

Relational Model and Algebra

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Example Relational Schema

| branch | | |
|-----------------|-------------|----------|
| <u>sortcode</u> | bname | cash |
| 56 | 'Wimbledon' | 94340.45 |
| 34 | 'Goodge St' | 8900.67 |
| 67 | 'Strand' | 34005.00 |

| movement | | | |
|------------|-----|---------|-----------|
| <u>mid</u> | no | amount | tdate |
| 1000 | 100 | 2300.00 | 5/1/1999 |
| 1001 | 101 | 4000.00 | 5/1/1999 |
| 1002 | 100 | -223.45 | 8/1/1999 |
| 1004 | 107 | -100.00 | 11/1/1999 |
| 1005 | 103 | 145.50 | 12/1/1999 |
| 1006 | 100 | 10.23 | 15/1/1999 |
| 1007 | 107 | 345.56 | 15/1/1999 |
| 1008 | 101 | 1230.00 | 15/1/1999 |
| 1009 | 119 | 5600.00 | 18/1/1999 |

| account | | | | |
|-----------|-----------|---------------------|------|----------|
| <u>no</u> | type | cname | rate | sortcode |
| 100 | 'current' | 'McBrien, P.' | NULL | 67 |
| 101 | 'deposit' | 'McBrien, P.' | 5.25 | 67 |
| 103 | 'current' | 'Boyd, M.' | NULL | 34 |
| 107 | 'current' | 'Poulovassilis, A.' | NULL | 56 |
| 119 | 'deposit' | 'Poulovassilis, A.' | 5.50 | 56 |
| 125 | 'current' | 'Bailey, J.' | NULL | 56 |

key branch(sortcode)

key branch(bname)

key movement(mid)

key account(no)

movement(no) \xRightarrow{fk} account(no)

account(sortcode) \xRightarrow{fk} branch(sortcode)

Relational Algebra: A Query Language for the Relational Model

- Five primitive operators
 - Unary operators π and σ
 - Binary operators \times , \cup and $-$
- All operators produce one relation as their output
- Other (useful) operators may be defined in terms of the five primitive operators

Relational Algebra: Project π

| account | | | | |
|-----------|-----------|---------------------|------|----------|
| <u>no</u> | type | cname | rate | sortcode |
| 100 | 'current' | 'McBrien, P.' | NULL | 67 |
| 101 | 'deposit' | 'McBrien, P.' | 5.25 | 67 |
| 103 | 'current' | 'Boyd, M.' | NULL | 34 |
| 107 | 'current' | 'Poulovassilis, A.' | NULL | 56 |
| 119 | 'deposit' | 'Poulovassilis, A.' | 5.50 | 56 |
| 125 | 'current' | 'Bailey, J.' | NULL | 56 |

Project Operator

| $\pi_{no,type}$ account | |
|-------------------------|-----------|
| <u>no</u> | type |
| 100 | 'current' |
| 101 | 'deposit' |
| 103 | 'current' |
| 107 | 'current' |
| 119 | 'deposit' |
| 125 | 'current' |

| $\pi_{sortcode}$ account |
|--------------------------|
| sortcode |
| 67 |
| 34 |
| 56 |

Relational Algebra: Select σ

| account | | | | |
|-----------|-----------|---------------------|------|----------|
| <u>no</u> | type | cname | rate | sortcode |
| 100 | 'current' | 'McBrien, P.' | NULL | 67 |
| 101 | 'deposit' | 'McBrien, P.' | 5.25 | 67 |
| 103 | 'current' | 'Boyd, M.' | NULL | 34 |
| 107 | 'current' | 'Poulovassilis, A.' | NULL | 56 |
| 119 | 'deposit' | 'Poulovassilis, A.' | 5.50 | 56 |
| 125 | 'current' | 'Bailey, J.' | NULL | 56 |

Select Operator

| $\sigma_{\text{rate}>0}\text{account}$ | | | | |
|--|-----------|---------------------|------|----------|
| <u>no</u> | type | cname | rate | sortcode |
| 101 | 'deposit' | 'McBrien, P.' | 5.25 | 67 |
| 119 | 'deposit' | 'Poulovassilis, A.' | 5.50 | 56 |

Relational Algebra: Product \times

| branch | | |
|----------|-------------|----------|
| sortcode | bname | cash |
| 56 | 'Wimbledon' | 94340.45 |
| 34 | 'Goodge St' | 8900.67 |
| 67 | 'Strand' | 34005.00 |

| $\sigma_{\text{rate}>0}\text{account}$ | | | | |
|--|-----------|---------------------|------|----------|
| no | type | cname | rate | sortcode |
| 101 | 'deposit' | 'McBrien, P.' | 5.25 | 67 |
| 119 | 'deposit' | 'Poulovassilis, A.' | 5.50 | 56 |

Product Operator

| branch \times $\sigma_{\text{rate}>0}\text{account}$ | | | | | | | |
|--|-------------|----------|-----|-----------|---------------------|------|----------|
| sortcode | bname | cash | no | type | cname | rate | sortcode |
| 56 | 'Wimbledon' | 94340.45 | 101 | 'deposit' | 'McBrien, P.' | 5.25 | 67 |
| 56 | 'Wimbledon' | 94340.45 | 119 | 'deposit' | 'Poulovassilis, A.' | 5.50 | 56 |
| 34 | 'Goodge St' | 8900.67 | 101 | 'deposit' | 'McBrien, P.' | 5.25 | 67 |
| 34 | 'Goodge St' | 8900.67 | 119 | 'deposit' | 'Poulovassilis, A.' | 5.50 | 56 |
| 67 | 'Strand' | 34005.00 | 101 | 'deposit' | 'McBrien, P.' | 5.25 | 67 |
| 67 | 'Strand' | 34005.00 | 119 | 'deposit' | 'Poulovassilis, A.' | 5.50 | 56 |

Quiz 1: RA Queries

| branch | | |
|----------|-------------|----------|
| sortcode | bname | cash |
| 56 | 'Wimbledon' | 94340.45 |
| 34 | 'Goodge St' | 8900.67 |
| 67 | 'Strand' | 34005.00 |

| account | | | | |
|---------|-----------|---------------------|------|----------|
| no | type | cname | rate | sortcode |
| 100 | 'current' | 'McBrien, P.' | NULL | 67 |
| 101 | 'deposit' | 'McBrien, P.' | 5.25 | 67 |
| 103 | 'current' | 'Boyd, M.' | NULL | 34 |
| 107 | 'current' | 'Poulovassilis, A.' | NULL | 56 |
| 119 | 'deposit' | 'Poulovassilis, A.' | 5.50 | 56 |
| 125 | 'current' | 'Bailey, J.' | NULL | 56 |

Which RA query lists the name of branches that have deposit accounts?

A

 $\pi_{\text{sortcode}} \sigma_{\text{type}='deposit'} \text{account}$

B

 π_{bname}
 $\sigma_{\text{account.sortcode}=\text{branch.sortcode} \wedge \text{type}='deposit'}$
 $(\text{account} \times \text{branch})$

C

 $\pi_{\text{bname}} (\text{branch} \times \sigma_{\text{type}='deposit'} \text{account})$

D

 $\pi_{\text{bname}} \sigma_{\text{type}='deposit'} (\text{account} \times \text{branch})$

SPJ Queries

Select Project Join (SPJ) queries

If a product of tables is formed, where a selection is then done that compares the attributes of those tables, we say that a **join** has been performed.

Normally not all columns of the product are returned, and therefore a project is also required.

Branches with current accounts

$\pi_{\text{bname, no}} \sigma_{\text{branch.sortcode}=\text{account.sortcode} \wedge \text{account.type}=\text{'current'}} (\text{branch} \times \text{account})$

| bname | no |
|-------------|-----|
| 'Goodge St' | 103 |
| 'Wimbledon' | 107 |
| 'Wimbledon' | 125 |
| 'Strand' | 100 |

Relational Algebra: Union \cup

| $\pi_{\text{sortcode as id}} \text{account}$ | $\pi_{\text{no as id}} \text{account}$ |
|--|--|
| id | id |
| 67 | 100 |
| 34 | 101 |
| 56 | 103 |
| | 107 |
| | 119 |
| | 125 |

| Union Operator | |
|--|-----|
| $\pi_{\text{sortcode as id}} \text{account} \cup \pi_{\text{no as id}} \text{account}$ | id |
| | 67 |
| | 34 |
| | 56 |
| | 100 |
| | 101 |
| | 103 |
| | 107 |
| | 119 |
| | 125 |

- relations must be **union compatible**

Relational Algebra: Difference –

| $\pi_{no}account$ | $\pi_{no}movement$ |
|-------------------|--------------------|
| no | no |
| 100 | 100 |
| 101 | 101 |
| 103 | 103 |
| 107 | 107 |
| 119 | 119 |
| 125 | |

Difference Operator

 $\pi_{no}account - \pi_{no}movement$

| <u>no</u> |
|-----------|
| 125 |

- relations must be **union compatible**

Rules for Combining Operators

Since all operators produce a relation as output, *any* operator may produce one of the inputs to any other operator.

well formed RA query

- the output of the nested operator must contain the attributes required by an outer π or σ
- the two inputs to a \cup or $-$ must contain the same number of attributes

Derived Relational Algebra: Natural Join \bowtie

Natural Join

$$R \bowtie S = \sigma_{R.A_1=S.A_1 \wedge \dots \wedge R.A_m=S.A_m} R \times S$$

Natural Join

$$\text{branch} \bowtie \text{account} = \sigma_{\text{branch.sortcode}=\text{account.sortcode}} \text{branch} \times \text{account}$$

| branch \bowtie account | | | | | | |
|--------------------------|-------------|----------|-----|-----------|---------------------|------|
| sortcode | bname | cash | no | type | cname | rate |
| 34 | 'Goodge St' | 8900.67 | 103 | 'current' | 'Boyd, M.' | NULL |
| 56 | 'Wimbledon' | 94340.45 | 107 | 'current' | 'Poulovassilis, A.' | NULL |
| 56 | 'Wimbledon' | 94340.45 | 119 | 'deposit' | 'Poulovassilis, A.' | 5.50 |
| 56 | 'Wimbledon' | 94340.45 | 125 | 'current' | 'Bailey, J.' | NULL |
| 67 | 'Strand' | 34005.00 | 100 | 'current' | 'McBrien, P.' | NULL |
| 67 | 'Strand' | 34005.00 | 101 | 'deposit' | 'McBrien, P.' | 5.25 |

Quiz 2: Natural Join

What is the result of $\pi_{no}(\text{account} \bowtie \text{movement})$?

A

 $\pi_{no}(\text{account} \bowtie \text{movement})$

| |
|-----|
| no |
| 100 |
| 101 |
| 103 |
| 107 |
| 119 |
| 125 |

B

 $\pi_{no}(\text{account} \bowtie \text{movement})$

| |
|-----|
| no |
| 100 |
| 101 |
| 103 |
| 107 |
| 119 |

C

 $\pi_{no}(\text{account} \bowtie \text{movement})$

| |
|-----|
| no |
| 125 |

D

 $\pi_{no}(\text{account} \bowtie \text{movement})$

| |
|----|
| no |
|----|

Derived Relational Algebra: Semi Join \ltimes

Semi Join

$$R \ltimes S = R \bowtie \pi_{Attr(R) \cap Attr(S)}(S)$$

Semi Join

$$\text{account} \ltimes \text{movement} = \text{account} \bowtie \pi_{no}(\text{movement})$$

| account \ltimes movement | | | | |
|----------------------------|-----------|---------------------|------|----------|
| <u>no</u> | type | cname | rate | sortcode |
| 100 | 'current' | 'McBrien, P.' | NULL | 67 |
| 101 | 'deposit' | 'McBrien, P.' | 5.25 | 67 |
| 103 | 'current' | 'Boyd, M.' | NULL | 34 |
| 107 | 'current' | 'Poulovassilis, A.' | NULL | 56 |
| 119 | 'deposit' | 'Poulovassilis, A.' | 5.50 | 56 |

Derived Relational Algebra: Joins

Natural Join

$$R \bowtie S = \sigma_{R.A_1=S.A_1 \wedge \dots \wedge R.A_m=S.A_m} R \times S$$

Equi Join

$$R \stackrel{A=B}{\bowtie} S = \sigma_{R.A=S.B} R \times S$$

Semi Join

$$R \ltimes S = R \bowtie \pi_{Attr(R) \cap Attr(S)}(S)$$

Theta Join

$$R \stackrel{\theta}{\bowtie} S = \sigma_{\theta} R \times S$$

Quiz 3: Understanding join operators

| branch | | |
|----------|-------------|----------|
| sortcode | bname | cash |
| 56 | 'Wimbledon' | 94340.45 |
| 34 | 'Goodge St' | 8900.67 |
| 67 | 'Strand' | 34005.00 |

| account | | | | |
|---------|-----------|---------------------|------|----------|
| no | type | cname | rate | sortcode |
| 100 | 'current' | 'McBrien, P.' | NULL | 67 |
| 101 | 'deposit' | 'McBrien, P.' | 5.25 | 67 |
| 103 | 'current' | 'Boyd, M.' | NULL | 34 |
| 107 | 'current' | 'Poulovassilis, A.' | NULL | 56 |
| 119 | 'deposit' | 'Poulovassilis, A.' | 5.50 | 56 |
| 125 | 'current' | 'Bailey, J.' | NULL | 56 |

Which RA query produces the most tuples?

A

branch $\bowtie_{\text{branch.sortcode} < \text{account.sortcode}}$ account

B

branch \bowtie account

C

branch \bowtie account

D

branch $\bowtie_{\text{branch.sortcode} = \text{account.sortcode}}$ account

Quiz 4: Foreign Keys and Natural Joins (1)

Suppose R and S only share attribute A , and there is a foreign key $S(A) \xrightarrow{fk} R(A)$.

If $|R| = 100$ and $|S| = 1,000$, what is $|R \bowtie S|$?

A

100

B

1,000

C

100,000

D

900

Note that $|R|$ returns the number of tuples in the current extent of R

Quiz 5: Foreign Keys and Natural Joins (2)

Suppose R and S only share attribute A , and there is a foreign key $R(A) \xrightarrow{fk} S(A)$.

If $|R| = 100$ and $|S| = 1,000$, what is $|R \bowtie S|$?

A

100

B

1,000

C

100,000

D

900

Derived Relational Algebra: Intersection \cap

Intersection

$$R \cap S = R - (R - S)$$

 $\pi_{\text{no}}\text{account} \cap \pi_{\text{no}}\text{movement}$

| $\pi_{\text{no}}\text{account}$ |
|---------------------------------|
| no |
| 100 |
| 101 |
| 103 |
| 107 |
| 119 |
| 125 |

| $\pi_{\text{no}}\text{account} - \pi_{\text{no}}\text{movement}$ |
|--|
| <u>no</u> |
| 125 |

| $\pi_{\text{no}}\text{account} \cap \pi_{\text{no}}\text{movement}$ |
|---|
| no |
| 100 |
| 101 |
| 103 |
| 107 |
| 119 |

Quiz 6: Intersection

| name | email |
|---------------------|--------------------------|
| 'McBrien, P.' | p.mcbrien@imperial.ac.uk |
| 'Poulovassilis, A.' | ap@dcs.bbk.ac.uk |
| 'Pietzuch, P.' | prp@doc.ic.ac.uk |

What is the result of $\pi_{\text{name}} \text{account} \cap \pi_{\text{name}} \text{email}$?

A

| cname |
|---------------------|
| 'McBrien, P.' |
| 'Boyd, M.' |
| 'Poulovassilis, A.' |
| 'Bailey, J.' |
| 'Pietzuch, P.' |

B

| cname |
|---------------------|
| 'McBrien, P.' |
| 'Boyd, M.' |
| 'Poulovassilis, A.' |
| 'Bailey, J.' |

C

| cname |
|---------------------|
| 'McBrien, P.' |
| 'Poulovassilis, A.' |
| 'Pietzuch, P.' |

D

| cname |
|---------------------|
| 'McBrien, P.' |
| 'Poulovassilis, A.' |

Worksheet: Relational Algebra Operators

| branch | | |
|----------|-------------|----------|
| sortcode | bname | cash |
| 56 | 'Wimbledon' | 94340.45 |
| 34 | 'Goodge St' | 8900.67 |
| 67 | 'Strand' | 34005.00 |

| movement | | | |
|----------|-----|---------|-----------|
| mid | no | amount | tdate |
| 1000 | 100 | 2300.00 | 5/1/1999 |
| 1001 | 101 | 4000.00 | 5/1/1999 |
| 1002 | 100 | -223.45 | 8/1/1999 |
| 1004 | 107 | -100.00 | 11/1/1999 |
| 1005 | 103 | 145.50 | 12/1/1999 |
| 1006 | 100 | 10.23 | 15/1/1999 |
| 1007 | 107 | 345.56 | 15/1/1999 |
| 1008 | 101 | 1230.00 | 15/1/1999 |
| 1009 | 119 | 5600.00 | 18/1/1999 |

| account | | | | |
|---------|-----------|---------------------|------|----------|
| no | type | cname | rate | sortcode |
| 100 | 'current' | 'McBrien, P.' | NULL | 67 |
| 101 | 'deposit' | 'McBrien, P.' | 5.25 | 67 |
| 103 | 'current' | 'Boyd, M.' | NULL | 34 |
| 107 | 'current' | 'Poulovassilis, A.' | NULL | 56 |
| 119 | 'deposit' | 'Poulovassilis, A.' | 5.50 | 56 |
| 125 | 'current' | 'Bailey, J.' | NULL | 56 |

key branch(sortcode)

key branch(bname)

key movement(mid)

key account(no)

movement(no) \xRightarrow{fk} account(no)

account(sortcode) \xRightarrow{fk} branch(sortcode)

Equivalences Involving Project

Project and Project

$$\pi_{\vec{X}} \pi_{\vec{Y}} R \equiv \pi_{\vec{X}} R$$

You can eliminate any inner project (note that to be well formed $\vec{X} \subseteq \vec{Y}$)

Project and Select

$$\pi_{\vec{X}} \sigma_{P(\vec{Y})} R \equiv \sigma_{P(\vec{Y})} \pi_{\vec{X}} R$$

You can move a project of attributes \vec{X} inside a select, provided the select predicate can be answered from those attributes, *i.e.* $\vec{Y} \subseteq \vec{X}$

Project and Product

$$\pi_{\vec{X}}(R \times S) \equiv \pi_{\vec{X} \cap \text{Atts}(R)} R \times \pi_{\vec{X} \cap \text{Atts}(S)} S$$

Project and Union

$$\pi_{\vec{X}}(R \cup S) \equiv \pi_{\vec{X}} R \cup \pi_{\vec{X}} S$$

Project and Difference

$$\pi_{\vec{X}}(R - S) \supseteq \pi_{\vec{X}} R - \pi_{\vec{X}} S$$

Equivalences Involving Select

Select and Project

$$\sigma_{P(\vec{X})} \pi_{\vec{X}} R \equiv \pi_{\vec{X}} \sigma_{P(\vec{X})} R$$

Select and Select

$$\sigma_{P_x(\vec{X})} \sigma_{P_y(\vec{Y})} R \equiv \sigma_{P_x(\vec{X}) \wedge P_y(\vec{Y})} R$$

Select and Product

$$\sigma_{P(\vec{X})} (R \times S) \equiv \sigma_{P(\vec{X})} R \times S \iff \vec{X} \subseteq \text{Atts}(R)$$

You can move a select predicate $P(\vec{X})$ onto one of the relations inside a product provided $\vec{X} \subseteq \text{Atts}(R)$.

Select and Union

$$\sigma_{P(\vec{X})} (R \cup S) \equiv \sigma_{P(\vec{X})} R \cup \sigma_{P(\vec{X})} S$$

Select and Difference

$$\sigma_{P(\vec{X})} (R - S) \equiv \sigma_{P(\vec{X})} R - S$$

Quiz 7: Equivalent RA Expressions (Unary Operators)

Which RA expression is not equivalent to the other three?

A

 $\pi_{no} \sigma_{type='current'} \mathbf{account}$

B

 $\pi_{no} \sigma_{type='current'} \pi_{no,type,cname} \mathbf{account}$

C

 $\pi_{no} \sigma_{type <> 'deposit'} \pi_{no,type,cname} \mathbf{account}$

D

 $\pi_{no} \sigma_{type='current'} \sigma_{type <> 'deposit'} \mathbf{account}$

Equivalences Involving Binary Operators

Product and Union

$$R \times (S \cup T) \equiv (R \times S) \cup (R \times T)$$

Product and Difference

$$R \times (S - T) \equiv (R \times S) - (R \times T)$$

Union and Product

$R \cup (S \times T)$ unable to move \cup inside \times

Union and Difference

$R \cup (S - T)$ unable to move \cup inside $-$

Difference and Product

$R - (S \times T)$ unable to move $-$ inside \times

Difference and Union

$$R - (S \cup T) \equiv (R - S) - T$$

Quiz 8: Equivalent RA Expressions (Binary Operators)

Which equivalence does not hold?

A

$$(R \times S) \times T \equiv R \times (S \times T)$$

B

$$(R - S) - T \equiv R - (S - T)$$

C

$$(R \cup S) \cup T \equiv R \cup (S \cup T)$$

D

$$(R \cap S) \cap T \equiv R \cap (S \cap T)$$

Worksheet: Equivalences Between RA Expressions

- 1 $\pi_{no,type} \sigma_{sortcode=56} \pi_{no,type,sortcode} \sigma_{type='deposit'} \mathbf{account}$
- 2 $\sigma_{account.no=movement.no} (\pi_{no,cname} \mathbf{account} \times \pi_{mid,no} \sigma_{amount>1000} \mathbf{movement})$
- 3 $\sigma_{account.no=movement.no} (\pi_{no,cname,rate} \mathbf{account} \times (\sigma_{amount>1000} \pi_{mid,no} \mathbf{movement} \cup \sigma_{amount<100} \pi_{mid,no} \mathbf{movement}))$
- 4 $\pi_{no,cname,tdate} \sigma_{amount<0 \wedge account.no=movement.no} \mathbf{account} \times \mathbf{movement}$