

# SQL

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## Topic 6: SQL, An Implementation of The RA

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# SQL

## Development of Relational Database Systems

- Relation Model and Algebra proposed by C.J.Codd in 1970
- IBM developed a prototype relational database called **System R** with a query language **Structured English Query Language (SEQUEL)**
- SEQUEL later renamed **SQL**
- Various commercial versions of SQL launched in late 1970's/early 1980s
  - **DB2**
  - **Oracle**
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## SQL Language Components

**Data Definition Language (DDL):** a relational schema with data

**Data Manipulation Language (DML):** a relational query and update language

# SQL DDL: Definition of Tables

```
CREATE TABLE branch
(  sortcode INTEGER NOT NULL,
   bname VARCHAR(20) NOT NULL,
   cash DECIMAL(10,2) NOT NULL
)
```

| branch   |       |      |
|----------|-------|------|
| sortcode | bname | cash |

```
CREATE TABLE account
(  no INTEGER NOT NULL,
   type VARCHAR(8) NOT NULL,
   cname VARCHAR(20) NOT NULL,
   rate DECIMAL(4,2) NULL,
   sortcode INTEGER NOT NULL
)
```

| account |      |       |       |          |
|---------|------|-------|-------|----------|
| no      | type | cname | rate? | sortcode |

# SQL DDL: SQL Data Types

| Some SQL Data Types |                                                            |
|---------------------|------------------------------------------------------------|
| Keyword             | Semantics                                                  |
| BOOLEAN             | A logical value (TRUE, FALSE, or UNKNOWN)                  |
| BIT                 | 1 bit integer (0, 1, or NULL)                              |
| SMALLINT            | 16 bit integer                                             |
| INTEGER             | 32 bit integer                                             |
| BIGINT              | 64 bit integer                                             |
| FLOAT( $n$ )        | An $n$ bit mantissa floating point number                  |
| REAL                | 32 bit floating point number ( $\equiv$ FLOAT(24))         |
| DOUBLE PRECISION    | 64 bit floating point number ( $\equiv$ FLOAT(53))         |
| DECIMAL( $p,s$ )    | A $p$ digit number with $s$ digits after the decimal point |
| CHAR( $n$ )         | A fixed length string of $n$ characters                    |
| VARCHAR( $n$ )      | A varying length string of upto $n$ characters             |
| DATE                | A calendar date (day, month and year)                      |
| TIME                | A time of day (seconds, minutes, hours)                    |
| TIMESTAMP           | time and day together                                      |
| ARRAY               | An ordered list of a certain datatype                      |
| MULTISET            | A bag ( <i>i.e.</i> unordered list) of a certain datatype  |
| XML                 | XML text                                                   |

## SQL DDL: Definition of Keys

```
CREATE TABLE branch
(
  sortcode INTEGER NOT NULL,
  bname VARCHAR(20) NOT NULL,
  cash DECIMAL(10,2) NOT NULL,
  CONSTRAINT branch_pk PRIMARY KEY (sortcode)
)
```

| branch          |       |      |
|-----------------|-------|------|
| <u>sortcode</u> | bname | cash |

```
CREATE TABLE account
(
  no INTEGER NOT NULL,
  type VARCHAR(8) NOT NULL,
  cname VARCHAR(20) NOT NULL,
  rate DECIMAL(4,2) NULL,
  sortcode INTEGER NOT NULL,
  CONSTRAINT account_pk PRIMARY KEY (no),
  CONSTRAINT account_fk FOREIGN KEY (sortcode)
  REFERENCES branch
)
```

| account   |      |       |      |          |
|-----------|------|-------|------|----------|
| <u>no</u> | type | cname | rate | sortcode |

account(sortcode)  $\xRightarrow{fk}$  branch(sortcode)

# Keys and the Primary Key

## Keys

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## Primary Key

- Choose the key most often used to access a table as the **primary key**
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- Has an operation impact: index created that accesses the data faster
- All other keys are called **secondary keys**

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## Declaring Primary Keys after table creation

```
ALTER TABLE branch  
ADD CONSTRAINT branch_pk PRIMARY KEY (sortcode);
```

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- All other keys are called **secondary keys**

## Declaring Primary Keys after table creation

```
ALTER TABLE branch  
ADD CONSTRAINT branch_pk PRIMARY KEY (sortcode);
```

## Declaring Secondary Keys for a table

```
CREATE UNIQUE INDEX branch_bname_key ON branch(bname)
```

# SQL DML: Inserting, Updating and Deleting Data

```
INSERT INTO account
VALUES (100, 'current', 'McBrien, P.', NULL, 67),
(101, 'deposit', 'McBrien, P.', 5.25, 67),
(103, 'current', 'Boyd, M.', NULL, 34),
(107, 'current', 'Poulovassilis, A.', NULL, 56),
(119, 'deposit', 'Poulovassilis, A.', 5.50, 56),
(125, 'current', 'Bailey, J.', NULL, 56)
```

| account   |           |                     |       |          |
|-----------|-----------|---------------------|-------|----------|
| <u>no</u> | type      | cname               | rate? | sortcode |
| 100       | 'current' | 'McBrien, P.'       | NULL  | 67       |
| 101       | 'deposit' | 'McBrien, P.'       | 5.25  | 67       |
| 103       | 'current' | 'Boyd, M.'          | NULL  | 34       |
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(119, 'deposit', 'Poulovassilis, A.', 5.50, 56),
(125, 'current', 'Bailey, J.', NULL, 56)
```

```
UPDATE account
SET type='deposit'
WHERE no=100
```

| account   |           |                     |       |          |
|-----------|-----------|---------------------|-------|----------|
| <u>no</u> | type      | cname               | rate? | sortcode |
| 100       | 'current' | 'McBrien, P.'       | NULL  | 67       |
| 101       | 'deposit' | 'McBrien, P.'       | 5.25  | 67       |
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(125, 'current', 'Bailey, J.', NULL, 56)
```

```
UPDATE account
SET type='deposit'
WHERE no=100
```

```
DELETE
FROM account
WHERE no=100
```

| account |           |                     |       |          |
|---------|-----------|---------------------|-------|----------|
| no      | type      | cname               | rate? | sortcode |
| 100     | 'current' | 'McBrien, P.'       | NULL  | 67       |
| 101     | 'deposit' | 'McBrien, P.'       | 5.25  | 67       |
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# SQL DML: An Implementation of the RA

## SQL **SELECT** statements: Rough Equivalence to RA

SELECT  $A_1, \dots, A_n$   
 FROM  $R_1, \dots, R_m$   
 WHERE  $P_1$   
 AND ...  
 AND  $P_k$

$\Leftrightarrow \pi_{A_1, \dots, A_n} \sigma_{P_1 \wedge \dots \wedge P_k} R_1 \times \dots \times R_m$

SQL **SELECT** implements RA  $\pi, \sigma$  and  $\times$

$\pi_{\text{bname}, \text{no}} \sigma_{\text{branch.sortcode}=\text{account.sortcode} \wedge \text{account.type}='current'} (\text{branch} \times \text{account})$

```

SELECT branch.bname,
       account.no
FROM   account, branch
WHERE  account.sortcode=
       branch.sortcode
AND    account.type='current'
  
```

| bname     | no  |
|-----------|-----|
| Strand    | 100 |
| Goodge St | 103 |
| Wimbledon | 107 |
| Wimbledon | 125 |

# SQL DML: An Implementation of the RA

## SQL **SELECT** statements: Rough Equivalence to RA

SELECT  $A_1, \dots, A_n$   
 FROM  $R_1, \dots, R_m$   
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$\Leftrightarrow \pi_{A_1, \dots, A_n} \sigma_{P_1 \wedge \dots \wedge P_k} R_1 \times \dots \times R_m$

SQL **SELECT** implements RA  $\pi, \sigma$  and  $\times$

$\pi_{\text{bname}, \text{no}} \sigma_{\text{branch.sortcode}=\text{account.sortcode} \wedge \text{account.type}='current'} (\text{branch} \times \text{account})$

```

SELECT branch.bname,
       account.no
FROM   account
       JOIN branch
       ON  account.sortcode=
          branch.sortcode
WHERE  account.type='current'
  
```



| bname     | no  |
|-----------|-----|
| Strand    | 100 |
| Goodge St | 103 |
| Wimbledon | 107 |
| Wimbledon | 125 |

# Naming columns in SQL

## Column naming rules in SQL

- You must never have an ambiguous column name in an SQL statement
- You can use **SELECT** \* to indicate all columns (*i.e.* have no projection)
- You can use **tablename.\*** to imply all columns from a table



```
SELECT branch.bname,
       account.sortcode
FROM   account JOIN branch
       ON account.sortcode=
         branch.sortcode
WHERE  account.type='current'
```



```
SELECT bname,
       sortcode
FROM   account JOIN branch
       ON account.sortcode=
         branch.sortcode
WHERE  type='current'
```



```
SELECT bname,
       account.sortcode
FROM   account JOIN branch
       ON account.sortcode=
         branch.sortcode
WHERE  type='current'
```



```
SELECT branch.*,
       no
FROM   account JOIN branch
       ON account.sortcode=
         branch.sortcode
WHERE  type='current'
```



| sortcode | bname       | cash     | no  |
|----------|-------------|----------|-----|
| 67       | 'Strand'    | 34005.00 | 100 |
| 34       | 'Goodge St' | 8900.67  | 103 |
| 56       | 'Wimbledon' | 94340.45 | 107 |
| 56       | 'Wimbledon' | 94340.45 | 125 |

## Quiz 6.1: Translating RA into SQL

Which SQL query implements  $\pi_{\text{bname}, \text{no}} \sigma_{\text{type}='deposit'}(\text{account} \bowtie \text{branch})$ ?

A

```
SELECT *
FROM   account , branch
WHERE  type='deposit '
```

B

```
SELECT bname , no
FROM   account , branch
WHERE  type='deposit '
```

C

```
SELECT bname , no
FROM   branch , account
WHERE  branch . sortcode =
        account . sortcode
AND    type='deposit '
```

D

```
SELECT bname , no
FROM   account , branch
WHERE  branch . sortcode =
        account . no
AND    type='deposit '
```

## Connectives Between SQL **SELECT** statements

### Binary operators between **SELECT** statements

- SQL **UNION** implements  $RA \cup$
- SQL **EXCEPT** implements  $RA -$
- SQL **INTERSECT** implements  $RA \cap$

Note that two tables must be **union compatible**: have the same number and type of columns

$\pi_{no}account - \pi_{no}movement$

```
SELECT no
FROM   account
EXCEPT
SELECT no
FROM   movement
```

## SQL NATURAL JOIN

Natural join performs a join on the attributes that appear in both relations, and returns each attribute once

*e.g.* branch ⋈ account joins on sortcode

Best to use **JOIN ... ON** or **USING**

## SQL NATURAL JOIN

```
SELECT *  
FROM   branch NATURAL JOIN  
       account
```



| sortcode | bname     | cash     | no  | type    | cname             | rate |
|----------|-----------|----------|-----|---------|-------------------|------|
| 67       | Strand    | 34005.00 | 100 | current | McBrien, P.       | null |
| 67       | Strand    | 34005.00 | 101 | deposit | McBrien, P.       | 5.25 |
| 34       | Goodge St | 8900.67  | 103 | current | Boyd, M.          | null |
| 56       | Wimbledon | 94340.45 | 107 | current | Poulovassilis, A. | null |
| 56       | Wimbledon | 94340.45 | 119 | deposit | Poulovassilis, A. | 5.50 |
| 56       | Wimbledon | 94340.45 | 125 | current | Bailey, J.        | null |

## SQL NATURAL JOIN

Natural join performs a join on the attributes that appear in both relations, and returns each attribute once

*e.g.* branch ⋈ account joins on sortcode

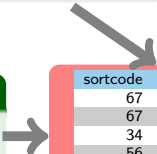
Best to use **JOIN ... ON** or **USING**

### SQL JOIN ... ON

```
SELECT branch.*, no, type, cname, rate
FROM   branch JOIN account
       ON branch.sortcode=account.sortcode
```

### SQL NATURAL JOIN

```
SELECT *
FROM   branch NATURAL JOIN
       account
```



| sortcode | bname     | cash     | no  | type    | cname             | rate |
|----------|-----------|----------|-----|---------|-------------------|------|
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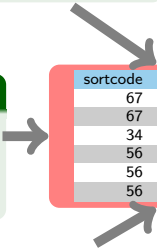
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### SQL JOIN ... USING

```
SELECT branch.*, no, type, cname, rate
FROM branch JOIN account
USING (sortcode)
```

## SQL NATURAL JOIN

Natural join performs a join on the attributes that appear in both relations, and returns each attribute once

*e.g.* branch ⋈ account joins on sortcode

Best to use **JOIN ... ON** or **USING**

### SQL JOIN ... ON

```
SELECT branch.*, no, type, cname, rate
FROM branch JOIN account
ON branch.sortcode=account.sortcode
```

### Classic SQL

```
SELECT branch.*, no, type, cname, rate
FROM branch, account
WHERE branch.sortcode=account.sortcode
```

### SQL NATURAL JOIN

```
SELECT *
FROM branch NATURAL JOIN
account
```

| sortcode | bname     | cash     | no  | type    | cname             | rate |
|----------|-----------|----------|-----|---------|-------------------|------|
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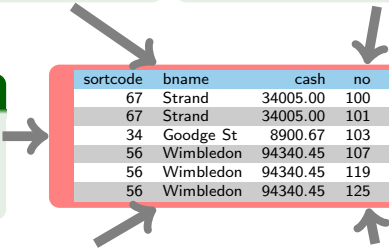
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```

### Classic SQL

```
SELECT branch.*, no, type, cname, rate
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### SQL NATURAL JOIN

```
SELECT *
FROM branch NATURAL JOIN
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| sortcode | bname     | cash     | no  | type    | cname             | rate |
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| 56       | Wimbledon | 94340.45 | 125 | current | Bailey, J.        | null |

### SQL JOIN ... USING

```
SELECT branch.*, no, type, cname, rate
FROM branch JOIN account
USING (sortcode)
```

### SQL CROSS JOIN

```
SELECT branch.*, no, type, cname, rate
FROM branch CROSS JOIN account
WHERE branch.sortcode=account.sortcode
```

# Overview of RA and SQL correspondances

| RA and SQL                 |                                                       |
|----------------------------|-------------------------------------------------------|
| RA Operator                | SQL Operator                                          |
| $\pi$                      | SELECT                                                |
| $\sigma$                   | WHERE                                                 |
| $R_1 \times R_2$           | FROM $R_1, R_2$ <i>or</i> FROM $R_1$ CROSS JOIN $R_2$ |
| $R_1 \bowtie R_2$          | FROM $R_1$ NATURAL JOIN $R_2$                         |
| $R_1 \bowtie_{\theta} R_2$ | FROM $R_1$ JOIN $R_2$ ON $\theta$                     |
| $R_1 - R_2$                | $R_1$ EXCEPT $R_2$                                    |
| $R_1 \cup R_2$             | $R_1$ UNION $R_2$                                     |
| $R_1 \cap R_2$             | $R_1$ INTERSECT $R_2$                                 |

# Table and Column Aliases

## AS: Alias

- A logical copy of a column or table can be produced using **AS**
- When applied to a table, allows to compare one row of a table with another row of the same table in a join

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| account |           |                     |       |          |
|---------|-----------|---------------------|-------|----------|
| no      | type      | cname               | rate? | sortcode |
| 100     | 'current' | 'McBrien, P.'       | NULL  | 67       |
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AS current\_account

| current_account |           |                     |       |          |
|-----------------|-----------|---------------------|-------|----------|
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| 100             | 'current' | 'McBrien, P.'       | NULL  | 67       |
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| 125             | 'current' | 'Bailey, J.'        | NULL  | 56       |

AS deposit\_account

| deposit_account |           |                     |       |          |
|-----------------|-----------|---------------------|-------|----------|
| no              | type      | cname               | rate? | sortcode |
| 100             | 'current' | 'McBrien, P.'       | NULL  | 67       |
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# Table and Column Aliases

```

SELECT current_account.cname,
       current_account.no AS current_no,
       deposit_account.no AS deposit_no
FROM account AS current_account
JOIN account AS deposit_account
ON   current_account.cname=
      deposit_account.cname
AND  current_account.type='current'
AND  deposit_account.type='deposit'

```



| cname              | current_no | deposit_no |
|--------------------|------------|------------|
| McBrien, P.        | 100        | 101        |
| Poulouvassilis, A. | 107        | 119        |

| account |           |                      |       |          |
|---------|-----------|----------------------|-------|----------|
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| 100     | 'current' | 'McBrien, P.'        | NULL  | 67       |
| 101     | 'deposit' | 'McBrien, P.'        | 5.25  | 67       |
| 103     | 'current' | 'Boyd, M.'           | NULL  | 34       |
| 107     | 'current' | 'Poulouvassilis, A.' | NULL  | 56       |
| 119     | 'deposit' | 'Poulouvassilis, A.' | 5.50  | 56       |
| 125     | 'current' | 'Bailey, J.'         | NULL  | 56       |

AS current\_account



| current_account |           |                      |       |          |
|-----------------|-----------|----------------------|-------|----------|
| no              | type      | cname                | rate? | sortcode |
| 100             | 'current' | 'McBrien, P.'        | NULL  | 67       |
| 101             | 'deposit' | 'McBrien, P.'        | 5.25  | 67       |
| 103             | 'current' | 'Boyd, M.'           | NULL  | 34       |
| 107             | 'current' | 'Poulouvassilis, A.' | NULL  | 56       |
| 119             | 'deposit' | 'Poulouvassilis, A.' | 5.50  | 56       |
| 125             | 'current' | 'Bailey, J.'         | NULL  | 56       |

AS deposit\_account



| deposit_account |           |                      |       |          |
|-----------------|-----------|----------------------|-------|----------|
| no              | type      | cname                | rate? | sortcode |
| 100             | 'current' | 'McBrien, P.'        | NULL  | 67       |
| 101             | 'deposit' | 'McBrien, P.'        | 5.25  | 67       |
| 103             | 'current' | 'Boyd, M.'           | NULL  | 34       |
| 107             | 'current' | 'Poulouvassilis, A.' | NULL  | 56       |
| 119             | 'deposit' | 'Poulouvassilis, A.' | 5.50  | 56       |
| 125             | 'current' | 'Bailey, J.'         | NULL  | 56       |

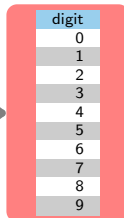
# Set or Bag Based Semantics: No Iteration

SQL allows either set (**DISTINCT**) or bag (**ALL**) based semantics

- Lack of procedural semantics means all queries can execute in parallel
- Might need to think about 'programming' in different ways

## Table of Constants

```
SELECT *  
FROM (VALUES (0),(1),(2),(3),(4),  
            (5),(6),(7),(8),(9))  
      AS decimal(digit)
```



| digit |
|-------|
| 0     |
| 1     |
| 2     |
| 3     |
| 4     |
| 5     |
| 6     |
| 7     |
| 8     |
| 9     |

# Set or Bag Based Semantics: No Iteration

SQL allows either set (**DISTINCT**) or bag (**ALL**) based semantics

- Lack of procedural semantics means all queries can execute in parallel
- Might need to think about ‘programming’ in different ways

## Generating 0..999

```
SELECT hundred.digit*100+ten.digit*10+unit.digit AS n
FROM   (VALUES (0),(1),(2),(3),(4),(5),(6),(7),(8),(9)) AS unit(digit)
       CROSS JOIN (VALUES (0),(1),(2),(3),(4),(5),(6),(7),(8),(9)) AS ten(digit)
       CROSS JOIN (VALUES (0),(1),(2),(3),(4),(5),(6),(7),(8),(9)) AS hundred(digit)
ORDER BY n
```



| n | n  | n  | n  |     | n   | n   |
|---|----|----|----|-----|-----|-----|
| 0 | 10 | 20 | 30 |     | 980 | 990 |
| 1 | 11 | 21 | 31 |     | 981 | 991 |
| 2 | 12 | 22 | 32 |     | 982 | 992 |
| 3 | 13 | 23 | 33 |     | 983 | 993 |
| 4 | 14 | 24 | 34 | ... | 984 | 994 |
| 5 | 15 | 25 | 35 |     | 985 | 995 |
| 6 | 16 | 26 | 36 |     | 986 | 996 |
| 7 | 17 | 27 | 37 |     | 987 | 997 |
| 8 | 18 | 28 | 38 |     | 988 | 998 |
| 9 | 19 | 29 | 39 |     | 989 | 999 |

# Common Table Expressions: SQL WITH

```
SELECT hundred.digit*100+ten.digit*10+unit.digit AS n
FROM (VALUES (0),(1),(2),(3),(4),(5),(6),(7),(8),(9)) AS unit(digit)
CROSS JOIN (VALUES (0),(1),(2),(3),(4),(5),(6),(7),(8),(9)) AS ten(digit)
CROSS JOIN (VALUES (0),(1),(2),(3),(4),(5),(6),(7),(8),(9)) AS hundred(digit)
```



```
WITH decimal(digit) AS (VALUES (0),(1),(2),(3),(4),(5),(6),(7),(8),(9))
SELECT hundred.digit*100+ten.digit*10+unit.digit AS n
FROM decimal AS unit
CROSS JOIN decimal AS ten
CROSS JOIN decimal AS hundred
```



| n | n  | n  | n  |     | n   | n   |
|---|----|----|----|-----|-----|-----|
| 0 | 10 | 20 | 30 |     | 980 | 990 |
| 1 | 11 | 21 | 31 |     | 981 | 991 |
| 2 | 12 | 22 | 32 |     | 982 | 992 |
| 3 | 13 | 23 | 33 |     | 983 | 993 |
| 4 | 14 | 24 | 34 | ... | 984 | 994 |
| 5 | 15 | 25 | 35 |     | 985 | 995 |
| 6 | 16 | 26 | 36 |     | 986 | 996 |
| 7 | 17 | 27 | 37 |     | 987 | 997 |
| 8 | 18 | 28 | 38 |     | 988 | 998 |
| 9 | 19 | 29 | 39 |     | 989 | 999 |

A **Common Table Expression (CTE)** may define a table that is used in one query

**WITH** table AS def **SELECT** ...

Use to avoid repeating definitions

## Topic 7: SQL Bags and Sets

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# SQL: Bags and Sets

```
SELECT sortcode
FROM account
```

| $\approx \pi_{\text{sortcode}} \text{account}$ |
|------------------------------------------------|
| sortcode                                       |
| 67                                             |
| 67                                             |
| 56                                             |
| 56                                             |
| 56                                             |
| 34                                             |

```
SELECT DISTINCT sortcode
FROM account
```

| $\pi_{\text{sortcode}} \text{account}$ |
|----------------------------------------|
| sortcode                               |
| 34                                     |
| 56                                     |
| 67                                     |

## SQL **SELECT**: Bag semantics

- By default, an SQL **SELECT** (equivalent to an RA  $\pi$ ) does *not* eliminate duplicates, and returns a **bag** (or **multiset**) rather than a set.
- Any **SELECT** that does not cover a key of the input relation, and requires a set based answer, should use **DISTINCT**.

# SQL: Bags and Sets

```
SELECT ALL sortcode
FROM account
```

| $\approx \pi_{\text{sortcode}} \text{account}$ |
|------------------------------------------------|
| sortcode                                       |
| 67                                             |
| 67                                             |
| 56                                             |
| 56                                             |
| 56                                             |
| 34                                             |

```
SELECT DISTINCT sortcode
FROM account
```

| $\pi_{\text{sortcode}} \text{account}$ |
|----------------------------------------|
| sortcode                               |
| 34                                     |
| 56                                     |
| 67                                     |

## SQL **SELECT**: Bag semantics

- By default, an SQL **SELECT** (equivalent to an RA  $\pi$ ) does *not* eliminate duplicates, and returns a **bag** (or **multiset**) rather than a set.
- Any **SELECT** that does not cover a key of the input relation, and requires a set based answer, should use **DISTINCT**.

Quiz 7.1: Correct use of **SELECT DISTINCT** (1)

branch(sortcode,bname,cash)  
key branch(sortcode)  
key branch(bname)

Which SQL query requires the use of **DISTINCT** in order to avoid the possibility of a bag being produced?

A

```
SELECT *  
FROM   branch  
WHERE  cash > 10000
```

B

```
SELECT  sortcode  
FROM    branch  
WHERE   cash > 10000
```

C

```
SELECT  bname, cash  
FROM    branch
```

D

```
SELECT  cash  
FROM    branch  
WHERE   cash > 10000
```

## Quiz 7.2: Correct use of **SELECT DISTINCT** (2)

branch(sortcode,bname,cash)

account(no,type,cname,rate,sortcode)

key branch(sortcode)

key branch(bname)

key account(no)

Which SQL query requires the use of **DISTINCT** in order to avoid the possibility of a bag being produced?

A

```
SELECT *
FROM   branch NATURAL JOIN
       account
```

B

```
SELECT branch.sortcode , type , rate
FROM   branch NATURAL JOIN
       account
```

C

```
SELECT branch.sortcode , no
FROM   branch NATURAL JOIN
       account
```

D

```
SELECT branch.sortcode , no , cash
FROM   branch NATURAL JOIN
       account
```

## Quiz 7.3: Operators that might produce bags

If  $R$  and  $S$  are sets, which RA operator could produce a bag result if the implementation did not check for duplicates?

**A** $\sigma R$ **B** $R \cup S$ **C** $R - S$ **D** $R \times S$

# Bag and Set operations in SQL

| RA Operator                   | Set Based SQL                                      | Bag Based SQL                                      |
|-------------------------------|----------------------------------------------------|----------------------------------------------------|
| $\pi_{A_1, \dots, A_n}$       | <b>SELECT DISTINCT</b> $A_1, \dots, A_n$           | <b>SELECT ALL</b> $A_1, \dots, A_n$                |
| $R_1 \times \dots \times R_m$ | <b>FROM</b> $R_1, \dots, R_m$                      | <b>FROM</b> $R_1, \dots, R_m$                      |
| $\sigma_{P_1, \dots, P_k}$    | <b>WHERE</b> $P_1$ <b>AND</b> ... <b>AND</b> $P_k$ | <b>WHERE</b> $P_1$ <b>AND</b> ... <b>AND</b> $P_k$ |
| $R_1 \cup R_2$                | $R_1$ <b>UNION DISTINCT</b> $R_2$                  | $R_1$ <b>UNION ALL</b> $R_2$                       |
| $R_1 - R_2$                   | $R_1$ <b>EXCEPT DISTINCT</b> $R_2$                 | $R_1$ <b>EXCEPT ALL</b> $R_2$                      |
| $R_1 \cap R_2$                | $R_1$ <b>INTERSECT DISTINCT</b> $R_2$              | $R_1$ <b>INTERSECT ALL</b> $R_2$                   |

## Choosing between set and bag semantics

If you omit **DISTINCT** or **ALL**, then the defaults are:

**SELECT ALL**

**UNION DISTINCT**

**EXCEPT DISTINCT**

**INTERSECT DISTINCT**

## No **FROM DISTINCT** or **WHERE DISTINCT**?

There is no need for **DISTINCT** or **ALL** around **FROM** ( $\times$ ) and **WHERE** ( $\sigma$ ) cannot introduce any duplicates, and any existing duplicates can be removed in the **SELECT**

# Project-Select-Product Queries

## SQL **SELECT** statements: Exact Equivalence to RA

SELECT DISTINCT  $A_1, \dots, A_n$   
 FROM  $R_1, \dots, R_m$   
 WHERE  $P_1$   $\equiv \pi_{A_1, \dots, A_n} \sigma_{P_1 \wedge \dots \wedge P_k} R_1 \times \dots \times R_m$   
 AND ...  
 AND  $P_k$

- SQL **SELECT** implements RA  $\pi, \sigma$  and  $\times$
- Omit **DISTINCT** when either
  - you know  $A_1, \dots, A_n$  cover a key
  - you want a bag (rather than set) answer

## Quiz 7.4: SQL EXCEPT

```

SELECT no
FROM movement
EXCEPT
SELECT no
FROM account

```

| movement |     |         |           |  |
|----------|-----|---------|-----------|--|
| mid      | no  | amount  | tdate     |  |
| 1000     | 100 | 2300.00 | 5/1/1999  |  |
| 1001     | 101 | 4000.00 | 5/1/1999  |  |
| 1002     | 100 | -223.45 | 8/1/1999  |  |
| 1004     | 107 | -100.00 | 11/1/1999 |  |
| 1005     | 103 | 145.50  | 12/1/1999 |  |
| 1006     | 100 | 10.23   | 15/1/1999 |  |
| 1007     | 107 | 345.56  | 15/1/1999 |  |
| 1008     | 101 | 1230.00 | 15/1/1999 |  |
| 1009     | 119 | 5600.00 | 18/1/1999 |  |

| account |           |                     |       |          |
|---------|-----------|---------------------|-------|----------|
| no      | type      | cname               | rate? | sortcode |
| 100     | 'current' | 'McBrien, P.'       | NULL  | 67       |
| 101     | 'deposit' | 'McBrien, P.'       | 5.25  | 67       |
| 103     | 'current' | 'Boyd, M.'          | NULL  | 34       |
| 107     | 'current' | 'Poulovassilis, A.' | NULL  | 56       |
| 119     | 'deposit' | 'Poulovassilis, A.' | 5.50  | 56       |
| 125     | 'current' | 'Bailey, J.'        | NULL  | 56       |

What is the result of the above SQL query?

A

| no  |
|-----|
| 100 |
| 101 |
| 103 |
| 107 |
| 119 |
| 125 |

B

| no  |
|-----|
| 100 |
| 101 |
| 103 |
| 107 |
| 119 |

C

| no  |
|-----|
| 100 |
| 100 |
| 101 |
| 107 |

D

| no |
|----|
|----|

## Quiz 7.5: SQL EXCEPT ALL

```

SELECT no
FROM movement
EXCEPT ALL
SELECT no
FROM account

```

| movement |     |         |           |  |
|----------|-----|---------|-----------|--|
| mid      | no  | amount  | tdate     |  |
| 1000     | 100 | 2300.00 | 5/1/1999  |  |
| 1001     | 101 | 4000.00 | 5/1/1999  |  |
| 1002     | 100 | -223.45 | 8/1/1999  |  |
| 1004     | 107 | -100.00 | 11/1/1999 |  |
| 1005     | 103 | 145.50  | 12/1/1999 |  |
| 1006     | 100 | 10.23   | 15/1/1999 |  |
| 1007     | 107 | 345.56  | 15/1/1999 |  |
| 1008     | 101 | 1230.00 | 15/1/1999 |  |
| 1009     | 119 | 5600.00 | 18/1/1999 |  |

| account |           |                     |       |          |
|---------|-----------|---------------------|-------|----------|
| no      | type      | cname               | rate? | sortcode |
| 100     | 'current' | 'McBrien, P.'       | NULL  | 67       |
| 101     | 'deposit' | 'McBrien, P.'       | 5.25  | 67       |
| 103     | 'current' | 'Boyd, M.'          | NULL  | 34       |
| 107     | 'current' | 'Poulovassilis, A.' | NULL  | 56       |
| 119     | 'deposit' | 'Poulovassilis, A.' | 5.50  | 56       |
| 125     | 'current' | 'Bailey, J.'        | NULL  | 56       |

What is the result of the above SQL query?

A

| no  |
|-----|
| 100 |
| 101 |
| 103 |
| 107 |
| 119 |
| 125 |

B

| no  |
|-----|
| 100 |
| 101 |
| 103 |
| 107 |
| 119 |

C

| no  |
|-----|
| 100 |
| 100 |
| 101 |
| 107 |

D

| no |
|----|
|----|

## Worksheet: Translating Between Relational Algebra and SQL

| account   |           |                      |       |          |
|-----------|-----------|----------------------|-------|----------|
| <u>no</u> | type      | cname                | rate? | sortcode |
| 100       | 'current' | 'McBrien, P.'        | NULL  | 67       |
| 101       | 'deposit' | 'McBrien, P.'        | 5.25  | 67       |
| 103       | 'current' | 'Boyd, M.'           | NULL  | 34       |
| 107       | 'current' | 'Poulouvassilis, A.' | NULL  | 56       |
| 119       | 'deposit' | 'Poulouvassilis, A.' | 5.50  | 56       |
| 125       | 'current' | 'Bailey, J.'         | NULL  | 56       |

| movement   |     |         |           |
|------------|-----|---------|-----------|
| <u>mid</u> | no  | amount  | tdate     |
| 1000       | 100 | 2300.00 | 5/1/1999  |
| 1001       | 101 | 4000.00 | 5/1/1999  |
| 1002       | 100 | -223.45 | 8/1/1999  |
| 1004       | 107 | -100.00 | 11/1/1999 |
| 1005       | 103 | 145.50  | 12/1/1999 |
| 1006       | 100 | 10.23   | 15/1/1999 |
| 1007       | 107 | 345.56  | 15/1/1999 |
| 1008       | 101 | 1230.00 | 15/1/1999 |
| 1009       | 119 | 5600.00 | 18/1/1999 |

$\text{movement}(\text{no}) \xrightarrow{fk} \text{account.no}$

## Topic 8: Experiment with SQL in DoC

P.J. McBrien

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# Try some examples yourself ...

```
medusa-s2(pjm)-4$ psql -h db -U lab -d bank_branch -W
Password:
bank_branch=> SELECT *
bank_branch-> FROM branch NATURAL JOIN account;
```

| sortcode | bname     | cash     | no  | type    | cname             | rate |
|----------|-----------|----------|-----|---------|-------------------|------|
| 67       | Strand    | 34005.00 | 100 | current | McBrien, P.       |      |
| 67       | Strand    | 34005.00 | 101 | deposit | McBrien, P.       | 5.25 |
| 34       | Goodge St | 8900.67  | 103 | current | Boyd, M.          |      |
| 56       | Wimbledon | 94340.45 | 107 | current | Poulovassilis, A. |      |
| 56       | Wimbledon | 94340.45 | 119 | deposit | Poulovassilis, A. | 5.50 |
| 56       | Wimbledon | 94340.45 | 125 | current | Bailey, J.        |      |

## Try changing some examples ...

```
SELECT bname,  
       sortcode  
FROM   account, branch  
WHERE  account.sortcode=  
       branch.sortcode  
AND    type='current'
```

```
SELECT bname,  
       sortcode  
FROM   account  
       NATURAL JOIN branch  
WHERE  type='current'
```

## Topic 9: SQL Set Operations

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## Set Operations: IN

IN operator tests for membership of a set

```
SELECT *  
FROM   account  
WHERE  type='current'  
AND    no IN (100,101)
```

## Set Operations: IN

IN operator tests for membership of a set

```
SELECT *  
FROM   account  
WHERE  type='current'  
AND    no IN (100,101)
```

Can use nested SELECT to generate set

```
SELECT no  
FROM   account  
WHERE  type='current'  
AND    no IN (SELECT no  
               FROM   movement  
               WHERE  amount > 500)
```

# Set Operations: IN

IN operator tests for membership of a set

```
SELECT *
FROM account
WHERE type='current'
AND no IN (100,101)
```

Can use nested SELECT to generate set

```
SELECT no
FROM account
WHERE type='current'
AND no IN (SELECT no
           FROM movement
           WHERE amount > 500)
```



| no  |
|-----|
| 100 |

# Set Operations: IN

IN operator tests for membership of a set

```
SELECT *
FROM   account
WHERE  type='current'
AND    no IN (100,101)
```

Can use nested SELECT to generate set

```
SELECT no
FROM   account
WHERE  type='current'
AND    no IN (SELECT no
               FROM   movement
               WHERE  amount > 500)
```

≡

```
SELECT DISTINCT account.no
FROM   account JOIN movement
ON     account.no=movement.no
WHERE  type='current'
AND    amount > 500
```

## Quiz 9.1: SQL Set Membership Testing

```

SELECT no
FROM account
WHERE type='current'
AND no NOT IN
( SELECT no
  FROM movement
  WHERE amount>500)

```

| account |           |                     |       |          |
|---------|-----------|---------------------|-------|----------|
| no      | type      | cname               | rate? | sortcode |
| 100     | 'current' | 'McBrien, P.'       | NULL  | 67       |
| 101     | 'deposit' | 'McBrien, P.'       | 5.25  | 67       |
| 103     | 'current' | 'Boyd, M.'          | NULL  | 34       |
| 107     | 'current' | 'Poulovassilis, A.' | NULL  | 56       |
| 119     | 'deposit' | 'Poulovassilis, A.' | 5.50  | 56       |
| 125     | 'current' | 'Bailey, J.'        | NULL  | 56       |

| movement |     |         |           |
|----------|-----|---------|-----------|
| mid      | no  | amount  | tdate     |
| 1000     | 100 | 2300.00 | 5/1/1999  |
| 1001     | 101 | 4000.00 | 5/1/1999  |
| 1002     | 100 | -223.45 | 8/1/1999  |
| 1004     | 107 | -100.00 | 11/1/1999 |
| 1005     | 103 | 145.50  | 12/1/1999 |
| 1006     | 100 | 10.23   | 15/1/1999 |
| 1007     | 107 | 345.56  | 15/1/1999 |
| 1008     | 101 | 1230.00 | 15/1/1999 |
| 1009     | 119 | 5600.00 | 18/1/1999 |

What is the result of the above SQL query?

A

| no  |
|-----|
| 100 |
| 103 |
| 107 |
| 125 |

B

| no  |
|-----|
| 100 |
| 103 |
| 107 |

C

| no  |
|-----|
| 103 |
| 107 |
| 125 |

D

| no  |
|-----|
| 103 |
| 107 |

## Quiz 9.1: SQL Set Membership Testing

```
SELECT no
FROM account
WHERE type='current'
AND no NOT IN
( SELECT no
  FROM movement
  WHERE amount>500)
```

```
SELECT DISTINCT account.no
FROM account
JOIN movement
ON account.no=movement.no
WHERE type='current'
AND NOT amount>500
```

≠

(Gives answer B)

What is the result of the above SQL query?

A

| no  |
|-----|
| 100 |
| 103 |
| 107 |
| 125 |

B

| no  |
|-----|
| 100 |
| 103 |
| 107 |

C

| no  |
|-----|
| 103 |
| 107 |
| 125 |

D

| no  |
|-----|
| 103 |
| 107 |

## Set Operations: EXISTS

### Testing for Existence

- **IN** can be used to test if some value is in a relation, either listed, or produced by some **SELECT** statement
- **EXISTS** can be used to test if a **SELECT** statement returns any rows

### List people without a deposit account

```
SELECT DISTINCT cname
FROM   account
WHERE  cname NOT IN
( SELECT cname
  FROM   account
  WHERE  type='deposit ')
```

| cname        |
|--------------|
| 'Boyd, M.'   |
| 'Bailey, J.' |

# Set Operations: EXISTS

## Testing for Existence

- **IN** can be used to test if some value is in a relation, either listed, or produced by some **SELECT** statement
- **EXISTS** can be used to test if a **SELECT** statement returns any rows

## List people without a deposit account

```
SELECT DISTINCT cname
FROM   account
WHERE  cname NOT IN
( SELECT cname
  FROM   account
  WHERE  type='deposit' )
```

≡

```
SELECT DISTINCT cname
FROM   account
WHERE  NOT EXISTS
( SELECT cname
  FROM   account AS deposit_account
  WHERE  type='deposit'
  AND    account.cname=deposit_account.cname )
```

| cname        |
|--------------|
| 'Boyd, M.'   |
| 'Bailey, J.' |

# Set Operations: EXISTS

## Testing for Existence

- **IN** can be used to test if some value is in a relation, either listed, or produced by some **SELECT** statement
- **EXISTS** can be used to test if a **SELECT** statement returns any rows

## List people without a deposit account

```
SELECT DISTINCT cname
FROM   account
WHERE  cname NOT IN
( SELECT cname
  FROM   account
  WHERE  type='deposit' )
```

≡

```
SELECT DISTINCT cname
FROM   account
WHERE  NOT EXISTS
( SELECT cname
  FROM   account AS deposit_account
  WHERE  type='deposit'
  AND    account.cname=deposit_account.cname )
```

≡

```
SELECT DISTINCT cname
FROM   account
WHERE  NOT EXISTS
( SELECT *
  FROM   account AS deposit_account
  WHERE  type='deposit'
  AND    account.cname=cname )
```

cname

'Boyd, M.'

'Bailey, J.'

## SQL Correlated Subquery

A subquery that references the outer query columns is called a **correlated subquery**

Semantics is as if the subquery is executed for each row of the outer query **WHERE** clause

```
SELECT DISTINCT cname
FROM   account
WHERE  NOT EXISTS
      (SELECT *
       FROM   account AS deposit_account
       WHERE  type='deposit '
       AND    account.cname=deposit_account.cname)
```

# SQL Correlated Subquery

A subquery that references the outer query columns is called a **correlated subquery**

Semantics is as if the subquery is executed for each row of the outer query **WHERE** clause

```
SELECT DISTINCT cname
FROM   account
WHERE  NOT EXISTS
      (SELECT *
       FROM   account AS deposit_account
       WHERE  type='deposit'
       AND    account.cname=deposit_account.cname)
```

Outer Query: Finds All Names

```
SELECT DISTINCT cname
FROM   account
```

| cname             |
|-------------------|
| Boyd, M.          |
| Poulovassilis, A. |
| McBrien, P.       |
| Bailey, J.        |

Subquery Execution for 'Boyd.M.'

'Boyd.M.'=deposit\_account.cname  
NOT EXISTS succeeds

```
SELECT  cname
FROM    account AS deposit_account
WHERE   type='deposit'
AND     'Boyd, M.'=deposit_account.cname
```

| cname |
|-------|
|-------|

# SQL Correlated Subquery

A subquery that references the outer query columns is called a **correlated subquery**

Semantics is as if the subquery is executed for each row of the outer query **WHERE** clause

```
SELECT DISTINCT cname
FROM   account
WHERE  NOT EXISTS
      (SELECT *
       FROM   account AS deposit_account
       WHERE  type='deposit'
       AND    account.cname=deposit_account.cname)
```

Outer Query: Finds All Names

```
SELECT DISTINCT cname
FROM   account
```

| cname             |
|-------------------|
| Boyd, M.          |
| Poulovassilis, A. |
| McBrien, P.       |
| Bailey, J.        |

Subquery Execution for ' Poulovassilis , A.'

```
SELECT  cname
FROM    account AS deposit_account
WHERE   type='deposit'
AND     ' Poulovassilis , A.' = deposit_account.cname
```

' Poulovassilis , A.' =  
deposit\_account.cname  
**NOT EXISTS** fails

| cname             |
|-------------------|
| Poulovassilis, A. |

# SQL Correlated Subquery

A subquery that references the outer query columns is called a **correlated subquery**

Semantics is as if the subquery is executed for each row of the outer query **WHERE** clause

```
SELECT DISTINCT cname
FROM   account
WHERE  NOT EXISTS
      (SELECT *
       FROM   account AS deposit_account
       WHERE  type='deposit'
       AND    account.cname=deposit_account.cname)
```

Outer Query: Finds All Names

```
SELECT DISTINCT cname
FROM   account
```

| cname             |
|-------------------|
| Boyd, M.          |
| Poulovassilis, A. |
| McBrien, P.       |
| Bailey, J.        |

Subquery Execution for 'McBrien, P.'

```
SELECT cname
FROM   account AS deposit_account
WHERE  type='deposit'
AND    'McBrien, P.' = deposit_account.cname
```

| cname       |
|-------------|
| McBrien, P. |

'McBrien, P.' = deposit\_account.cname  
**NOT EXISTS** fails

# SQL Correlated Subquery

A subquery that references the outer query columns is called a **correlated subquery**

Semantics is as if the subquery is executed for each row of the outer query **WHERE** clause

```
SELECT DISTINCT cname
FROM   account
WHERE  NOT EXISTS
      (SELECT *
       FROM   account AS deposit_account
       WHERE  type='deposit'
       AND    account.cname=deposit_account.cname)
```

Outer Query: Finds All Names

```
SELECT DISTINCT cname
FROM   account
```

| cname             |
|-------------------|
| Boyd, M.          |
| Poulovassilis, A. |
| McBrien, P.       |
| Bailey, J.        |

Subquery Execution for 'Bailey, J.'

```
SELECT cname
FROM   account AS deposit_account
WHERE  type='deposit'
AND    'Bailey, J.'=deposit_account.cname
```

'Bailey, J.'=deposit\_account.cname  
**NOT EXISTS** succeeds

| cname |
|-------|
|-------|

# SQL Correlated Subquery

A subquery that references the outer query columns is called a **correlated subquery**

Semantics is as if the subquery is executed for each row of the outer query **WHERE** clause

```
SELECT DISTINCT cname
FROM   account
WHERE  NOT EXISTS
      (SELECT *
       FROM   account AS deposit_account
       WHERE  type='deposit'
       AND    account.cname=deposit_account.cname)
```



| cname        |
|--------------|
| 'Bailey, J.' |
| 'Boyd, M.'   |

Complete result

# Set Operations: EXISTS

## NOT EXISTS and EXCEPT

- Most queries involving **EXCEPT** can be also written using **NOT EXISTS**
- **EXCEPT** relatively recent addition to SQL

$$\pi_{\text{no}}\text{account} - \pi_{\text{no}}\text{movement}$$

|                                                                      |   |                                                                                                                                                |
|----------------------------------------------------------------------|---|------------------------------------------------------------------------------------------------------------------------------------------------|
| <pre>SELECT no FROM   account EXCEPT SELECT no FROM   movement</pre> | ≡ | <pre>SELECT no FROM   account WHERE  NOT EXISTS (SELECT no                     FROM   movement                     WHERE  no=account.no)</pre> |
|----------------------------------------------------------------------|---|------------------------------------------------------------------------------------------------------------------------------------------------|

## Set Operations: **SOME** and **ALL**

Can test a value against members of a set

- $V \text{ op } \textbf{SOME } S \text{ is } \textbf{TRUE}$  if there is at least one  $V_s \in S$  such that  $V \text{ op } V_s$
- $V \text{ op } \textbf{ALL } S \text{ is } \textbf{TRUE}$  if there are no values  $V_s \in S$  such that  $\textbf{NOT } V \text{ op } V_s$

## Set Operations: **SOME** and **ALL**

Can test a value against members of a set

- $V$  op **SOME**  $S$  is **TRUE** if there is at least one  $V_s \in S$  such that  $V$  op  $V_s$
- $V$  op **ALL**  $S$  is **TRUE** if there are no values  $V_s \in S$  such that **NOT**  $V$  op  $V_s$

names of branches that only have current accounts

```
SELECT bname
FROM branch
WHERE 'current' = ALL (SELECT type
                       FROM account
                       WHERE branch.sortcode = account.sortcode)
```

bname  
Goode St

## Set Operations: **SOME** and **ALL**

Can test a value against members of a set

- $V \text{ op } \text{SOME } S$  is **TRUE** if there is at least one  $V_s \in S$  such that  $V \text{ op } V_s$
- $V \text{ op } \text{ALL } S$  is **TRUE** if there are no values  $V_s \in S$  such that **NOT**  $V \text{ op } V_s$

names of branches that only have current accounts

```
SELECT bname
FROM branch
WHERE 'current' = ALL (SELECT type
                       FROM account
                       WHERE branch.sortcode = account.sortcode)
```

bname  
Goodge St

names of branches that have deposit accounts

```
SELECT bname
FROM branch
WHERE 'deposit' = SOME (SELECT type
                       FROM account
                       WHERE branch.sortcode = account.sortcode)
```

bname  
Wimbledon  
Strand

## Worksheet: Set Operations

| branch          |             |          |
|-----------------|-------------|----------|
| <u>sortcode</u> | bname       | cash     |
| 56              | 'Wimbledon' | 94340.45 |
| 34              | 'Goodge St' | 8900.67  |
| 67              | 'Strand'    | 34005.00 |

| movement   |     |         |           |
|------------|-----|---------|-----------|
| <u>mid</u> | no  | amount  | tdate     |
| 1000       | 100 | 2300.00 | 5/1/1999  |
| 1001       | 101 | 4000.00 | 5/1/1999  |
| 1002       | 100 | -223.45 | 8/1/1999  |
| 1004       | 107 | -100.00 | 11/1/1999 |
| 1005       | 103 | 145.50  | 12/1/1999 |
| 1006       | 100 | 10.23   | 15/1/1999 |
| 1007       | 107 | 345.56  | 15/1/1999 |
| 1008       | 101 | 1230.00 | 15/1/1999 |
| 1009       | 119 | 5600.00 | 18/1/1999 |

| account   |           |                     |       |          |
|-----------|-----------|---------------------|-------|----------|
| <u>no</u> | type      | cname               | rate? | sortcode |
| 100       | 'current' | 'McBrien, P.'       | NULL  | 67       |
| 101       | 'deposit' | 'McBrien, P.'       | 5.25  | 67       |
| 103       | 'current' | 'Boyd, M.'          | NULL  | 34       |
| 107       | 'current' | 'Poulovassilis, A.' | NULL  | 56       |
| 119       | 'deposit' | 'Poulovassilis, A.' | 5.50  | 56       |
| 125       | 'current' | 'Bailey, J.'        | NULL  | 56       |

key branch(sortcode)

key branch(bname)

key movement(mid)

key account(no)

movement(no)  $\xRightarrow{fk}$  account(no)account(sortcode)  $\xRightarrow{fk}$  branch(sortcode)

## Worksheet: Set Operations (3)

Write an SQL query without using any negation (*i.e.* without the use of **NOT** or **EXCEPT**) that list accounts with no movements on or before the 11-Jan-1999.

```
SELECT no
FROM   account
WHERE  '11-jan-1999' < ALL (SELECT tdate
                             FROM   movement
                             WHERE  movement.no=account.no)
```

## Worksheet: Set Operations (4)

Write an SQL query that lists the **cname** of customers that have every type of account that appears in **account**

```
SELECT DISTINCT cname
FROM   account AS cust_account
WHERE  NOT EXISTS ( SELECT type
                    FROM   account
                    EXCEPT
                    SELECT type
                    FROM   account
                    WHERE  account.cname=cust_account.cname
                  )
```

# Set Operations: NOT **SOME** NOT and **ALL**

Equivalence between *exists* and *for all*

In first order classical logic  $\neg\exists\neg\equiv\forall$

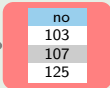
# Set Operations: NOT SOME NOT and ALL

## Equivalence between *exists* and *for all*

In first order classical logic  $\neg\exists\neg\equiv\forall$

accounts with all movements less than or equal to 500

```
SELECT no
FROM   account
WHERE  500>=ALL (SELECT amount
                  FROM   movement
                  WHERE  account.no=movement.no)
```



| no  |
|-----|
| 103 |
| 107 |
| 125 |

# Set Operations: NOT SOME NOT and ALL

## Equivalence between *exists* and *for all*

In first order classical logic  $\neg\exists\neg\equiv\forall$

accounts with all movements less than or equal to 500

```
SELECT no
FROM   account
WHERE  500 >= ALL (SELECT amount
                  FROM   movement
                  WHERE  account.no = movement.no)
```



| no  |
|-----|
| 103 |
| 107 |
| 125 |

≡

```
SELECT no
FROM   account
WHERE  NOT 500 < SOME (SELECT amount
                     FROM   movement
                     WHERE  account.no = movement.no)
```

## Topic 10: SQL Null Values

P.J. McBrien

Imperial College London

# Null

*Several definitions of null have been proposed, including:*

- 1 null represents a something that is not present in the UoD

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# Null

*Several definitions of null have been proposed, including:*

- 1 null represents a something that is not present in the UoD
- 2 null represents something that might be present in the UoD, but we do not know its value at present
- 3 null represents something that is present in the UoD, but we do not know its value at present

## SQL handling of **NULL**

- SQL standard vague, but handling of **NULL** is nearest to option 2
- SQL uses a three valued logic to process **WHERE** predicate
- Truth values are **TRUE**, **FALSE**, and **UNKNOWN**

## Quiz 10.1: SQL handling of NULL (1)

| account |           |                     |       |          |
|---------|-----------|---------------------|-------|----------|
| no      | type      | cname               | rate? | sortcode |
| 100     | 'current' | 'McBrien, P.'       | NULL  | 67       |
| 101     | 'deposit' | 'McBrien, P.'       | 5.25  | 67       |
| 103     | 'current' | 'Boyd, M.'          | NULL  | 34       |
| 107     | 'current' | 'Poulovassilis, A.' | NULL  | 56       |
| 119     | 'deposit' | 'Poulovassilis, A.' | 5.50  | 56       |
| 125     | 'current' | 'Bailey, J.'        | NULL  | 56       |

```
SELECT no
FROM   account
WHERE  rate=NULL
```

What is the result of the SQL query above?

A

| no  |
|-----|
| 100 |
| 101 |
| 103 |
| 107 |
| 119 |
| 125 |

B

| no  |
|-----|
| 100 |
| 103 |
| 107 |
| 125 |

C

| no  |
|-----|
| 101 |
| 119 |

D

| no |
|----|
|----|

## Quiz 10.2: SQL handling of NULL (2)

| account |           |                      |       |          |
|---------|-----------|----------------------|-------|----------|
| no      | type      | cname                | rate? | sortcode |
| 100     | 'current' | 'McBrien, P.'        | NULL  | 67       |
| 101     | 'deposit' | 'McBrien, P.'        | 5.25  | 67       |
| 103     | 'current' | 'Boyd, M.'           | NULL  | 34       |
| 107     | 'current' | 'Poulouvassilis, A.' | NULL  | 56       |
| 119     | 'deposit' | 'Poulouvassilis, A.' | 5.50  | 56       |
| 125     | 'current' | 'Bailey, J.'         | NULL  | 56       |

```
SELECT no
FROM account
WHERE rate=NULL
OR rate<>NULL
```

What is the result of the SQL query above?

A

| no  |
|-----|
| 100 |
| 101 |
| 103 |
| 107 |
| 119 |
| 125 |

B

| no  |
|-----|
| 100 |
| 103 |
| 107 |
| 125 |

C

| no  |
|-----|
| 101 |
| 119 |

D

| no |
|----|
|----|

# SQL implements three valued logic

## AND

| $P_1 \text{ AND } P_2$ |         | $P_2$   |         |       |
|------------------------|---------|---------|---------|-------|
| $P_1$                  | TRUE    | TRUE    | UNKNOWN | FALSE |
|                        | UNKNOWN | TRUE    | UNKNOWN | FALSE |
|                        | FALSE   | UNKNOWN | UNKNOWN | FALSE |
|                        | FALSE   | FALSE   | FALSE   | FALSE |

# SQL implements three valued logic

## AND

| $P_1 \text{ AND } P_2$ |         | $P_2$   |         |       |
|------------------------|---------|---------|---------|-------|
| $P_1$                  | TRUE    | TRUE    | UNKNOWN | FALSE |
|                        | UNKNOWN | TRUE    | UNKNOWN | FALSE |
|                        | FALSE   | UNKNOWN | UNKNOWN | FALSE |
|                        | FALSE   | FALSE   | FALSE   | FALSE |

## OR

| $P_1 \text{ OR } P_2$ |         | $P_2$ |         |         |
|-----------------------|---------|-------|---------|---------|
| $P_1$                 | TRUE    | TRUE  | UNKNOWN | FALSE   |
|                       | UNKNOWN | TRUE  | TRUE    | TRUE    |
|                       | FALSE   | TRUE  | UNKNOWN | UNKNOWN |
|                       | FALSE   | TRUE  | UNKNOWN | FALSE   |

# SQL implements three valued logic

## AND

| $P_1 \text{ AND } P_2$ |         | $P_2$   |         |       |
|------------------------|---------|---------|---------|-------|
| $P_1$                  | TRUE    | TRUE    | UNKNOWN | FALSE |
|                        | UNKNOWN | TRUE    | UNKNOWN | FALSE |
|                        | FALSE   | UNKNOWN | UNKNOWN | FALSE |
|                        | FALSE   | FALSE   | FALSE   | FALSE |

## OR

| $P_1 \text{ OR } P_2$ |         | $P_2$ |         |         |
|-----------------------|---------|-------|---------|---------|
| $P_1$                 | TRUE    | TRUE  | UNKNOWN | FALSE   |
|                       | UNKNOWN | TRUE  | TRUE    | TRUE    |
|                       | FALSE   | TRUE  | UNKNOWN | UNKNOWN |
|                       | FALSE   | TRUE  | UNKNOWN | FALSE   |

## NOT

| $P_1$ |         | $\text{NOT } P_1$ |
|-------|---------|-------------------|
| $P_1$ | TRUE    | FALSE             |
|       | UNKNOWN | UNKNOWN           |
|       | FALSE   | TRUE              |

# SQL implements three valued logic

## AND

| $P_1 \text{ AND } P_2$ |         | $P_2$   |         |       |
|------------------------|---------|---------|---------|-------|
| $P_1$                  | TRUE    | TRUE    | UNKNOWN | FALSE |
|                        | UNKNOWN | TRUE    | UNKNOWN | FALSE |
|                        | FALSE   | UNKNOWN | UNKNOWN | FALSE |
|                        | FALSE   | FALSE   | FALSE   | FALSE |

## NOT

| $P_1$ |         | $\text{NOT } P_1$ |
|-------|---------|-------------------|
| $P_1$ | TRUE    | FALSE             |
|       | UNKNOWN | UNKNOWN           |
|       | FALSE   | TRUE              |

## OR

| $P_1 \text{ OR } P_2$ |         | $P_2$ |         |         |
|-----------------------|---------|-------|---------|---------|
| $P_1$                 | TRUE    | TRUE  | UNKNOWN | FALSE   |
|                       | UNKNOWN | TRUE  | TRUE    | TRUE    |
|                       | FALSE   | TRUE  | UNKNOWN | UNKNOWN |
|                       | FALSE   | TRUE  | UNKNOWN | FALSE   |

## Truth values of SQL Formulae

| Formula                     | Result                                                  |
|-----------------------------|---------------------------------------------------------|
| $x = \text{NULL}$           | UNKNOWN                                                 |
| $\text{NULL} = \text{NULL}$ | UNKNOWN                                                 |
| $x \text{ IS NULL}$         | TRUE if $x$ has a null value, FALSE otherwise           |
| $x \text{ IS NOT NULL}$     | TRUE if $x$ does not have a null value, FALSE otherwise |

## Quiz 10.3: Possible answers to queries using **NULL**

```
SELECT name
FROM message
WHERE name=NULL
```

Suppose you have just connected to some database called **enigma**. Which of the following would not be a possible result of executing the query above?

A

name

B

name

NULL

C

Table **message** does not exist

D

No column **name** found in table **message**

# 'Correct' SQL Queries Using NULL

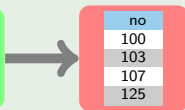
A query that always returns no rows

```
SELECT no
FROM account
WHERE rate=NULL
```



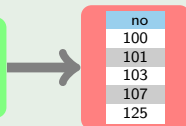
Find all accounts with no interest rate set

```
SELECT no
FROM account
WHERE rate IS NULL
```



Find accounts with a interest rate not equal to 5.5 or not set

```
SELECT no
FROM account
WHERE (rate=5.50) IS NOT TRUE
```



# Quiz 10.4: SQL 'Might Be'

```
SELECT no
FROM   account
WHERE  (rate=5.25) IS NOT FALSE
```

| account |           |                     |       |          |
|---------|-----------|---------------------|-------|----------|
| no      | type      | cname               | rate? | sortcode |
| 100     | 'current' | 'McBrien, P.'       | NULL  | 67       |
| 101     | 'deposit' | 'McBrien, P.'       | 5.25  | 67       |
| 103     | 'current' | 'Boyd, M.'          | NULL  | 34       |
| 107     | 'current' | 'Poulovassilis, A.' | NULL  | 56       |
| 119     | 'deposit' | 'Poulovassilis, A.' | 5.50  | 56       |
| 125     | 'current' | 'Bailey, J.'        | NULL  | 56       |

What is the result of the above SQL query?

A

| no  |
|-----|
| 100 |
| 101 |
| 103 |
| 107 |
| 125 |

B

| no  |
|-----|
| 100 |
| 103 |
| 107 |
| 119 |
| 125 |

C

| no  |
|-----|
| 100 |
| 103 |
| 107 |
| 125 |

D

| no |
|----|
|----|

## Worksheet: NULL values in SQL

| movement   |      |         |           |
|------------|------|---------|-----------|
| <u>mid</u> | no?  | amount? | tdate?    |
| 0999       | 119  | 45.00   | null      |
| 1000       | 100  | 2300.00 | 5/1/1999  |
| 1001       | 101  | 4000.00 | 5/1/1999  |
| 1002       | 100  | -223.45 | 8/1/1999  |
| 1004       | 107  | -100.00 | 11/1/1999 |
| 1005       | 103  | 145.50  | 12/1/1999 |
| 1006       | 100  | 10.23   | 15/1/1999 |
| 1008       | 101  | 1230.00 | 15/1/1999 |
| 1009       | 119  | 5600.00 | 18/1/1999 |
| 1010       | 100  | null    | 20/1/1999 |
| 1011       | null | null    | 20/1/1999 |
| 1012       | null | 600.00  | 20/1/1999 |
| 1013       | null | -46.00  | 20/1/1999 |

| account   |           |                     |       |          |
|-----------|-----------|---------------------|-------|----------|
| <u>no</u> | type      | cname               | rate? | sortcode |
| 100       | 'current' | 'McBrien, P.'       | null  | 67       |
| 101       | 'deposit' | 'McBrien, P.'       | 5.25  | 67       |
| 119       | 'deposit' | 'Poulovassilis, A.' | 5.50  | 56       |
| 125       | 'current' | 'Bailey, J.'        | null  | 56       |

## Quiz 10.5: SQL **EXCEPT** and **NULL**

```

SELECT rate
FROM account
WHERE no < 105
EXCEPT
SELECT rate
FROM account
WHERE sortcode = 56

```

| account |           |                     |       |          |
|---------|-----------|---------------------|-------|----------|
| no      | type      | cname               | rate? | sortcode |
| 100     | 'current' | 'McBrien, P.'       | NULL  | 67       |
| 101     | 'deposit' | 'McBrien, P.'       | 5.25  | 67       |
| 103     | 'current' | 'Boyd, M.'          | NULL  | 34       |
| 107     | 'current' | 'Poulovassilis, A.' | NULL  | 56       |
| 119     | 'deposit' | 'Poulovassilis, A.' | 5.50  | 56       |
| 125     | 'current' | 'Bailey, J.'        | NULL  | 56       |

What is the result of the above SQL query?

A

rate

B

rate

5.25

C

rate

5.25

NULL

D

rate

5.25

NULL

NULL

# Equivalences Between EXCEPT, NOT IN and NOT EXISTS

$R(\underline{A})$  and  $S(B)$ ,  $A$  and  $B$  are not nullable

|                                                   |          |                                                                                     |          |                                                                  |
|---------------------------------------------------|----------|-------------------------------------------------------------------------------------|----------|------------------------------------------------------------------|
| <pre>SELECT A FROM R EXCEPT SELECT B FROM S</pre> | $\equiv$ | <pre>SELECT A FROM R WHERE NOT EXISTS   (SELECT *    FROM S    WHERE S.B=R.A)</pre> | $\equiv$ | <pre>SELECT A FROM R WHERE A NOT IN   (SELECT B    FROM S)</pre> |
|---------------------------------------------------|----------|-------------------------------------------------------------------------------------|----------|------------------------------------------------------------------|

$R(A)$  and  $S(B)$ ,  $A$  or  $B$  are nullable

|                                                   |        |                                                                                     |        |                                                                  |
|---------------------------------------------------|--------|-------------------------------------------------------------------------------------|--------|------------------------------------------------------------------|
| <pre>SELECT A FROM R EXCEPT SELECT B FROM S</pre> | $\neq$ | <pre>SELECT A FROM R WHERE NOT EXISTS   (SELECT *    FROM S    WHERE S.B=R.A)</pre> | $\neq$ | <pre>SELECT A FROM R WHERE A NOT IN   (SELECT B    FROM S)</pre> |
|---------------------------------------------------|--------|-------------------------------------------------------------------------------------|--------|------------------------------------------------------------------|

Quiz 10.6: SQL **EXCEPT** and **NOT IN**

```

SELECT rate
FROM account
WHERE no < 105
AND rate NOT IN
      (SELECT rate
       FROM account
       WHERE sortcode = 56)

```

| account |           |                     |       |          |
|---------|-----------|---------------------|-------|----------|
| no      | type      | cname               | rate? | sortcode |
| 100     | 'current' | 'McBrien, P.'       | NULL  | 67       |
| 101     | 'deposit' | 'McBrien, P.'       | 5.25  | 67       |
| 103     | 'current' | 'Boyd, M.'          | NULL  | 34       |
| 107     | 'current' | 'Poulovassilis, A.' | NULL  | 56       |
| 119     | 'deposit' | 'Poulovassilis, A.' | 5.50  | 56       |
| 125     | 'current' | 'Bailey, J.'        | NULL  | 56       |

What is the result of the above SQL query?

A

rate

B

rate  
5.25

C

rate  
5.25  
NULL

D

rate  
5.25  
NULL  
NULL

# Quiz 10.7: SQL **EXCEPT** and **NOT EXISTS**

```

SELECT rate
FROM account
WHERE no < 105
AND NOT EXISTS
  ( SELECT *
    FROM account AS account_56
    WHERE sortcode = 56
    AND account_56.rate = account.rate )

```

| account |           |                     |       |          |
|---------|-----------|---------------------|-------|----------|
| no      | type      | cname               | rate? | sortcode |
| 100     | 'current' | 'McBrien, P.'       | NULL  | 67       |
| 101     | 'deposit' | 'McBrien, P.'       | 5.25  | 67       |
| 103     | 'current' | 'Boyd, M.'          | NULL  | 34       |
| 107     | 'current' | 'Poulovassilis, A.' | NULL  | 56       |
| 119     | 'deposit' | 'Poulovassilis, A.' | 5.50  | 56       |
| 125     | 'current' | 'Bailey, J.'        | NULL  | 56       |

What is the result of the above SQL query?

A

rate

B

rate

5.25

C

rate

5.25

NULL

D

rate

5.25

NULL

NULL