Towards A Program Logic for JavaScript

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Abstract

JavaScript has become the most widely used language for client-side web programming. The dynamic nature of JavaScript makes understanding its code notoriously difficult, leading to buggy programs and a lack of adequate static-analysis tools. We believe that logical reasoning has much to offer JavaScript: a simple description of program behaviour, a clear understanding of module boundaries, and the ability to verify security contracts.

We introduce a program logic for reasoning about a broad subset of JavaScript, including challenging features such as prototype inheritance and eval. We adapt ideas from separation logic to provide tractable reasoning about JavaScript code: reasoning about easy programs is easy; reasoning about hard programs is possible. We prove a strong soundness result. All libraries written in our subset and proved correct with respect to their specifications will be well-behaved, even when called by arbitrary JavaScript code.

1. Introduction

JavaScript has become the de-facto language for client-side web programming. Ajax web applications, used in e.g. Google Docs, are based on a combination of JavaScript and server-side programming. JavaScript has become an international standard called ECMAScript [13]. Adobe Flash, used in e.g. YouTube, features ActionScript, a programming language based on ECMAScript.

Even web applications written in e.g. Java, F# or purpose-designed languages such as Flapjax or Milescript are either compiled to JavaScript, or they lack browser integration or cross-platform compatibility. JavaScript is currently the assembly language of the Web, and this seems unlikely to change.

JavaScript was initially created for small web-programming tasks, which benefited from the flexibility of the language and tight browser integration. Nowadays, the modern demands placed on JavaScript are huge. Although this flexibility and browser integration are still key advantages, the inherent dynamic nature of the language makes current web code based on ECMAScript 3 notoriously difficult to write and use [11, 16, 25]. The expectation is that ECMAScript 5 and future standards will improve the situation. However, although the main browsers now support ECMAScript 5, the majority of code being written today is in ECMAScript 3. Even if there is a wide acceptance of ECMAScript 5, which is certainly not clear from the current web blogs, it is inevitable that ECMAScript 5 libraries will have to interface properly with ECMAScript 3 code. We therefore believe that there is a growing need for general-purpose, expressive analysis tools for both ECMAScript 3 and 5, which provide simple, correct descriptions of program behaviour and a clear understanding of module boundaries.

We introduce a program logic for reasoning about ECMAScript 3. While it is tempting to ignore the ‘ugly’ parts of the language, and reason only about ‘well-written’ code, in practice JavaScript programs have to interface with arbitrary web code. Our reasoning is therefore based on a model of the language that does not shun the most challenging JavaScript features. For example, the behaviour of prototype inheritance, and the interplay between scoping rules and the eval statement, is complex. This means that our basic reasoning rules must also be complex. We overcome this complexity by establishing natural layers of abstraction on top of our basic reasoning. With principled code, we can stay within these layers of abstraction and the reasoning is straightforward. With arbitrary code, we must break open the appropriate abstraction layers until we can re-establish the invariants of the abstraction. In this way, we are able to provide clean specifications of a wide variety of JavaScript programs.

Our reasoning is based on separation logic. Separation logic has proven to be invaluable for reasoning about programs which directly manipulate the heap, such as C and Java programs [2, 3, 7, 18, 32]. A key characteristic of JavaScript is that the entire state of the language resides in the object heap, in a structure that imperfectly emulates the variable store of many other programming languages. It is therefore natural to investigate the use of separation logic to verify JavaScript programs. In fact, we had to fundamentally adapt separation logic, both to present an accurate account of JavaScript’s emulated variable store (see Section 2: Motivating Examples) and also to establish soundness. For soundness, it is usual to require that all the program commands are ‘local’, according to a definition first given in [18]. Many JavaScript statements are not local by this definition: for example, even a simple variable read is non-local because its result may depend on the absence of certain fields in the emulated variable store. We instead prove soundness using a concept of weak locality, recently introduced by Smith [27].

In this paper, we reason about a substantial subset of JavaScript, including prototype inheritance, eval, simple functions (no recursive or higher-order functions) and simple eval. Our treatment of functions and eval is precisely enough to expose fully the complexity of the emulated variable store. Building on the work of Charlton and Reus [5, 26], we will extend our reasoning to higher-order functions and complex eval in future. We prove soundness of our reasoning with respect to a faithful subset of the formal operational semantics of Maffeis et al. [16]. Our soundness result has powerful implications. Library code written in our subset and proved correct with respect to their specifications will be well-behaved, even when called by arbitrary JavaScript code. Our soundness result is constructed in such a way that it will be simple to ex-
As convincingly argued in [11, 16, 24, 25], there are many reasons why the behaviour of JavaScript programs is complex. For a start, JavaScript is a dynamically typed, prototype-oriented language, which does not have a standard notion of variable store. Instead, JavaScript variables are best regarded as being stored in the heap, in a structure which imperfectly emulates the variable store. This structure consists of an abstract list of scope objects, called the scope chain, analogous to stack frames in other languages. Every scope object has a pointer to a linked list of prototypes, providing prototype-based inheritance. Since scope objects inherit data from their prototypes, the value of a variable cannot be resolved by a simple list traversal. Variable resolution is further complicated by the fact that JavaScript objects may share a common prototype.

JavaScript’s behaviour can make apparently simple programs deceptively counterintuitive. Consider the code

```javascript
{ x = null; } = null;
```

What values should the variables `x`, `y` and `z` store at the end of the program? The correct answer is `undefined`, `4` and `5` respectively. We explain how this occurs as we walk through our reasoning.

In Section 6.2 we prove the following triple of this code:

```javascript
{ storeLS(x, y, z, f, v) } | I | LS

\exists L. storeLS([x: undefined, y: 4, z: 5, f: L, v: 5])

\{ I \models LS * true \}
```

We distinguish a global logical expression `I` with value `LS` denoting the scope chain. The store predicate `storeLS(x, y, z, f, v)` states that the store-like structure referred to by `LS` contains `none` of the program variables mentioned; the variables occur to the left of the bar. The store predicate `storeLS([x: undefined, y: 4, z: 5, f: L, v: 5])` states the final values for all the variables; the variables occur to the right of the bar with assigned values.

To understand the complexity of the heap structures described by store predicates, consider the example heap given in Figure 1. This diagram illustrates a typical shape of a JavaScript variable store. Each object is denoted by a box. In this example, the current list of scope objects is given by `l = [l_1, l_2, l_3, l_4, l_5]`, where the `l_i` are object addresses and `l_5` is a distinguished object containing the global scope chain which must occur at the end of the current list of scope objects. Each scope object has a pointer to a list of prototypes, with the arrows representing prototype relationships. These prototype lists can be shared, but cannot form cycles. In ECMAscript 3, prototype lists must either end with the distinguished object `l_0`, or they may be empty. However, many implementations (SpiderMonkey, V8 and WebKit) allow the programmer to directly access and change the prototype pointers, allowing incomplete prototype chains ending in `null` but not allowing the creation of cycles. We work with incomplete prototype chains, since we want ECMAscript 3 library code to work well with such implementations.

To look up the value of a variable `x` in our example heap, we check each object for a field with name `x`, starting with `l_1`, checking the prototype list from `l_1` then moving along the list of scope objects. In our example, the `x` in object `l` will be found first, since the whole prototype chain of `l_2` will be visited before `l_3`. When reading the value stored in `x`, this is all we need to know. If we write to the same variable `x`, the effect will be to create a new field `x` at `l_2`. This new field will override the `x` field in object `l` in the usual prototype-oriented way.

All of this messy detail is abstracted away by the store predicate. The formation of this predicate is subtle and requires some adaptation of separation logic. As well as the separating conjunction \(*\) for reasoning about disjoint heaps, we introduce the `sepsh` connective for reasoning about partially separated heaps. It is used, for example, to account for the sharing of prototype lists illustrated in Figure 1. We also use the assertion `(x, y) \rightarrow g`, which states that the field `x` is not present at object address `l`. This predicate is reminiscent of the ‘out’ predicate in [6] stating that values are not present in a concurrent list. It is necessary to identify the first `x` in the structure: in our example, the `x` at `l` is the first `x`, since it does not occur in the prototype list of `l_1` nor in the prototype list of `l_2` until `l`.

Our store predicate allows us to make simple inferences about variable assignments, without breaking our store abstraction:

```javascript
{ storeLS(x, y, z, f, v) | I | LS

\{ I \models LS * true \}
```

where the assertion `true` hides potentially garbage-collected prototype lists.

The evaluation of the function expression `function(x) {}` has the effect of creating a new function object and returning the address `L` of that object. The object contains a number of internal housekeeping fields, including `@body` which contains the body of the function and `@scope` which stores the function closure `LS`. Our inference for the function definition is approximately:

```javascript
{ storeLS(f, v | x: null, y: null, z: null) | I | LS

f = function(x) {} \{ ...

\{ I, @body \rightarrow \lambda w. {...} \{ I, @scope \rightarrow LS *

I \models LS * true \}
```

As well as the store predicate, we assert that the state also contains object cells such as `(L, @scope) \rightarrow LS`. This assertion means that there is an object with address `L` in the heap, and it definitely contains at least the field `@scope` which has value `LS`. The assertion says nothing about any other field of `L`. We assert that our function object has fields `@body` and `@scope`. The full specification, given in Section 6.2, is actually a little more complicated than this. For now, we hide additional housekeeping fields in the assertion `true`.

We know that this program example is challenging, because the final values of the variables are counterintuitive. All the complexity of the example occurs within the function call. When JavaScript calls a function, it performs two passes on the body: in the first pass, it creates a new scope object and initialises local variables to `undefined`; in the second pass, it runs the code in the newly
constructed local scope. Our reasoning reflects this complexity. The
Hoare triple for the function call has the following shape:

\[
\begin{align*}
\exists L. L \parallel L' : L &\parallel \lambda w. \ldots \parallel L (, \mathit{body}) \parallel \lambda w. \ldots \parallel L (, \mathit{scope}) \parallel L \\
\{ \mathit{f} (\mathit{null}) ; \}
\{ \mathit{??} \}
\end{align*}
\]

To find a suitable postcondition, we must reason about the
function body. The precondition of the function-body triple given
below is constructed from the first pass of the function call. As
well as containing the precondition of the function call, it contains a
new scope object \( L' \) with fields given by the parameter of the
function and the local variables discovered by the first pass. For
our example, it contains the assertions \( (L', w) \parallel \mathit{null} \) for the
parameter declaration and \( (L', v) \parallel \mathit{undefined} \) for the local
variable declaration. The object \( L' \) also has a @proto field, which
points to \( \mathit{null} \) since scope objects do not inherit any behaviour,
and a @this field, which can only be read. We also have the predicate
\( \mathit{newobj}(L', \mathit{proto}, \mathit{this}, u, v) \), which asserts the absence from
\( L' \) of all the fields we have not mentioned as parameters. Knowing
this absence of fields is essential if, in the function body, we wish
to write to variables, such as the \( x \) and \( y \), which do not appear in the
local scope object. Finally, the new scope object \( L' \) is prepended to
the scope list \( l \).

Using this precondition, we are now able to give the triple
obtained by the second pass of the function call:

\[
\begin{align*}
\exists L'. L \parallel L' : L &\parallel \lambda w. \ldots \parallel L (, \mathit{body}) \parallel \lambda w. \ldots \parallel L (, \mathit{scope}) \parallel L \\
\{ \mathit{f}(\mathit{null}) ; \}
\{ \mathit{??} \}
\end{align*}
\]

Part of the challenge of understanding this example is knowing
the scope of local variable \( v \). In JavaScript, variables can only be
declared local to functions, not other blocks such as if and while.
This can lead to undesirable behaviour, especially when a local
variable overloads the name of a global variable. One controversial
technique for solving this problem is to use the \( \mathit{with} \) statement and a literal object to declare local variable blocks precisely
where they are needed. Using \( \mathit{with} \) is often considered bad practice,
and it is deprecated in ECMA-Script 5. However, it is widely used in
practice \([20, 25]\) and can certainly be used to improve the program
readability. We are able to reason about even extremely confusing
uses of \( \mathit{with} \). Consider the program \( C' \):

\[
a = \{ b : 1 \}; \mathit{with} (a) \{ \mathit{f} = \mathit{function}(c) \{ \mathit{return} b \} ; \}
\]

\[
a = \{ b : 2 \} ; \mathit{f}(\mathit{null})
\]

Armed with an operational understanding of JavaScript’s emu-
lated variable store, it is not so difficult to understand that this pro-
gram returns the value 1, even though the value of \( a.b \) at the end
of the program is 2. It may not be quite so clear that this program
can fault. It may also execute arbitrary code from elsewhere in the
emulated variable store, leading to a possible security violation.

We only understood this example properly by doing the verifica-
tion. In Section 6.2, we prove the triple:

\[
\begin{align*}
\{ \mathit{store}(a, f) \parallel (l_{op}, f) \parallel \mathit{null} \} &\parallel \{ \mathit{store}(a, f) \parallel (l_{op}, \mathit{proto}) \parallel \mathit{null} \}
\{ r \parallel 1 \parallel \mathit{true} \}
\end{align*}
\]

A similar proof is possible for with a precondition where \( a \) and \( f \)
are in the store, and may have arbitrary values. Either precondition
ensures the program returns the value 1 as expected. The obvious
first try was to have just \( \mathit{store}(a, f) \) as the precondition. This does
not work as, when reasoning about the assignment to the variable
\( f \), we cannot assert that the variable \( f \) is not in the local scope.
This is because, as discussed earlier, we cannot make assumptions
about the shape of the prototype chains in the emulated variable
store. With some web code, it is possible for programmers to
directly access and change the prototype pointers, resulting in the
distinguished object \( l_{op} \) not being a part of the emulated variable
store. This means that \( l_{op} \) may contain the field \( f \) without violating
our proposed precondition. The statement \( a = \{ b : 1 \} \) then results
in the creation of a new object \( L \) with no field \( f \) as expected, but
with field @proto pointing to \( l_{op} \):

\[
\begin{align*}
\exists L. (L, b) \parallel 1 \parallel (L, f) \parallel \mathit{false} \parallel (L, \mathit{proto}) \parallel l_{op}
\end{align*}
\]

The with statement makes this new object \( L \) the most local cell
in our emulated variable store. But because \( f \) is an inherited prop-
erty of \( L \), the meaning of the assignment to \( f \) has now changed – it
has become an overriding assignment of a local variable. We write
the new function \( f \) into the local-most object \( L \). When the program
returns from the with statement, the function call to \( f(\mathit{null}) \) will
fault, since there is no \( f \) in scope to call. In many web browsers
(including Chrome, Firefox, and Safari), this behaviour can be
induced by running the program \( \ldots \mathit{proto} = \mathit{null} ; C' \) in the
default starting state. The \( \mathit{proto} = \mathit{null} ; C' \) notation allows the
programmer to directly access and change the internal @proto
fields, resulting in a program state which causes the program \( C' \)
of fault. Non-standard features such as this are widely used in
practice, and so it is important that our reasoning be robust to
the states they produce. In this case of this example it is possible
to induce A similarly tricky starting state without the use of any
non-standard features, which results in the call to \( f() \) executing
arbitrary code not mentioned in our program. Consider running
the program \( \mathit{Object.prototype} . f = \mathit{function}(c) \{ \mathit{C'} \} ; C' \)
In web browsers, the role that references play in the semantics of the language. Values and field names, to values. This structure emphasises the important references in an expression, the semantics implicitly calls the dereferencing function \( \gamma \), defined below, which returns the value denoted by the reference.

### Dereferencing values: \( \gamma(H,r) \)

<table>
<thead>
<tr>
<th>( r \neq l \cdot x )</th>
<th>( \pi(H,l,x) = \text{null} )</th>
<th>( l \neq \text{null} )</th>
<th>( \pi(H,l,x) = l' )</th>
<th>( l' \neq \text{null} )</th>
</tr>
</thead>
<tbody>
<tr>
<td>( \gamma(H,r) \neq r )</td>
<td>( \gamma(H,l,x) \neq \text{undefined} )</td>
<td>( \gamma(H,l,x) \neq H(l',x) )</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

We introduce the definition of a well-formed JavaScript heap. A JavaScript expression can only be evaluated in a sub-heap of a well-formed heap, with respect to a valid scope chain. All JavaScript expressions maintain the well-formedness of heaps. A heap \( H \) is well-formed (denoted by \( H \vdash \sigma \)) if its objects and prototype chains are well-formed (prototype chains must be acyclic, they can end with \( \text{null} \) rather than \( \text{obj} \)), and if it contains the global scope object \( l_g \) and the distinguished objects \( l_{op} \) and \( l_{fp} \), which we will see later serve as the default prototypes for new objects and functions.

A scope chain \( L \) is valid with respect to heap \( H \), denoted by \( \text{schain}(H,L) \), if all the locations in the chain correspond to objects allocated in \( H \), and if it ends with the global object \( l_g \). Formal definitions are given in [10].

The default initial state \( H^\emptyset \) is the smallest well-formed heap that also contains the special function \( l_\epsilon \) (the `eval` function) and its prototype \( l_{op} \):

\[
H^\emptyset = \left( \{ l_g \mapsto \emptyset \mapsto \text{this} \mapsto l_g \} \ast \text{obj}(l_{op}, \text{null}) \right)
\]

where \( \text{obj}(l,l') \) denotes an object at location \( l \) which is empty except for the prototype \( l' \):

\[
\text{obj}(l,l') = (l, \l_\text{proto}) \mapsto l'
\]

We conclude this section by defining the heap update \( [-] \) operation which will be used by the semantics. Many JavaScript expressions update a heap cell if it is present, or create it if it is not present. We reflect this form of update in our heap update operation.

#### Update \( H[(l,x) \mapsto v] \)

\[
\begin{align*}
(l,x) \notin \text{dom}(H) & \quad l \neq \text{null} \\
H[(l,x) \mapsto v] & \triangleq H * (l,x) \mapsto v \\
(H * (l,x) \mapsto v)[(l,x) \mapsto v'] & \triangleq H * (l,x) \mapsto v' \\
H[(\text{null},x) \mapsto v] & \triangleq H[(g,y) \mapsto v]
\end{align*}
\]

The last rule says that an update to a non-allocated reference (whose location is \( \text{null} \)) has the effect of allocating and updating a new global variable, which mimicks JavaScript’s behaviour.
of implicitly creating global variables when a variable name is first used.

### 3.2 Values and Expressions

To aid our presentation, we introduce the syntax of all JavaScript programs, statements and expressions simply as expressions. Our operational semantics will only describe the behaviour of well-formed programs, as defined by [16].

**Syntax of terms: values v and expressions e.**

\[
\begin{array}{rcl}
v & ::= & n \mid \text{undefined} \mid \text{null} \\
e & ::= & e;e \mid x \mid v \mid \text{if}(e){\{e\}}\mid \text{while}(e){\{e\}} \mid \text{var } x \\
& & \mid \text{this} \mid \text{delete } e \mid e \oplus e \mid e \cdot e \mid e(e) \mid e = e \\
& & \mid \text{function } (x){\{e\}} \mid \text{function } x(x){\{e\}} \mid \text{new } e(e) \\
& & \mid [x_1 : e_1 \ldots x_n : e_n] \mid e[e] \mid \text{with}(e){\{e\}} \\
\end{array}
\]

where \( \oplus \in \{+, -, \ast, /, \&\&\&\&, |, \ldots, \}, =, \ldots, \).

A basic value \( v \) can be a number \( n \), a string \( m \) (including the user variable names), the special constant \( \text{undefined} \) or the \( \text{null} \) location. The operator \( \oplus \) denotes a standard number and boolean operator, or string concatenation. Expressions \( e \) include sequential composition, variable lookup, literal values, conditional expressions, loops, local variable declaration, this, deletion, arithmetic and string concatenation, object property lookup, function call and eval, assignment, function declaration, recursive functions, constructors, string concatenation, object property lookup, function call and eval, assignment, function declaration, recursive functions, constructors, literal objects, computed access and the with statement.

### 3.3 Evaluation Rules

An expression \( e \) is evaluated in a heap \( H \), with respect to a scope chain \( L \). If \( e \) successfully terminates, it returns a modified heap \( H' \) and a final value \( r \). Selected evaluation rules are given below and handled in two places. Firstly, the variable rule uses the \( \sigma \) predicate that is found to be a field of object \( e \), which is a prototype of \( l_2 \), which is in the scope chain. In this case, \( \pi = \pi' \) is an overwriting assignment, with the effect of creating a new field \( x \) in the object \( l_2 \) to override the existing \( x \) in \( l \). This complexity is handled in two places. Firstly, the variable rule uses the \( \sigma \) predicate (defined in Section 3.1) to return a reference \( l_2 \cdot x \). Note that the \( \sigma \) predicate does not return a reference to \( l \cdot x \) precisely because we wish to model this behaviour. Secondly, the heap update operation \( H_2[l_2] \) manages the business of either overwriting an existing field, or in this case, creating a new field. Rule (This) resolves the this identifier. As we will see, when executing a method of an object, we use the internal variable \( @this \) to store the location of that object.

When executing a function call, \( @this \) points to the global object \( l_g \). The (This) rule uses \( \pi \) and \( \sigma \) to retrieve the value of \( @this \) which is then returned by the this statement. Rule (Function) introduces the notation function \( (l', l, x, e, l) \)

\[
l' \mapsto \{ \cdot @proto: l_g.prototype; l @scope: l @body: \lambda x, e \}
\]

to allocate a fresh function object at location \( l' \). The internal prototype of the new function object points to the standard function prototype object \( l_{fp} \). The rule also creates a new empty object at \( l \) and stores a pointer to it in the prototype field of the new function. If the function is ever used as a constructor (using the \( \text{new} \) keyword) then the object pointed to by this prototype field will serve as the prototype of the newly constructed object. Note that the field is mutable, and so may be used by a programmer to emulate a class-like inheritance structure.
Recall from Section 2 that JavaScript function calls are surprisingly complex. We now describe rule (Function Call), which uses two auxiliary functions This and act. Recall also that the rule (This) uses internal @this fields to determine the semantics of the this keyword. The values of the @this fields are determined by the (Function Call) rule using the auxiliary function This:
\[
\text{This}(H, l.x) \triangleq l \quad (l, \ast ) \notin \text{dom}(H)
\]
\[
\text{This}(H, r) \triangleq l_q \quad \text{[otherwise]}
\]
To understand This, first notice that every newly created local scope object has a @this field, while no other objects ever will. The (Function Call) rule finds a pointer to a function in the location l.x. If l is a regular object (which has no @this field), then the function must be a method of that object, and so the @this field of our new local scope object should point to l. On the other hand, if l is a special local scope object (which has a @this field), then the function must be a regular function (and not a method), and so the @this of our new local scope object should point to l_p. This unique behaviour precisely captures the behaviour of the ECMAScript 3 this keyword. The auxiliary function act describes the allocation of a new local scope object:
\[
\text{act}(l, x, v, e, l') \triangleq v \rightarrow ((x, v, @this: l', @proto: \text{null}) \ast \text{defs}(x, l, e))
\]

The object is allocated at address l, and contains a function parameter x with value v, the internal fields @this, @proto and the local variables declared in an expression e. The auxiliary function defs, defined in [10], searches the function body for instances of the var keyword, and sets all the appropriate fields of our new local scope object to the value undefined, as discussed in Section 2. Rule (Eval) looks like an ordinary function call, but the function being called isn’t an ordinary function object. It is the special built-in object. It assumes a partial function \(\text{dom}(\text{act})\) into a JavaScript expression e, only if there no syntax errors.

The control expressions are mostly standard (see [10]), except for the unusual (With) rule that evaluates \(e_2\) in the local scope represented by the object obtained by evaluating \(e_1\).

3.4 Safety

An important sanity property of the evaluation relation is that it preserves well-formedness of the heap, for any valid scope chain.

**Theorem 1 (Well-Formedness).** Let \(H, L\) be such that \(H \vdash \circ\) and \(\text{chain}(H, L)\). If \(H, L, e \rightarrow H', r\) then \(H'' \vdash \circ\).

Although the theorem is about end-to-end well-formedness, its proof (reported in [10]) shows that starting from a well-formed state and scope chain, all the intermediate states and scope chains visited during the computation are also well-formed, and all the locations occurring in intermediate return values correspond to objects effectively allocated on the heap.

3.5 JavaScript Subset

We work with a subset of JavaScript, in order to limit the size and complexity of our semantics for this paper. Our subset is substantial and, despite some minor omissions and simplifying assumptions discussed below, faithful to the ECMAScript 3 standard. A significant property of our semantics is that our programs will run reliably in states generated by any valid JavaScript program (including those reached by programs using non-standard features that we do not model, such as _proto_.), or getters and setters. Our reasoning of Section 5 will therefore interface well with real-world JavaScript programs.

We do not model implicit type-coercion functions. Adding them is straightforward but would add significantly to the length of our presentation. We have no boolean type. Instead, where control structures (if and while) require a boolean, we use other types with semantics equivalent to the type conversion that occurs in JavaScript. Values such as 0 and null behave like false and values such as 1 and "string" behave like true. For simplicity, we use an implicit return statement for functions. Moreover, our functions take only one parameter, rather than the arbitrary list of parameters usual in JavaScript, and do not have the arguments object or the constructor property. As mentioned in Section 3.2, we simplify our presentation of JavaScript programs, statements and expressions, into a single class of expressions. We also omit several JavaScript constructs such as labels, switch and for, as they do not contribute significantly to the problem of program reasoning. In this presentation we only consider the core language ECMAScript 3, and do not model the many standard libraries which browsers make available to programmers. Instead of exceptions, we have a single error condition denoted fault. This means that programs which are proved conservatively avoids faults. This means that programs which are proved

4. Assertion Language

Our assertion language follows that of Parkinson and Bierman [4, 23], in their work on reasoning about Java. They use assertions of the form \((l, x) \rightarrow v\) to denote that a partial heap contains the object \(l\) with field \(x\) which has value \(v\). Using the separating conjunction \(\ast\) [18], the assertion \((l, x) \rightarrow v\ast(l, y) \rightarrow w\) declares that a heap contains an object \(l\) which must have two separate fields \(x, y\) with the appropriate values. The assertion \((l, x) \rightarrow v * (l, x) \rightarrow w\) is unsatisfiable since it declares two fields \(x\) for \(l\).

This style of reasoning is not enough for JavaScript. We must also assert negative information about fields not being in the heap, and extend the structural assertions of separation logic to account for partial separation due to shared prototype chains. Recall the example of a JavaScript emulated variable store in Figure 1 of Section 2. To find the value of \(x\) in the store, we must not only determine that the object \(l\) has a field \(x\) but also determine that no earlier fields named \(x\) occur in the emulated store. We use the predicate \((l, x) \rightarrow \sigma\) to denote that an heap contains an object \(l\) which does not have a field \(x\). The assertion \((l, x) \rightarrow \sigma \ast (l, y) \rightarrow w\) declares that the heap contains an object \(l\) which does not have field \(x\) but does have field \(y\). The assertions \((l, x) \rightarrow v \ast (l, x) \rightarrow \sigma\) and \((l, x) \rightarrow \sigma \ast (l, x) \rightarrow x\) are unsatisfiable. Thus, the assertion \((l, x) \rightarrow \sigma\) states the full knowledge that field \(x\) is not in object \(l\).

Now consider what happens when we want to describe the state of more than one variable at a time. In the Section 5 we introduce a predicate \(\sigma\) which allows us to assert, for example: “The variable \(x\) is found in the store in object \(l_2\): \(\sigma(\_, l, l_2)\)” or “The variable \(y\) is not in the store\: \(\sigma(\_, l, \text{null})\).” Both of these assertions must use make use of the @proto fields in order to navigate the variable store, but the first assertion does not mention any \(y\) field, and the second assertion does not mention any \(x\) field. In order to make both assertions about the same variable store, we need a way for them to share their common parts. To do this, we introduce the *sepish conjunction* \(P \cup Q\) which allows partial separation between heaps. We can use \(\cup\) to describe the state of more than one variable in a given store: \(\sigma(\_, l, l_2) \cup \sigma(\_, l, \text{null})\) and we shall see in Section 5 that it also turns out to be invaluable when defining the \(\sigma\) predicate itself.

An assertion \(P\) may be satisfied by a triple \((h, L, \epsilon)\) of an abstract heap \(h\), a scope chain \(L\), and a logical environment \(\epsilon\). An abstract JavaScript heap is a partial function \(H: \mathcal{R} \rightarrow V \cup \{\emptyset\}\) that maps references, \(r \in \mathcal{R} = \mathcal{L} \times \mathcal{X}\) to values \(v\) or \(\emptyset\). Abstract heaps thus declare information about fields not being present in an object, as well as the fields that are present. We also define an evaluation
function \( | \cdot | \) which takes an abstract heap to a concrete heap:

\[
| h | (l, x) = h(l, x) \text{ iff } (l, x) \in \text{dom}(h) \land h(l, x) \neq \emptyset
\]

We use this function in Section 5 to define the relationship between our reasoning with Hoare triples and the behaviour of programs.

We define a logical environment \( \epsilon \), which is a partial function from logical variables \( X \in \mathcal{X}^{L} \) to logical values \( V^{L} \), which may be a return value \( r \), any expression \( e \), \( \emptyset \) or a list \( Ls \) of logical values. We also define logical expressions \( E \), which are different from program expressions in that they can not read or alter the heap. Expressions \( E \) are evaluated in a logical environment \( \epsilon \) with respect to a current scope list \( L \).

### Logical expressions and evaluation: \( [E]^{L}_{\epsilon} \).

\[
v \in V^{L} ::= e \mid r \mid \emptyset \mid Ls \\
\epsilon : \mathcal{X}^{L} \rightarrow V^{L}.
\]

\[
E ::= X \mid 1 \mid v \mid E \oplus E \mid E 
\]

\[
\begin{align*}
[\text{Scope list }] & \triangleq 1 \\
[\text{Logical values }] & \triangleq X \\
[\text{Binary Operators }] & \triangleq E \oplus E \\
[\text{Reference construction }] & \triangleq E \cdot E \\
[\text{Lambda values }] & \triangleq \lambda E. E
\end{align*}
\]

\[
[\mathbb{v}]^{L}_{\epsilon} \triangleq v \\
[\mathbb{K}]^{L}_{\epsilon} \triangleq L \\
[\mathbb{X}]^{L}_{\epsilon} \triangleq \epsilon(X)
\]

\[
\begin{align*}
[ E_1 \cdot E_2 ]^{L}_{\epsilon} & \triangleq [ E_1 ]^{L}_{\epsilon}, L \text{ if } [ E_2 ]^{L}_{\epsilon} = Ls \\
[ E_1, E_2 ]^{L}_{\epsilon} & \triangleq e \text{ if } [ E_1 ]^{L}_{\epsilon} = l \land [ E_2 ]^{L}_{\epsilon} = x \\
[ E_1 \oplus E_2 ]^{L}_{\epsilon} & \triangleq \mathbb{r} \text{ if } [ E_1 ]^{L}_{\epsilon} = \mathbb{r} \land [ E_2 ]^{L}_{\epsilon} = \mathbb{r}' \\
[\lambda E_1, E_2 ]^{L}_{\epsilon} & \triangleq \lambda x. [ E_2 ]^{L}_{\epsilon} \text{ if } [ E_1 ]^{L}_{\epsilon} = x
\end{align*}
\]

### Assertions.

\[
P ::= P \land P \mid P \lor P \mid \neg P \mid \text{true} \mid \text{false} \\
P \land P \\
( E, E ) \rightarrow E \mid \emptyset \\
E = E \\
E \in E \\
E \in \emptyset \\
\exists X. P \\
\forall X. P
\]

### Notation:

\[
E \not\emptyset \equiv \neg(E \oplus E) \quad \text{for } e \in \{ =, \leq \} \\
E_1 \oplus E_2 \equiv E_1 \oplus E_2 \quad \text{for } e \in \{ =, 

The structural assertions \( * \) and \( \rightarrow \) are standard separation logic assertions. The separating conjunction \( P \land Q \) says that the heap may be split into two disjoint heaps, one satisfying \( P \) and the other \( Q \). The right adjoint \( \neg P \) says that, whenever the heap is extended by a heap satisfying \( P \), then the resulting heap satisfies \( \neg P \). It is useful in creating some of our layers of abstraction in Section 6. The sepish connective \( P \equiv Q \) is novel. It says that the heap may be split into two heaps, one satisfying \( P \) and the other \( Q \), but these two heaps need not be disjoint. They may share zero or more common cells. We shall see in Section 5 that this is particularly useful when reasoning about the emulated variable store. It is possible to define \( \not\equiv \) analogously with \( \not\rightarrow \), but since this is not useful for JavaScript reasoning we omit it here. Note that \( P \land Q \Rightarrow P \equiv Q \) and \( P \land Q \Rightarrow Q \equiv P \), but neither of the reverse implications hold.

### The satisfaction relation \( h, L, \epsilon \models P \).

We have given a direct definition of the sepish connective. When logical variables range over heaps, it can be derived: \( P \equiv Q \models \exists \mathbb{R}. ( R \Rightarrow P ) \Rightarrow ( R \Rightarrow Q ) \Rightarrow R \). It remains to be seen what natural logical properties are satisfied by this connective.

### 5. Program Reasoning

We follow the example of previous separation logic work in giving small axioms and inference rules which precisely capture the behaviour of all JavaScript expressions except for the usual approximation for \( \text{while} \) and conservative approximations of function call and \( \text{eval} \). These last two constructs are interesting, and will be the focus of future work as outlined in Section 8. Because our reasoning captures the full complexity of JavaScript semantics, particularly with respect to the emulated variable store and the \( \text{with} \) command, it is possible to prove extremely subtle programs. Unfortunately, proving any program at this level of abstraction involves a lot of detail which most programmers would rather avoid. For this reason we also provide several layers of abstraction which make it possible to reason at a much more natural high level about well-behaved JavaScript programs. We discuss these further in Section 6.

Our fault-avoiding Hoare triples take the form: \( \{ P \} e | Q \), which means “if \( e \) is executed in a state satisfying \( P \), then it will not fault, and if it terminates it will do so in a state satisfying \( Q \).” The postcondition \( Q \) may refer to the special variable \( r \), which is equal to the return value of \( e \).

### 5.1 Auxiliary Predicates

For our reasoning rules, we require predicates that correspond to the functions used by the operational semantics in Section 3.3. This presents us with two distinct challenges: sharing and precision. We describe each challenge in turn. First, sharing: consider the scope function \( \sigma \) from Section 3.1 when searching for a variable \( y \) in the example heap given in Figure 1. Since \( y \) is not present in the emulated store, \( \sigma \) will check the entire structure before returning \( \text{null} \) to indicate that \( y \) cannot be found. What is of interest to us is the order in which the cells in the store will be checked. Notice that the cell \( l_1 \) will be checked twice, and the cell \( l_2 \) will be checked three times. This is because the cells \( l_1 \) and \( l_2 \) are shared between the footprint of the prototype chain of the object \( l_3 \) and the object \( l_4 \). In addition, \( l_{op} \) is also shared by the prototype chain of the object \( l_p \). As we shall see below, we can describe these partially shared structures using our partially separating conjunction \( \not\equiv \). Next we describe the challenge of precision: consider again using the function \( \sigma \) to search for a variable, but this time to search for the variable \( x \). Consider two possible emulated variable stores, one
precisely as shown in Figure 1, and the other identical but for the omission of the object whose prototype is the object \( l \). In each of these stores, the \( \sigma \) function will return the same value – the location \( l_2 \). In our program reasoning we wish our \( \sigma \) predicate (which will correspond to the \( \sigma \) function of the operational semantics) to be more precise. We wish to be able to distinguish between these two possible cases. With these two challenges in mind, we first give, and then explain the logical predicates \( \sigma, \pi \) and \( \gamma \).

**Logical predicates: \( \sigma, \pi, \gamma \).**

\[
\begin{align*}
\sigma(\text{[], null}) & \triangleq \emptyset \\
\sigma(\text{[l], St : Sc, Var, St}) & \triangleq \exists L, \pi(\text{Ls, St, Var, L}) \lor l \neq \text{null} \\
\sigma(\text{[l_2], Ls, St, Var, L}) & \triangleq \pi(\text{Ls, St, Var, L}) \cup \sigma(\text{Ls, Sc, Var, L}) \\
\pi(\text{[], null}) & \triangleq \emptyset \\
\pi(\text{[x], St, Var}) & \triangleq \pi(\text{St, Var}) \lor V * V \neq 0 \\
\pi(\text{[St : Ls, St, Var, L])} & \triangleq 2N. (\text{St, Var}) \rightarrow \pi(\text{St, Var}) = \pi(\text{St, Ls, N, Var, L}) \\
\gamma(\text{[]}) & \triangleq \text{Val} \not\in \mathcal{R} \\
\gamma(\text{[x], L, X, undefined}) & \triangleq \pi(\text{Ls, L, X, null}) \lor l \neq \text{null} \\
\gamma(\text{[x], L, X, Val}) & \triangleq 2N. \pi(\text{Ls, L, X, l2}) \cup \pi(\text{Ls, L, X, l2}) = \text{Val} \lor \text{Val} \neq 0
\end{align*}
\]

These predicates closely follow the structure of the functions defined in 3.1, using \( \pi \) to manage the challenge of sharing prototype chains mentioned earlier. The predicate \( \sigma(\text{[l, L, x, l])} \) holds only for abstract heaps \( h \) such that the function from Section 3.1 gives us \( \sigma([h], L, x) = l \), meaning that the value of the variable \( x \) in the emulated variable store given by the list \( L \) can be found in the object (or a prototype of the object) at address \( l \). However, recall the challenge of precision mentioned earlier. In order to distinguish between all the possible heaps which satisfy the predicate \( \sigma(\text{[l, L, x, l])} \), we specify the first argument \( Ls \). The predicate \( \sigma(\text{Ls, L, x, l}) \) is “precise” in the sense that for any abstract heap \( h \), it holds for at most one subheap of \( h \). The first argument \( Ls \) is a list of lists, which specifies the exact cells which must be visited (and the order in which they must be checked) in order to determine which object in the emulated variable store defines the variable \( x \). For example, recall the heap illustrated in Figure 1. If the cell with prototype \( l \) has address \( l' \), then the predicate \( \sigma([l_1], [l_2, l', l], [x, l_2]) \) is satisfied by the abstract heap consisting of the \( x \) and \( \@proto \) fields of the four objects in the lower left corner of that diagram. Notice that we do not need to visit every object in the variable store in order to discover the location of the variable \( x \). In the spirit of the small axioms of separation logic, our predicate holds for the smallest possible heap in which we can be sure of discovering the variable we are interested in.

The predicates \( \pi \) and \( \gamma \) are similar in that they mirror their operational counterparts, with the addition of one extra argument to make them precise. In the case of \( \pi \) and \( \gamma \) the first argument \( Ls \) is a list of addresses, rather than a list of lists of addresses, because each predicate only has to walk down to most one prototype chain. The inference rules also require logical predicates corresponding to a number of other auxiliary semantic functions. We define \text{newobj} and \text{fun} predicates, which assert the existence of a fresh object and function object, and declare that returns the local variables of an expression. In order to reason about function call, we define the \text{defs} predicate in [10]. The other predicates are reported below.

**Auxiliary predicates**

\[
\begin{align*}
\text{This}(L, L) & \triangleq (L, \text{atthis}) \rightarrow V \lor \text{V} \neq V \neq 0 \\
\text{This}(L, l_0) & \triangleq 2N. (L, \text{atthis}) \rightarrow V \lor V \neq V \neq 0 \\
\text{True}(E) & \triangleq E \not\in \{0, \text{null}, \text{undefined}\} \\
\text{newobj}(L, V_1, \ldots, V_n) & \triangleq \forall V \in \text{Val} \rightarrow V \land V \neq V
\end{align*}
\]

5.2 **Inference Rules**

We define below some inference rules \( \{P\} e (Q) \) for reasoning about JavaScript expressions. The full list can be found in [10].

**Inference rules: \( \{P\} e (Q) \).**

\[
\begin{align*}
\{P\} & \text{var } e (Q \neq \text{undefined}) \\
\{\emptyset\} & \text{Val } \not\in \mathcal{R} \\
\{P\} & \text{null} \neq \text{null} \\
\{P\} & \text{fun}(F, \text{Env}, \text{Var}, \text{Body}, \text{Proto}) \\
\end{align*}
\]

\[
\begin{align*}
\{P\} & \rightarrow (F, \text{@scope}) \rightarrow \text{Env} \rightarrow (F, \text{@body}) \rightarrow \lambda \text{Var, Body} \rightarrow (F, \text{prototype}) \rightarrow \text{Proto} \rightarrow (F, \text{@proto}) \rightarrow l_p \\
\end{align*}
\]

\[
\begin{align*}
\text{decls}(X, E, e) & \triangleq e_1, \ldots, e_n \quad \text{where } (L, e_i) \in \text{dom}(\text{defs}(X, L, e))
\end{align*}
\]
(Function Call)
\begin{align*}
(P) e \{ R_1 \cdot \pi \Rightarrow F_1 \} \\
R_1 &= \left( S_1 \equiv \text{this}(F_1, T) \implies \gamma(Ls_1, F_1, F_2) \right) \land \left( F_2, \text{@body} \rightarrow \lambda X.e \ast (F_2, \text{@scope}) \rightarrow Ls_2 \right) \\
\{ R_1 \} e_2 \{ R_2 \ast Ls_3 \ast \pi \Rightarrow V_1 \} && R_2 = S_2 \ast \gamma(Ls_4, V_1, V_2) \\
R_3 &= \left( L \ast \text{this} \rightarrow T \ast \text{null} \ast \text{def}(X, L, e_3) \right) \land \left( \text{newobj}(L, \text{@proto}, \text{@this}, X, \text{decls}(X, L, e_3)) \right) \\
\{ R_1 \} e_3 \left[ \exists \ L. Q \ast S_1 \ast Ls_5 \right] \land \lnot fV(\cup fV(R_2)) \\
(P) e_1 \{ S \ast L \Rightarrow Ls_3 \} \land (With)
\{ P \ast L \Rightarrow L \} e_1 \{ S \ast L \Rightarrow V_1 \} && S = R \ast \gamma(Ls, V_1, L_1) \\
\{ S \ast L \Rightarrow L_1 : L \} e_2 \{ Q \ast S \Rightarrow L_1 : L \} \land \lnot fP(\cup fP(R)) \\
(P) e_1 \{ S \ast L \Rightarrow V_1 \} \land (While)
\{ S \ast \text{false}(V_2) \} e_2 \{ P \} \land Q = S \ast \text{false}(V_2) \ast \pi \Rightarrow \text{undefined} \\
(P) e_1 \{ S \ast L \Rightarrow V_2 \} \land (Frame)
\{ P \ast R \} e \{ Q \ast R \} \land \text{(Consequence)} \\
\{ P \ast e(Q) \} \land (Elimination) \\
\{ P \ast e(Q) \} \land (Disjunction) \\
\{ 3X. P \ast e(3X. Q) \} \land (Frame)
\end{align*}

Although most of the rules correspond closely to their semantical counterparts, some rules deserve further comment. Rule (Definition) shows the use of the reserved variable \( r \) to record the result of an expression. Rule (Variable) shows the use of \( \equiv \) to express the overlapping footprint of predicates \( \pi \) and \( \tau \). Rule (Assign Global) shows the use of \( \equiv \) to assert that certain known memory cells are available for allocation. Rule (Function Call) describes JavaScript’s dynamic functions but does not support higher order reasoning. Rule (Frame) does not have the usual side condition because JavaScript stores all its program variables on the heap, so any variable modified by an expression is necessarily contained entirely within the footprint of the expression. Rules (Consequence), (Elimination) and (Disjunction) are standard.

5.3 Soundness

We show that our inference rules are sound with respect to the semantics of Section 3.3. When proving the soundness of any system involving the frame rule, it is usual to first show the locality of the programming language, and use that property to show the soundness of the frame rule [18]. Unfortunately, many JavaScript statements are not local according to this standard definition. We therefore use the recently introduced notions of weak locality from Smith’s thesis [27].

Definition 2 (Soundness of a Hoare triple). A Hoare triple \( \{ P \} e \{ Q \} \) is sound if, for all abstract leaps, scope chains and environments \( h, L, \pi \), it satisfies the following two properties:

Fault Avoidance : \( h, L, (e \cdot r) \Rightarrow P \Rightarrow [h], L, e \rightarrow \pi \) 
Safety : \( \forall h, r, h, L, (e \cdot r) \Rightarrow P \Rightarrow [h], L, e \rightarrow h, r \Rightarrow [h \cdot h', L, (e \cdot r \cdot r) \Rightarrow Q] \).

Theorem 3 (Soundness). All derivable Hoare triples \( \{ P \} e \{ Q \} \) are sound according to Definition 2.

The proof (reported in [10]) involves showing that the predicates used by the language rules correspond to the auxiliary functions used by the semantics, showing that all JavaScript expressions are weakly local with respect to their preconditions and finally showing that all our inference rules are sound.

6. Layers of Abstraction

As mentioned in Section 5, using the rules given so far, reasoning about any JavaScript program involves a great deal of detail that most programmers need never consider. Most of the time, programmers will work at a higher level of abstraction, for example, treating the emulated variable store as if it were a regular variable stack. This is a good practice for so long as the abstraction holds, however, if the program happens to come across a corner case that breaks the abstraction, its resulting behaviour may seem almost inexplicable. This may be a particular problem when writing library code, since the programmer has no control over the programming discipline of the client who uses the library. In this section we introduce explicit formalisms for several such abstractions. Many more are possible, but those presented here are enough to demonstrate the concept and reason about some interesting programs. We are able to use these formalisms to reason at a much more comfortable, high level about many well behaved programs. Crucially, we know exactly what the boundaries of these layers of abstraction are, so we can ensure that our programs remain safely within the abstraction. If we wish, we can even choose to temporarily break an abstraction, execute some particularly subtle low-level code, re-establish the abstraction and continue to work at the high level.

6.1 Layer 1: Exploring the Scope List

Central to reasoning about JavaScript variables are the \( \sigma \) and \( \pi \) predicates. The first abstraction layer consists of alternative versions of these predicates which make reasoning about certain common cases simpler. The \( \pi \) predicate unrolls from the global end of the scope rather than from the local end which makes modifying a variable easier to specify. It makes use of \( \neg \sigma \) which says that a variable does not exist in a particular partial scope. The \( \neg \sigma \) predicate does the same, but excludes \( l_0 \) from its footprint, which makes reasoning about global variable instantiation simpler. We give lemmas such as the equivalence of \( \sigma \) and \( \pi \), and several useful triples about variable assignment. The proofs are in [10].

Layer 1 Predicates.

\[ \begin{align*}
\sigma(Ls, Sc, Var, null) & \equiv \neg(\sigma(Ls, Sc, Var, null)) \\
\sigma(Ls) & \equiv \sigma(Ls_1, Sc, Var, L) \land \exists L_2. \sigma(Ls_2, L, L_2) \land L_2 \neq null \\
\neg \sigma([], St : Sc, \_ St) & \equiv \lor \\
\neg \sigma(Ls_2 : \_ St, St : Sc, Var, End) & \equiv \neg \sigma(Ls_2, Sc, Var, End) \\
\pi^\ast([], St : Sc, \_ St) & \equiv \lor \\
\pi^\ast(Ls_2 : \_ St, St : Sc, Var, End) & \equiv \pi^\ast(Ls_2, St, Var, null) \land \neg \sigma(Ls, Sc, Var, End) \\
\pi^\ast([], null, \_ null) & \equiv \lor \\
\pi^\ast([], null, \_ null) & \equiv \lor \\
\pi^\ast([], St, Var, St) & \equiv \lor \\
\pi^\ast([], St, Var, null) & \equiv \lor \\
\pi^\ast([], St, Var, St) & \equiv \lor \\
\pi^\ast([], St, Var, null) & \equiv \lor
\end{align*} \]

These predicates give us much more flexibility to reason at a low level about JavaScript variables found in various places in the emulated variable store. At this level, it is possible to prove quite general specifications about programs with many corner cases. A good example of this sort of reasoning is simple assignment statements. We prove the following general triples about simple assignments. The first three triples deal with the assignment of a constant to a variable, in the cases of variable initialisation, variable override, and variable overwrite respectively. The fourth triple deals
with assigning the value of one variable to another. All four are proved sound in [10].

Simple assignments.

\[
P = \sigma(L_1 + (L_2: L_3), l, x, \text{null})
\]

\[
Q = \pi(L_2, G, x, \text{null}) \wedge \neg \sigma_0(L_1', l, l_0) \uplus (l_0, x) \Rightarrow v^*
\]

\[
\{P\} x = v(Q)
\]

\[
P = \sigma(L_1 + ([L_2: L_3]), l, x, l) \uplus (L, x) \Rightarrow v^* \Rightarrow \gamma(L; L_2, L; x, V)
\]

\[
Q = \pi(L_2, Pr, x, L'), \uplus ((L', x) \Rightarrow V \ast r \Rightarrow v
\]

\[
\{P\} x = v(Q)
\]

\[
P = \sigma(L_1 + ([L_2: L_3]), l, x, l) \uplus (L, x) \Rightarrow v \ast V \Rightarrow \gamma
\]

\[
Q = \pi(L_2, Pr, x, L') \uplus ((L', x) \Rightarrow V \ast r \Rightarrow v
\]

\[
\{P\} x = v(Q)
\]

Compared to the (Assign -) inference rules, these triples have a clear footprint, and more clearly describe the destructive effects

6.2 Layer 2: A Simple Abstract Variable Store

The predicates below provide a convenient abstraction for an emulated variable store.

The store predicate.

\[
\text{store}(L_1 x_1 \ldots x_n|X_1; V_1 \ldots X_m' ; V_m') \equiv
\]

\[
\exists l_1 \ldots l_n \ldots l_m \ldots l_m', \text{thischain}(L) \uplus \uplus \in \cdots \neg \sigma(L_1, L_2, x, \text{null}) \uplus (l_0, x_0) \Rightarrow v^* \]

\[
\uplus \uplus \subseteq \cdots \neg \sigma(L_1', l_0), L_1', L_1' \uplus \uplus \in \cdots \gamma(L_1, l_0; X_1') V_1
\]

\[
\text{thischain}(L) \uplus \uplus \in \cdots \neg \sigma(L_1, l_0), L_1', L_1' \uplus \uplus \in \cdots \gamma(L_1, l_0; X_1') V_1
\]

\[
\text{thischain}(L, l_0) \uplus \uplus \in \cdots \neg \sigma(L_1, l_0), L_1', L_1' \uplus \uplus \in \cdots \gamma(L_1, l_0; X_1') V_1
\]

The assertion store(a, b|x: 1, y: 2) describes a heap emulating a variable store in which the variables a and b are certainly not present, and in which the variables x and y take the values 1 and 2 respectively. The subscript l says that the variable store being described is the current variable store which the program will access. The variables a and b can be re-ordered, as can the variables x and y. To facilitate program reasoning at this level of abstraction, we provide several inference rules, all of which are proved (using previous levels of abstraction) in [10].

We start with rules for variable initialisation and overwrite/override, with a constant and then with the value of a variable.

Writing to a store.

Let \(Q_1 = \text{store}(x_1 \ldots x_n|x: v, X_1' V_1 \ldots X_m' ; V_m')\).

Let \(Q_2 = \text{store}(x_1 \ldots x_n|x: V, y: V, X_1' V_1 \ldots X_m' ; V_m')\).

\[
x \neq x_1 \neq \cdots \neq x_n \neq X_1' \neq \cdots \neq X_m' \Rightarrow \text{store}(x_1 \ldots x_n|x: V, y: V, X_1' V_1 \ldots X_m' ; V_m')
\]

\[
\{P\} x = v(Q_1 \ast true \ast r \Rightarrow v)
\]

One limitation of this level of abstraction is that the abstraction only covers a static (and unknown) list of emulated scope frames. If we call a function which adds a new emulated scope frame to the emulated store, then the rules above are insufficient to reason about our program. The following rules allow us to reason at this level of abstraction about a program which alters a global variable from within a new local scope frame.

Writing to a store from a deeper scope.

Let \(Q = \text{store}(x_1 \ldots x_n|x: V, X_1' V_1 \ldots X_m' ; V_m')\) and \(S = (l_1, @\text{proto}) \ast \text{null}(l, x) \Rightarrow v^* \Rightarrow l \Rightarrow L: LS\).

\[
x \neq x_1 \neq \cdots \neq x_n \neq X_1' \neq \cdots \neq X_m' \Rightarrow \text{store}(x_1 \ldots x_n|x: V, X_1' V_1 \ldots X_m' ; V_m')
\]

\[
\{P \ast S\} x = y(Q \ast S \ast true)
\]

Finally, we provide two rules for a more general case of store-interaction. In these cases the value which is to be written to the variable is the result of computing some arbitrary expression. These lemmas are therefore necessarily more complicated, since they must incorporate some features of sequential composition. We insist that whatever the expression does, it must not alter the variable store in a way that changes the visible values of the variables.

Destructive store initialisation.

\[
x \neq x_1 \neq \cdots \neq x_n \neq X_1' \neq \cdots \neq X_m' \Rightarrow \text{store}(x_1 \ldots x_n|x: V, X_1' V_1 \ldots X_m' ; V_m')
\]

\[
R \ast P = \text{store}(x_1 \ldots x_n|x: V, X_1' V_1 \ldots X_m' ; V_m')
\]

\[
\{R \times S\} x = y(Q \ast S \ast true)
\]

It may seem surprising that we only provide lemmas for destructive variable initialisation, and not for destructive variable update. This is because such an update rule would be unsound: The destructive expression might have the side effect of overriding the variable we wish to update. This serves to further demonstrate the need for the low level reasoning introduced earlier in this paper. We can use higher level abstractions such as the store predicate where they are sound if we wish to reason about programs with side-effecting expressions, we will sometimes be forced to reason at a lower level.

The scope of a variable. The store abstraction gives us the tools we need to easily reason about programs with large numbers of variables. For example, consider the program from Section 2:

\[
x = \text{null}; y = \text{null}; z = \text{null};
\]

\[
f = \text{function}(w)(x=\text{null}, v=4; v=y;)
\]

\[
v = 5; f(\text{null}); z = v;
\]
This program demonstrates the importance of modeling variable scopes program.

With the store predicate and the lemmas given above, reasoning about this program is simple. A proof of the main program is shown in Figure 2. It relies on a simple proof of the function body summarised here and given in full in [10].

Reasoning about with. This level of abstraction also leads itself to reasoning about the notoriety with statement. Re-consider the with example from Section 2 (where w implicitly returns b):

a = {b:1}; with (a){f=function(c){b}};
a = {b:2}; f=null;

This program demonstrates the importance of modeling with correctly. Notice that when correctly modeled, the closure of the function f will refer to the object {b:1}, which was pointed to by the variable a at the time that f was defined. However, even though the variable a is changed to point to a different object before f(null) is called, the closure continues to point to the object {b:1}. Thus the program normally returns the value 1, not 2.

We can reason about this program using the store predicate. The proof is in Figure 3. This proof relies on a sub-proof for the invocation of the function f(null), which culminates with the judgement \( P(b + r = 1) \), where \( P \) is

\[
\begin{align*}
\exists L. s. I & \equiv 1 \leadsto L : S + \\
store_{s} & (x : y, z, f : v) \quad (x = \text{null} \iff z = \text{null}) \\
\{ \text{store}(f, x : y, z : y) \} & : \text{null} \\
f & = \text{function}(x, v : y, v : y) \quad (P) \\
\exists L. store & (y : x, z : x, f : f \equiv L) \\
(l, @body) & : \lambda x \ldots (L, @scope) \equiv L + \\
\exists l, v & : \text{null} \quad (L, v) : \text{null} + \\
\{ \text{store}(y : x, z : x, f : f \equiv L) \} & : \text{null} \\
f & = \text{function}(w) \\
\{ \text{store}(y : x, z : x, f : f \equiv L) \} & : \text{null} + \\
f & = \text{function}(x, v : y, v : y) \quad (P) \\
\end{align*}
\]

Figure 2. A proof of the variable scopes program.

Let \( P = \{L, b \equiv 1 \times (L, @proto) \equiv L + \} \)

\[
\begin{align*}
\{ \text{store}(a, f) \equiv (L, f) \equiv \text{null} \} & \quad \text{null} \equiv \text{null} \\
\{ \text{store}(a, f) \equiv (L, f) \equiv \text{null} \} & \quad \text{null} \equiv \text{null} \\
\{ \text{store}(a, f) \equiv (L, f) \equiv \text{null} \} & \quad \text{null} \equiv \text{null} \\
\{ \text{store}(a, f) \equiv (L, f) \equiv \text{null} \} & \quad \text{null} \equiv \text{null} \\
\{ \text{store}(a, f) \equiv (L, f) \equiv \text{null} \} & \quad \text{null} \equiv \text{null} \\
\{ \text{store}(a, f) \equiv (L, f) \equiv \text{null} \} & \quad \text{null} \equiv \text{null} \\
\{ \text{store}(a, f) \equiv (L, f) \equiv \text{null} \} & \quad \text{null} \equiv \text{null} \\
\{ \text{store}(a, f) \equiv (L, f) \equiv \text{null} \} & \quad \text{null} \equiv \text{null} \\
\end{align*}
\]

Let \( P = \{L, b \equiv 1 \times (L, @proto) \equiv L + \} \)

\[
\begin{align*}
\{ \text{store}(a, f) \equiv (L, f) \equiv \text{null} \} & \quad \text{null} \equiv \text{null} \\
\{ \text{store}(a, f) \equiv (L, f) \equiv \text{null} \} & \quad \text{null} \equiv \text{null} \\
\{ \text{store}(a, f) \equiv (L, f) \equiv \text{null} \} & \quad \text{null} \equiv \text{null} \\
\{ \text{store}(a, f) \equiv (L, f) \equiv \text{null} \} & \quad \text{null} \equiv \text{null} \\
\{ \text{store}(a, f) \equiv (L, f) \equiv \text{null} \} & \quad \text{null} \equiv \text{null} \\
\{ \text{store}(a, f) \equiv (L, f) \equiv \text{null} \} & \quad \text{null} \equiv \text{null} \\
\{ \text{store}(a, f) \equiv (L, f) \equiv \text{null} \} & \quad \text{null} \equiv \text{null} \\
\{ \text{store}(a, f) \equiv (L, f) \equiv \text{null} \} & \quad \text{null} \equiv \text{null} \\
\end{align*}
\]

Figure 3. Reasoning about with.

For space reasons we reason here about only the case in which neither a nor f are in the variable store. The same techniques in tandem with the conjunction rule can be used to prove the more general precondition:

\[
\begin{align*}
\{ \text{store}(a, f) \equiv (L, f) \equiv \text{null} \} & \quad \text{null} \equiv \text{null} \\
\{ \text{store}(a, f) \equiv (L, f) \equiv \text{null} \} & \quad \text{null} \equiv \text{null} \\
\{ \text{store}(a, f) \equiv (L, f) \equiv \text{null} \} & \quad \text{null} \equiv \text{null} \\
\{ \text{store}(a, f) \equiv (L, f) \equiv \text{null} \} & \quad \text{null} \equiv \text{null} \\
\{ \text{store}(a, f) \equiv (L, f) \equiv \text{null} \} & \quad \text{null} \equiv \text{null} \\
\{ \text{store}(a, f) \equiv (L, f) \equiv \text{null} \} & \quad \text{null} \equiv \text{null} \\
\{ \text{store}(a, f) \equiv (L, f) \equiv \text{null} \} & \quad \text{null} \equiv \text{null} \\
\{ \text{store}(a, f) \equiv (L, f) \equiv \text{null} \} & \quad \text{null} \equiv \text{null} \\
\end{align*}
\]

Notice that even in the more general case, we constrain our precondition with the assertion \((L, f) \equiv \text{null} \equiv (L, @proto) \equiv \text{null} \). The requirement for this term may seem surprising. Consider running the above program in a state satisfying \( \{L, f = 0\} \equiv \text{null} \). This kind of unpredictability could lead to very confusing bugs. In the case of a system like Facebook which attempts to isolate user-application code from host-page code, it could even lead to a security flaw.

6.3 Layer 3: a Recursive Abstract Variable Store

While reasoning using the store predicate, it is possible to handle large numbers of assignments and small numbers of function calls. However, for more function calls, another abstraction is called for.

We choose to represent an abstract variable store as a list of lists of variable-value pairs, with the most local scope frame at the head of the outer-list. The list \([x = 4], [y = 5], [x = 6, z = 7]\) represents a store in which the global scope contains the variables \(x, z\), an intermediate scope adds the variable \(y\), and the local-most scope overrides the variable \(x\). The list elements of variable-value pairs can be represented in our logical expression language as lists containing two elements. For readability, we use the notation \(x = v\) above. We define the recursive store pred-
icate $\text{reystore}_L(\text{EmptyVars, FullVars})$ which describes an abstract variable store $\text{FullVars}$, which does not contain the variables in the list $\text{EmptyVars}$.

The Recursive $\text{reystore}$ predicate.

$$\text{reystore}_L([x'_1, \ldots, x'_m], ([x_1 = V_1, \ldots, x_m = V_m])) \triangleq$$
$$\text{store}_L([x'_1, \ldots, x'_m]; V_1, \ldots, V_m)$$

$$\text{reystore}_L([x'_{i_1}, \ldots, x'_{i_l}], ([x_{i_1} = V_{i_1}, \ldots, x_{i_l} = V_{i_l}, \ldots, x_m = V_m]; S)) \triangleq$$
$$\text{reystore}_L([x'_{i_1}, \ldots, x'_{i_l}], S) \cdot (L @ \text{proto}) \rightarrow \text{null} * L \not\equiv l_g *$$

$$\prod_{i \in I \setminus m} (L, x_i) \rightarrow v_i *$$

$$\text{reystore}_L([x'_1, \ldots, x'_m], S, V_m) \triangleq$$

$$\text{reystore}_L([x'_1, \ldots, x'_m], V_m)$$

Notice that $\text{reystore}$ uses the store predicate to constrain the global most scope frame in the abstract scope list, while being rather more restrictive about more local scope frames. Local scope frames must be emulated by JavaScript objects which have a null prototype, and which are not the $l_g$ object. These criteria are met by the emulated scope frames created by a normal function call, and are not normally met by with calls. This makes this abstraction ideal for reasoning about programs with many function calls and no internal uses of the with statement. Notice however that we do not outlaw with calls in the enclosing scope, represented here by a top-level use of the store predicate. This means that this abstraction will facilitate reasoning about libraries which are written in a principled way, and which may be called by unprincipled clients.

We provide several rules for reasoning at this level of abstraction in [10], the most interesting of which are destructive variable initialisation and update.

Destructive $\text{reystore}$ update.

$$R = \text{reystore}_L([x: \text{EmpVars}], (\text{Locals} + \{\text{Globals}\}))$$

$$\{R * P\} e * (R * Q : r \equiv \text{Var})$$

$$r \not\in f(u(Q))$$

$$S = \text{reystore}_L([\text{EmpVars}], (\text{Locals} + \{x: \text{Var} : \text{Globals}\}))$$

$$\{R * P\} x = e \cdot (S * Q : \text{true})$$

$$R = \text{reystore}_L([\text{EmpVars}], (\text{Locals} + \{x = \text{Var} : \text{Curry} + \text{Globals}\}))$$

$$\{R * \text{Globs} \not\equiv [x] * P\} e * (R * \text{Globs} \not\equiv [x] * Q : r \equiv \text{Var'})$$

$$r \not\in f(u(Q))$$

$$\forall L.S \in \text{Locs}. (x = \_ \not\equiv L.S)$$

$$S = \text{reystore}_L([\text{EmpVars}], (\text{Locals} + \{x = \text{Var'} : \text{Curry} + \text{Globals}\}))$$

$$\{R * \text{Globs} \not\equiv [x] * P\} x = e \cdot (S * \text{Globs} \not\equiv [x] * Q : r \equiv \text{Y})$$

Notice that we may not safely update variables in the global portion of the abstract variable store with the results of potentially destructive expressions. This is for the same reason as the corresponding restriction on the store predicate in Section 6.2, there is a corner case which would lead to very unexpected behaviour. At this level of abstraction however, we have an advantage: we can be sure that the local abstract scope frames were constructed in a more principled way, and so we are able to reason about updating them with destructive expressions using the second rule above.

Form validation. Consider a web form with a number of mandatory text fields and a submit button. If the button is “disabled” when the page loads, then an event handler on the form can be used to regularly check if valid data has been entered in all the fields before enabling the button. Let us assume that the programmer has separated the concerns of parsing the web page and of validating the data. The data validation function will be called with a single parameter: an object with one field for each text value to check, a count of those text values, and boolean toggle corresponding to whether the submit button should be disabled. An example function which might perform the validation check is:

```
checkForm = function(data) {
  data.buttonDisabled = 0;
  var checkField = function(text) {
    if(text == "") {data.buttonDisabled = 1;}
    var i = 0;
    while(i < data.numEntries) {
      checkField(data[i]); i = i+1;
    }
  }
```

Notice that this code deals with variables in a principled way. It makes use of no global variables, preferring instead to use function parameters and local variables. The repeated work of the loop body is factored into a function which could be expanded to provide extra functionality or used elsewhere with little cost in readability. Using the $\text{reystore}$ abstraction it is straightforward to show that the function body satisfies the specification given in Figure 4.

7. Related Work

This paper is the first to propose a program logic for reasoning about JavaScript. Our program logic adapts ideas from separation logic, and proves soundness with respect to a big-step operational semantics derived from the semantics of Maffeis, Mitchell and Taly [16]. In this section, we discuss related work on separation logic and the semantics of JavaScript.

We build on the seminal work of O’Hearn, Reynolds and Yang [18], who introduced separation logic for reasoning about C-programs, and on the work of Parkinson and Bierman [22], who adapted separation logic to reason about Java. We made several adaptations to their work in order to reason about JavaScript. As in [21], we use assertions of the form $(1, x) \rightarrow 5$ to denote that a field $x$ in object 1 has value 5. We extend these assertions by $(1, x) \rightarrow \not\equiv$, which denotes that the field is not in 1. This is inspired by Dinsdale-Young et al.’s use of the ‘out’ predicate to state that values are not present in a concurrent set [6]. We introduce the sepish connective $\not\equiv$ to account for partially-shared data structures. We have not seen this connective before, which is surprising since shared data structures are common for example in Linux. There has been much work on various forms of concurrent separation logic with sharing [8, 19, 31], but they all seem to take a different approach than our $\not\equiv$ connective.

Most work on separation logic proves soundness by requiring that commands are local. JavaScript commands are inherently non-local, since their behaviour changes depending on where the program variables reside in JavaScript’s emulated variable store. We base our soundness result on weak locality, recently introduced by Smith in his PhD thesis [27]. At a similar time, Vaifeiadis proved soundness of concurrent separation logic [30], using an elegant technique which does not rely on traditional locality. This technique differs from Smith’s in that it does not aim to be compatible
with existing locality proofs. Smith’s technique allows the re-use of existing locality proofs when available.

We prove our soundness result with respect to a big-step operational semantics of JavaScript derived from the one of Maffeis et al. [16]. They define a small-step operational semantics of the complete ECMAScript 3 language, at the same level of abstraction where a JavaScript programmer reasons. In contrast, [12] provide a definitional interpreter of JavaScript written in ML, which has the advantage of being directly executable, but includes implementation details that obscure the semantic rules. Elsewhere, Guha et al. [11] compile JavaScript to an intermediate Scheme-like language. Their approach helps defining type-based analyses on the object language, but does not enjoy the one-to-one correspondence between semantic-rules and inference-rules exploited by our approach. Moreover, in some cases the compilation-phase introduces a loss of precision (for example in the case of the with construct). There are also a number of more abstract models of JavaScript, which have proven useful to study selected language features [1, 28, 33], but that are not sufficiently concrete for our purpose. Overall, we have chosen the semantics in [16] because it appears to the most faithful to the actual JavaScript semantics. As Richards et al. argue in [25], all the unusual features of JavaScript are well-used in the wild, and cannot be easily abstracted away.

8. Conclusions and Future Work
We have defined a program logic for reasoning about JavaScript, based on an operational semantics faithful to the ECMAScript standard. We have adapted separation logic to reason about a JavaScript subset, modelling many complex features, such as for example prototype inheritance and with. We reason about the full dynamic nature of JavaScript’s functions, but do not provide higher-order reasoning. We also provide only conservative reasoning about eval. Full reasoning about these features will be technically challenging, although we believe that we can build on the recent work of [5, 9, 26].

Due to our choice of operational semantics, we have been able to prove a strong soundness result. All syntactically correct library code, proved using our reasoning to be correct with respect to their specifications, will be well behaved, even when called by arbitrary JavaScript code possibly containing features not currently included in our semantics. Also, our soundness result can be extended compositionally to include more sophisticated reasoning about higher-order functions and eval.

We have given several examples of our reasoning, demonstrating through short snippets of code that JavaScript is fiendish to understand, and our reasoning can help. The with example in Section 6.2 shows a potential bug that could easily go unnoticed for some time, and perhaps lead to security holes in sanitised mashup environments. Despite the complexity of the language and the subtlety of the bug, reasoning about this and other examples is made surprisingly simple by our abstraction layers.

We hope that this work will form the core of a larger body of work on client-side web programming. For example, Thiemann [29] defines a type-safe DOM API, and Smith [27] develops a context-logic for reasoning about DOM Core Level 1. It would be valuable to integrate these approaches to DOM modelling with the JavaScript reasoning presented here. Maffeis et al. [14, 15, 17] developed techniques to build secure JavaScript mashups out of untrusted code. They prove security properties of their enforcement mechanisms, but do not study their functional correctness. Our logics makes that analysis possible. We also intend to develop reasoning for higher level libraries such as jQuery, Prototype.js and Slidy. This high level reasoning about JavaScript libraries will take the idea of our layers of abstraction to the next level. To make this program reasoning genuinely useful for JavaScript programmers, it is essential that we provide tool support. We intend to produce analysis tools capable of spotting bugs such as the one described in the with example in Section 6.2, and integrate our tools with IDEs such as Eclipse or Visual Studio.

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