow$ | $\downarrow$ | $\downarrow$ | $\downarrow$ | $\downarrow$ | $\downarrow$ | $\downarrow$ | $\downarrow$ | $\downarrow$ |
| $\begin{aligned} & B_{B} \\ & \text { obs } \end{aligned}$ | Х | $\stackrel{\uparrow}{\stackrel{4}{4}}$ | X | 又 | $\stackrel{\uparrow}{\downarrow}$ | 又 | $\stackrel{\leftrightarrow}{4}$ | $\stackrel{\uparrow}{\ddagger}$ | X | $\stackrel{\uparrow}{\downarrow}$ | K | $\stackrel{\stackrel{4}{\leftrightarrows}}{\leftrightarrow}$ |
| $K_{B}$ | 0 | ? | ? | 0 | 1 | 0 | ? | ? | ? | 1 | ? | $?$ |
|  | $\checkmark$ |  |  | $\sqrt{ }$ | $\sqrt{ }$ | $\checkmark$ |  |  |  | $\sqrt{ }$ |  |  |

## Example

| $K_{A}$ |  | 0 $\leftrightarrow$ |  | 0 $\leftrightarrow$ | 1 | 0 $\leftrightarrow$ | 1 | $\stackrel{0}{\leftrightarrow}$ | 1 | 1 | 1 | 0 $\leftrightarrow$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | $\downarrow$ | $\downarrow$ | $\downarrow$ | $\downarrow$ | $\downarrow$ | $\downarrow$ | $\downarrow$ | $\downarrow$ | $\downarrow$ | $\downarrow$ | $\downarrow$ | $\downarrow$ |
| $B_{B}$ | X | $\stackrel{\uparrow}{\downarrow}$ | X | X | $\stackrel{\text { ¢ }}{ }$ | X | 出 | $\uparrow$ | X | $\stackrel{\leftrightarrow}{*}$ | X | $\uparrow$ |
| obs | § | $\leftrightarrow$ | $\checkmark$ | $\Sigma$ | 1 | $\Sigma$ | $\leftrightarrow$ | $\leftrightarrow$ | $\checkmark$ | $\uparrow$ | $\checkmark$ | $\leftrightarrow$ |
| $K_{B}$ | 0 | ? | ? | 0 | 1 | 0 | ? | ? | ? | 1 | ? | ? |
|  | $\checkmark$ |  |  | $\checkmark$ | $\checkmark$ | $\checkmark$ |  |  |  | $\checkmark$ |  |  |
| $K$ | 0 |  |  | 0 | 1 | 0 |  |  |  | 1 |  |  |

## EPR

Artur Ekert 1991
The idea is to distribute a key $K$ via pairs of entangled states, for example the Bell states:

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This protocol is inspired by the Einstein-Podolsky-Rosen (EPR, 1935) Gedanken-Experiment.

## EPR Protocol

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As in BB84 too many "transmission errors" indicate that Eve was eavesdropping and the transmission is abandoned. Ekert proposed a more sophisticated eavesdropping detection (Bell's theorem).

## Example

$$
B_{A} \mid X X \uparrow \uparrow \mathbb{X} \uparrow \Psi \mathbb{X} \uparrow \uparrow \mathbb{X} \uparrow \mathbb{X}
$$

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