

# Advanced Databases

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## Databases are Computer Stores of Data!

Tiny Bank Ltd Customer: McBrien, P.  
 Strand Branch Current Acc: 10000100  
 Sortcode: 55-66-67

Trans	Amount	Date
1000	2300.00	5/1/1999
1002	-223.45	8/1/1999
1006	10.23	15/1/1999

Tiny Bank Ltd Customer: Poulovassilis, A.  
 Wimbledon Branch Current Acc: 10000107  
 Sortcode: 55-66-56

Trans	Amount	Date
1004	-100.00	11/1/1999
1007	345.56	15/1/1999

Tiny Bank Ltd Customer: McBrien, P.  
 Strand Branch Deposit Acc: 10000101  
 Sortcode: 55-66-67

Trans	Amount	Date
1001	4000.00	5/1/1999
1008	1230.00	15/1/1999

Tiny Bank Ltd Customer: Poulovassilis, A.  
 Wimbledon Branch Deposit Acc: 10000119  
 Sortcode: 55-66-56

Trans	Amount	Date
1009	5600.00	18/1/1999

Tiny Bank Ltd Customer: Boyd, M.  
 Goodge St Branch Current Acc: 10000103  
 Sortcode: 55-66-34

Trans	Amount	Date
1005	145.50	12/1/1999

Tiny Bank Ltd Customer: Bailey, J.  
 Wimbledon Branch Current Acc: 10000125  
 Sortcode: 55-66-56

Trans	Amount	Date
No transactions this month		

Deposit Rates

AccountRate

101 5.25

119 5.50

# Data Models

- unstructured-data
- flat files
- semistructured data
- structured data

## Flat file: CSV

## branch.csv

```

sortcode,bname,cash
56,"Wimbledon",94340.45
34,"Goodge St", 8900.67
67,"Strand",34005.00

```

## account.csv

```

no,type,cname,rate,sortcode
100,"current","McBrien, P.",,67
101,"deposit","McBrien, P.",5.25,67
103,"current","Boyd, M.",,34
107,"current","Poulovassilis, A.",,56
119,"deposit","Poulovassilis, A.",5.50,56
125,"current","Bailey, J.",,56

```

## movement.csv

```

mid,no,amount,tdate
1000,100,2300.00,5/1/1999
1001,101,4000.00,5/1/1999
1002,100,-223.45,8/1/1999
1004,107,-100.00,11/1/1999
1005,103,145.50,12/1/1999
1006,100,10.23,15/1/1999
1007,107,345.56,15/1/1999
1008,101,1230.00,15/1/1999
1009,119,5600.00,18/1/1999

```

## Semistructured Data: JSON

## JSON file for DataTables Javascript Library

```

{
  "aoColumns": [
    { "sTitle": "no", "sClass": "right" },
    { "sTitle": "type", "sClass": "left" },
    { "sTitle": "cname", "sClass": "left" },
    { "sTitle": "rate", "sClass": "right" },
    { "sTitle": "sortcode", "sClass": "right" }
  ],
  "aaData": [
    [ "100", "current", "McBrien, P.", "", "67" ],
    [ "101", "deposit", "McBrien, P.", "5.25", "67" ],
    [ "103", "current", "Boyd, M.", "", "34" ],
    [ "107", "current", "Poulovassilis, A.", "", "56" ],
    [ "119", "deposit", "Poulovassilis, A.", "5.50", "56" ],
    [ "125", "current", "Bailey, J.", "", "56" ]
  ]
}

```

## Semistructured Data: XML

## Fragment of Bank Branch Data as Nested XML

```

<bank>
  <branch sortcode="67" bname="Strand" cash="34005.00">
    <account no="100" type="current" cname="McBrien, P.">
      <movement mid="1000" amount="2300.00" tdate="5/1/1999" />
      <movement mid="1002" amount="-223.45" tdate="8/1/1999" />
      <movement mid="1006" amount="10.23" tdate="15/1/1999" />
    </account>
    <account no="101" type="deposit" cname="McBrien, P." rate="5.25">
      <movement mid="1001" amount="4000.00" tdate="5/1/1999" />
      <movement mid="1008" amount="1230.00" tdate="15/1/1999" />
    </account>
  </branch>
</bank>

```

## Structured Data: Relational Model

branch		
<u>sortcode</u>	bname	cash
56	'Wimbledon'	94340.45
34	'Goodge St'	8900.67
67	'Strand'	34005.00

movement			
<u>mid</u>	no	amount	tdate
1000	100	2300.00	5/1/1999
1001	101	4000.00	5/1/1999
1002	100	-223.45	8/1/1999
1004	107	-100.00	11/1/1999
1005	103	145.50	12/1/1999
1006	100	10.23	15/1/1999
1007	107	345.56	15/1/1999
1008	101	1230.00	15/1/1999
1009	119	5600.00	18/1/1999

account				
<u>no</u>	type	cname	rate	sortcode
100	'current'	'McBrien, P.'	NULL	67
101	'deposit'	'McBrien, P.'	5.25	67
103	'current'	'Boyd, M.'	NULL	34
107	'current'	'Poulovassilis, A.'	NULL	56
119	'deposit'	'Poulovassilis, A.'	5.50	56
125	'current'	'Bailey, J.'	NULL	56

key branch(sortcode)

key branch(bname)

key movement(mid)

key account(no)

movement(no)  $\xRightarrow{fk}$  account(no)

account(sortcode)  $\xRightarrow{fk}$  branch(sortcode)

# SQL Implementation of the Relational Model

```
CREATE TABLE branch
( sortcode INTEGER NOT NULL,
  bname VARCHAR(20) NOT NULL,
  cash DECIMAL(10,2) NOT NULL,
  CONSTRAINT branch_pk PRIMARY KEY (sortcode)
)
```

```
CREATE UNIQUE INDEX branch_bname_idx
ON branch(bname)
```

```
CREATE TABLE account
( no INTEGER NOT NULL,
  type CHAR(8) NOT NULL,
  cname VARCHAR(20) NOT NULL,
  rate DECIMAL(4,2) NULL,
  sortcode INTEGER NOT NULL,
  CONSTRAINT account_pk
    PRIMARY KEY (no),
  CONSTRAINT account_fk
    FOREIGN KEY (sortcode) REFERENCES branch
)
```

```
CREATE INDEX account_type_idx ON account(type)
```

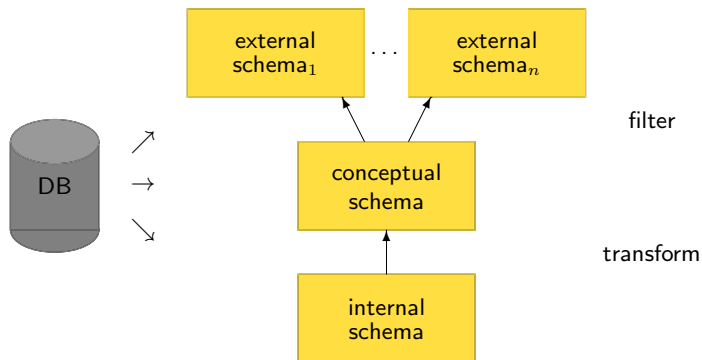
```
CREATE TABLE movement
( mid INTEGER NOT NULL,
  no INTEGER NOT NULL,
  amount DECIMAL(10,2) NOT NULL,
  tdate DATETIME NOT NULL,
  CONSTRAINT movement_pk
    PRIMARY KEY (mid),
  CONSTRAINT movement_fk
    FOREIGN KEY (no) REFERENCES account
)
```

# RDBMS Products

Product	SQL Language	Company
Oracle	PL/SQL	Oracle
Sybase	Transact-SQL	SAP
SQLServer	Transact-SQL	Microsoft
DB2	SQL PL	IBM
PostgreSQL	PL/pgSQL	Open Source
MySQL	MySQL	Open Source (Oracle)

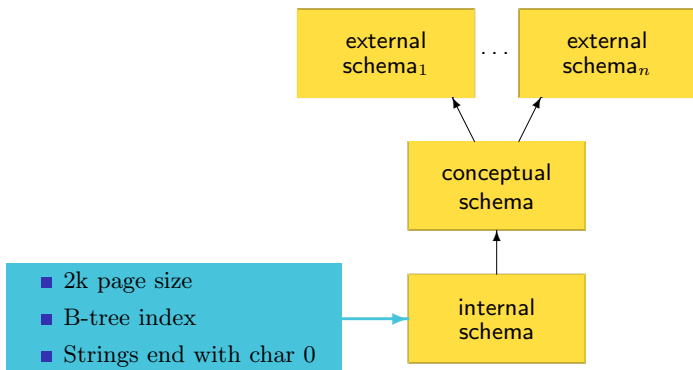
*All partially implement ANSI SQL*

## ANSI/SPARC Model



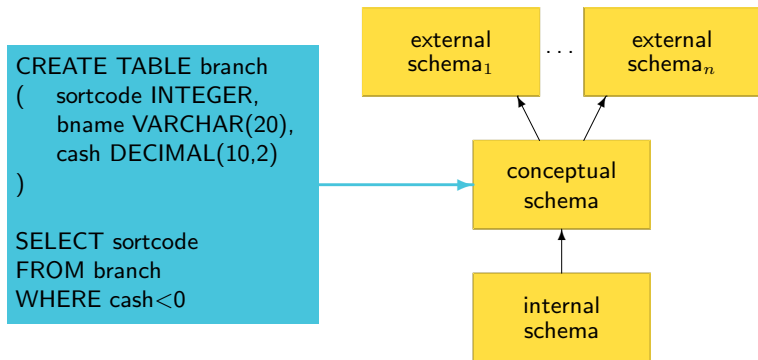
- ANSI/SPARC model views three levels of abstractions
- **schema** means *structure of the database*

## ANSI/SPARC Model (Internal Schema)



- Describes the physical layout of data

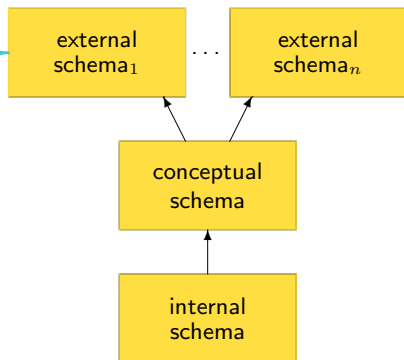
## ANSI/SPARC Model (Conceptual Schema)



- defined in **data definition language (DDL)**
- queried using **data manipulation language (DML)**
- controlled by **database administrator (DBA)**

## ANSI/SPARC Model (External Schema)

```
CREATE VIEW bust
SELECT sortcode
FROM branch
WHERE cash<0
```



- Define a schema for a particular user/application

# Transactions

```
BEGIN TRANSACTION
  UPDATE branch
  SET cash=cash-10000.00
  WHERE sortcode=56

  UPDATE branch
  SET cash=cash+10000.00
  WHERE sortcode=34
COMMIT TRANSACTION
```

**database management systems (DBMS)** implements indivisible tasks called **transactions**

- **Atomicity** all or nothing

# Transactions

```
BEGIN TRANSACTION
  UPDATE branch
  SET cash=cash-10000.00
  WHERE sortcode=56

  UPDATE branch
  SET cash=cash+10000.00
  WHERE sortcode=34
COMMIT TRANSACTION
```

**database management systems (DBMS) implements indivisible tasks called transactions**

- **Atomicity** all or nothing
- **Consistency** consistent before → consistent after

# Transactions

```
BEGIN TRANSACTION
  UPDATE branch
  SET cash=cash-10000.00
  WHERE sortcode=56

  UPDATE branch
  SET cash=cash+10000.00
  WHERE sortcode=34
COMMIT TRANSACTION
```

**database management systems (DBMS)** implements indivisible tasks called **transactions**

- **Atomicity** all or nothing
- **Consistency** consistent before → consistent after
- **Isolation** independent of any other transaction

# Transactions

```
BEGIN TRANSACTION
  UPDATE branch
  SET cash=cash-10000.00
  WHERE sortcode=56

  UPDATE branch
  SET cash=cash+10000.00
  WHERE sortcode=34
COMMIT TRANSACTION
```

**database management systems (DBMS)** implements indivisible tasks called **transactions**

- **Atomicity** all or nothing
- **Consistency** consistent before → consistent after
- **Isolation** independent of any other transaction
- **Durability** completed transactions are durable

## Transaction Execution

branch		
<u>sortcode</u>	bname	cash
56	'Wimbledon'	94340.45
34	'Goodge St'	8900.67
67	'Strand'	34005.00

```

BEGIN TRANSACTION T1
UPDATE branch
SET cash=cash-10000.00
WHERE sortcode=56

UPDATE branch
SET cash=cash+10000.00
WHERE sortcode=34
COMMIT TRANSACTION T1

```



```

H1 = r1[b56], cash=94340.45,
w1[b56], cash=84340.45,
r1[b34], cash=8900.67,
w1[b34], cash=18900.67, c1

```

```

BEGIN TRANSACTION T2
UPDATE branch
SET cash=cash-2000.00
WHERE sortcode=34

UPDATE branch
SET cash=cash+2000.00
WHERE sortcode=67

```



```

H2 = r2[b34], cash=18900.67,
w2[b34], cash=16900.67,
r2[b67], cash=34005.00,
w2[b67], cash=36005.00, c2

```

# Maintaining the ACID Properties

Some executions maintain the ACID Properties ...

$H_{ok} = r_1[b_{56}], w_1[b_{56}], r_1[b_{34}], w_1[b_{34}], c_1, r_2[b_{34}], w_2[b_{34}], r_2[b_{67}], w_2[b_{67}], c_2$   
 $H_{ok} = r_2[b_{34}], w_2[b_{34}], r_1[b_{56}], w_1[b_{56}], r_1[b_{34}], w_1[b_{34}], r_2[b_{67}], w_2[b_{67}], c_2, c_1$

# Maintaining the ACID Properties

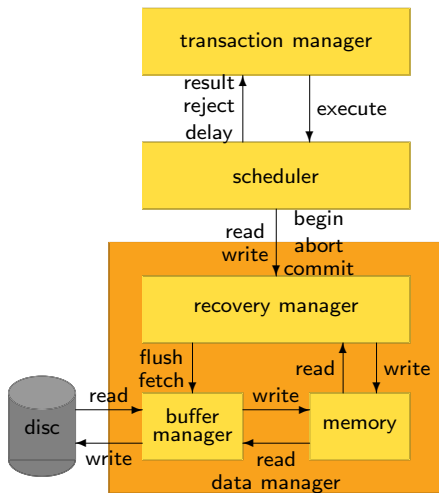
Some executions maintain the ACID Properties ...

$H_{Ok} = r_1[b_{56}], w_1[b_{56}], r_1[b_{34}], w_1[b_{34}], c_1, r_2[b_{34}], w_2[b_{34}], r_2[b_{67}], w_2[b_{67}], c_2$   
 $H_{Ok} = r_2[b_{34}], w_2[b_{34}], r_1[b_{56}], w_1[b_{56}], r_1[b_{34}], w_1[b_{34}], r_2[b_{67}], w_2[b_{67}], c_2, c_1$

... others do not

$H_{bad} = r_2[b_{34}], r_1[b_{56}], w_1[b_{56}], r_1[b_{34}], w_1[b_{34}], c_1, w_2[b_{34}], r_2[b_{67}], w_2[b_{67}], c_2$   
 $H_{bad} = r_2[b_{34}], w_2[b_{34}], r_1[b_{56}], w_1[b_{56}], r_1[b_{34}], w_1[b_{34}], c_1, r_2[b_{67}], w_2[b_{67}], c_2$

## DBMS Architecture



## Do you always want a full DBMS?

Providing a full relational DBMS has an implementation overhead.

A **No-SQL** database (there are no standards for No-SQL!) omits one or more of the following:

- ACID properties
- Relational data structures
- Query language

In return, No-SQL systems promise the ability to

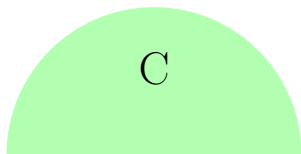
- replicate and fragment data of the large number of servers
- adapt the schema a runtime without performance degradation
- scale to handle very large datasets

# CAP Theorem

## CAP Theorem

No distributed system may maintain all three of

- **Consistency:** all nodes see the same version of data

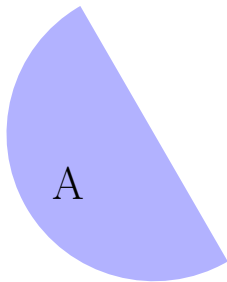


# CAP Theorem

## CAP Theorem

No distributed system may maintain all three of

- **Consistency:** all nodes see the same version of data
- **Availability:** the system always responds within fixed upper limits of time

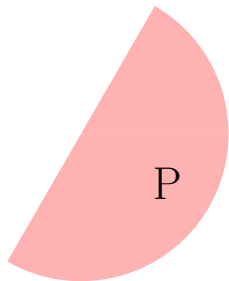


# CAP Theorem

## CAP Theorem

No distributed system may maintain all three of

- **Consistency:** all nodes see the same version of data
- **Availability:** the system always responds within fixed upper limits of time
- **Partition Tolerance:** the system always is available even when messages are lost or network failures occur

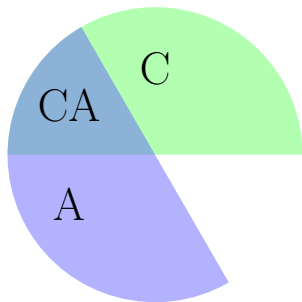


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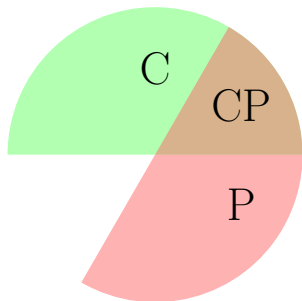
- CA  
*e.g.* Centralised Database

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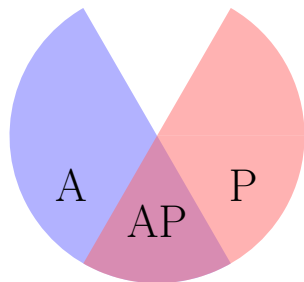
- CP  
*e.g.* Distributed RDBMS

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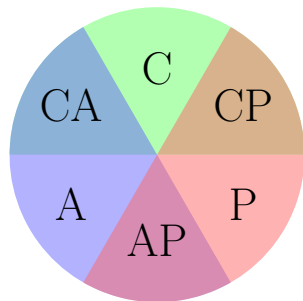
- AP  
*e.g.* DNS

## CAP Theorem

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- CA  
*e.g.* Centralised Database
- CP  
*e.g.* Distributed RDBMS
- AP  
*e.g.* DNS

# No-SQL Example: Google Bigtable

Data model is a single large table with names given to each row and column

	contents:	anchor:uk.ac.imperial	anchor:uk.ac.bbk.dcs	language:
uk.ac.ic.doc/pjm	<HTML><HEAD><TITLE>Peter ...	Home Page	Personal website	en
uk.ac.imperial.www/people/p.mcbrien	<HTML><HEAD><TITLE>p. mcbrien			en
⋮				

- referencing data involves requesting the value held at a (row,column,timestamp) location in the table
- columns divided into families with names taking form family\_name:qualifier
- access to rows is **atomic**
- rows stored in clusters called **tablets**, making access to a group of rows with a lexically close name more efficient

# Course Format

- Four hours combined lectures/tutorials per week, for Weeks 2 to 9
- No lecture Monday 28th October
- Four courseworks
- Week 11 has the exam (full 2 hour paper)
- No one text book covers all the material
  - *Fundamentals of Database Systems*,  
6th Ed, Elmasri and Navathe, Addison Wesley
  - *Database Systems: The Complete Book*,  
2nd Ed, Garcia-Molina, Ullman and Widom, Pearson
  - *Database Systems*,  
5th Ed, Connolly and Begg, Addison Wesley
- Extra information at <http://www.doc.ic.ac.uk/~pjm/adb/>

# Course Content: Physical Layer

- Physical Storage & Indexing
  - File organisation & record layout
  - B<sup>+</sup>-tree Indexes
  - Bit-map Indexes
- Transaction Processing
  - Serialisability
  - Recovery
  - Checkpointing
- Query processing & optimization
  - evaluation of relational operators: join algorithms
  - query plans and plan selection
- Data Distribution
  - making a collection of databases behave as one database
  - distributed transaction processing
  - distributed query processing

# Course Content: Conceptual Layer

Two main subject areas:

- Data Models + their query languages
  - relational
  - XML
  - temporal data
- Distributed Data Processing
  - Pig