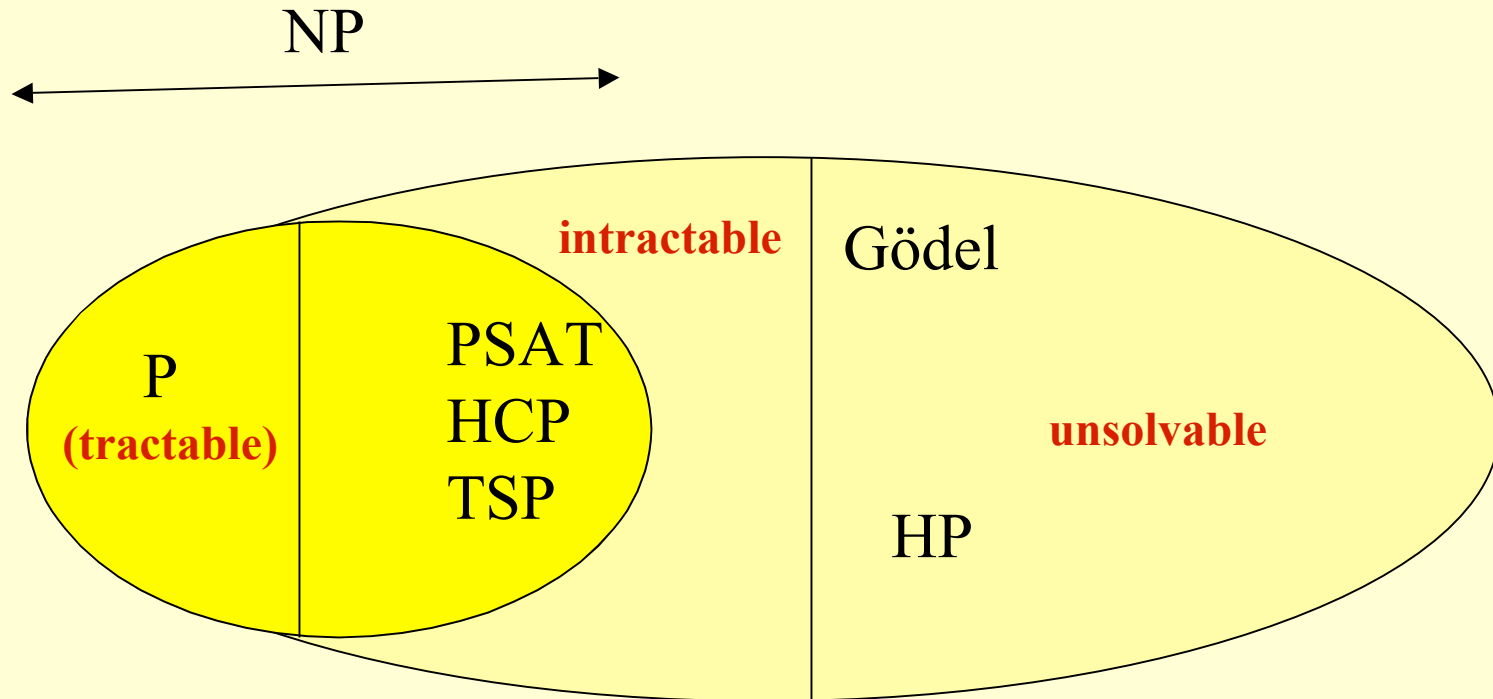


\leq yes/no problems



Complexity classes P, NP.

NP-complete problems..NPC

is there a \leq -hardest problem in NP. Or set of hardest problems?
or..a sequence \leq harder \leq harder \leq harder \leq ...

there are hardest problems in NP: the **NP-complete problems**.
Definition of NP-complete:

A yes/no problem A is **NP-complete** if:

1. $A \in \text{NP}$

2. $B \leq A$ for all problems $B \in \text{NP}$

i.e. NP-complete problems are problems in NP to which all other NP Problems can be reduced in p-time.

NPC - the class of NP-complete problems.

If A, B are NP-complete then $A \sim B$:

A is NP-complete \square $A \in \text{NP}$

for all $C \in \text{NP}$, $C \leq A$ (including B)

B is NP-complete \square $B \in \text{NP}$

for all $C \in \text{NP}$, $C \leq B$ (including A)

..so $A \leq B$ and $B \leq A$.. **$A \sim B$.**

If A is NP-complete and $A \sim B$ then B is NP-complete:

A is NP-complete \square $A \in \text{NP}$

\square for all $C \in \text{NP}$, $C \leq A$

$A \sim B \square$ $A \leq B$ and $B \leq A$.

NP is closed downwards under $\leq \square$ $B \in \text{NP}$

For any $C \in \text{NP}$, $C \leq A$ and $A \leq B$ so as \leq is transitive, $C \leq B$.

so **B is NP-complete.**

A yes/no problem A is NP-complete if:

1. $A \in \text{NP}$
2. $B \leq A$ for all problems $B \in \text{NP}$

if we know that another problem C , is NP-complete, we can show

2*. $C \leq A$ (instead of 2. above)

(remember 1. must be shown: that $A \in \text{NP}$.)

This permits extension of the set NPC by proving that a known NP-complete problem reduces in p-time to an NP problem thought to be in NPC...but is there a “first” problem in NPC?

to use 1.+and 2*) as proof, we need an existing NP-complete problem
are there any NP-complete problems?

Cook's Theorem proved that PSAT is NP-complete

so NPC $\neq \emptyset$

Cook's Theorem..PSAT is NP-complete

Proved by showing that

1. PSAT \in NP (already seen..see example 10.7 of the notes)
2. any problem in NP can be reduced in p-time to PSAT
ie that for any problem $A \in$ NP, $A \leq$ PSAT.

let $A \in$ NP \in \in a non-deterministic TM, $N = (Q, \Sigma, I, q_0, \delta, F)$
which solves A

\in \in $p(n)$: $\text{time}_N(n) \leq p(n)$

[no run of N on input with length n takes more than p(n) steps.]

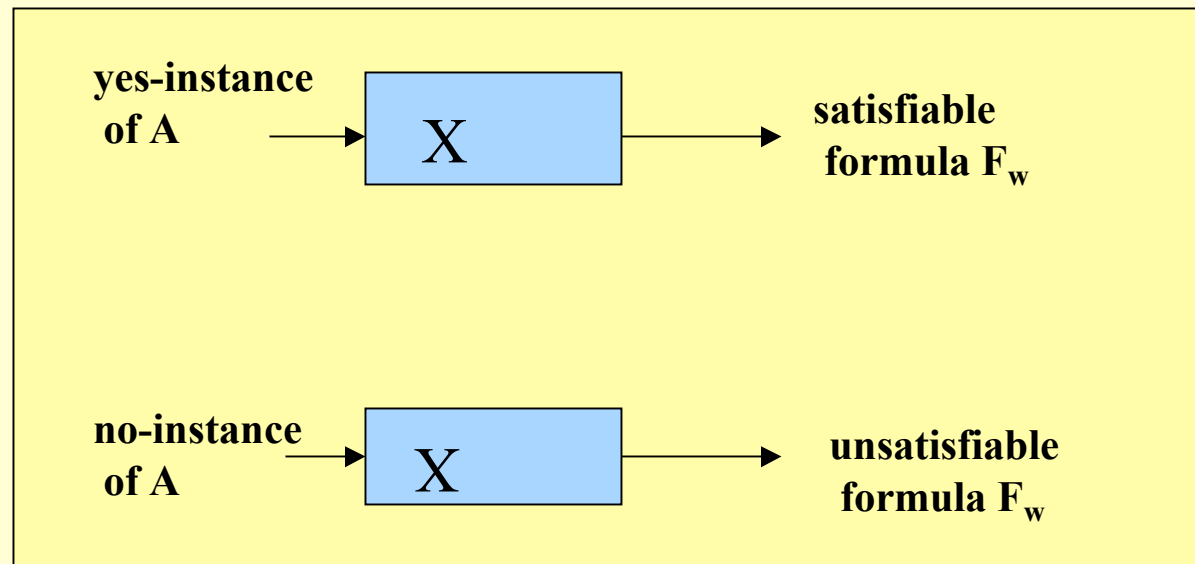
for input w a yes-instance of A: N has an accepting run

no-instance of A: N has no accepting runs

we want to reduce A to PSAT in p-time

If we can build a deterministic TM, X which

- given a yes-instance of A , outputs a satisfiable formula F_w of propositional logic (a yes-instance of PSAT)
- given a no-instance of A , outputs an unsatisfiable formula F_w of propositional logic (a no-instance of PSAT)



..and X runs in polynomial time, then X reduces A to PSAT in p -time
and so PSAT is NP-complete

The formula F_w where w is a yes-instance of A describes the conditions for N to have an accepting run: for N to Halt and Succeed.

So the formula is satisfiable iff there is an accepting run of N on w ...

F_w is a yes-instance of PSAT iff w is a yes-instance of A .

F_w is a no-instance of PSAT iff w is a no-instance of A .

Description of runs of N as a formula of propositional logic:

represent the configuration (state, tape contents, head position) of N at each step of N 's run.

...at most $p(n)$ of these for input w of length n .

not all possible configurations

configuration sequences are possible in a run:

Constraints for a sequence of configuration boxes to represent an accepting run of N :

1. For each $t \leq p(n)$ the box for time t must represent a genuine configuration
2. $C(0)$ must be the initial configuration:
state q_0 , head in sq 0, w on the tape
3. The sequence of configurations must represent a complete run of N , as defined by the \square function
4. The run corresponding to the sequence must be an accepting run:
..it must end in a halting state.

does N accept w ?

= can we complete a sequence subject to the 4 constraints?

Representing the configuration sequence for a run as a Formula in propositional Logic:

- all the entries in the sequence are boolean values (shaded or not).
- describe the constraints for an accepting run:
 F_w - formula expressing constraints on the truth values of atoms

a valuation of atoms which makes F_w true

\equiv a valid table/sequence of configurations

\equiv an accepting run for w .

a yes-instance of A corresponds to a valuation of the atoms giving

F_w the value true

this is reduction of A to PSAT..

does X run in p-time?

X is deterministic and runs in p-time

F_w depends on w and the \square -function of N . It can be derived by a deterministic algorithm from w and \square .

..so can be done by a deterministic Turing Machine. This is X .

running time of X..

Suppose $Q = \{q_0, q_1, q_2, q_3, \dots, q_s\}$, $\Sigma = \{a_0, a_1, a_2, \dots, a_r\}$

number of atoms X writes in F_w

$\leq (p(n)+1)$ (maximum number of steps in a run of N solving A)

$\times ((r+1)(p(n)+1)$ (max length of tape \times size of alphabet)

$+ p(n) + 1$ (head position)

$+ (s+1)$ (number of states)

$= (p(n) + 1)(p(n)+1)(r+2)+s+1$..a polynomial.

Summary

We have defined:

non-deterministic Turing machines (and shown their equivalence to ordinary TMs).

time functions of TMs and NDTMs, $\text{time}_X(n)$ for TM X, where n is the length of the input.

polynomial-time Reduction: $\text{time}_X(n) \leq p(n)$, p a polynomial.

complexity classes of yes/no problems:

P..solvable by a p-time deterministic TM

NP.. solvable by a p-time non-deterministic TM

NPC..(the \leq hardest problems in NP)..problems in NP to which all other problems in NP can be reduced in p-time.

Cook's Theorem proves that **NPC** $\neq \emptyset$

\leq is a **pre-order**: a reflexive, transitive, binary relation

P and NP are closed downwards under reduction (\leq)