Automatic Repeat Request

1



- Transmission of data requires series of PDUs to be sent
- PDU may be lost
- PDU may be corrupted



#### automatic repeat request (ARQ)

- Data link layer (transport layer, application layer, ...)
- Number PDUs, and add error checking
- Data in I-frames, Acknowledgement in ACK-frames

### **Two Approaches to ARQ**

**idle RQ** 

- Tx waits after each I(N) until it receives ACK(N) or NAK(N) or times out
- also called stop-and-wait or synchronous

#### continuous RQ

- Tx sends continuous stream of I-frames
- can send I(N+1) before receiving ACK(N)
- also called **asynchronous**



#### $\Rightarrow$ time

D		
KX		
<b>A U A</b>		

R=N











# **Worksheet: Automatic Repeat Request**





$$T_R = T_I + 2T_P + T_D + T_A$$

$$\rho_s = \frac{T_I}{T_I + 2T_P + T_D + T_A}$$

### **Idle RQ: Improving performance**

$$\rho_s = \frac{T_I}{T_I + 2T_P + T_D + T_A}$$

Increase  $\rho_s$ ?

- reduce  $T_P$  by decreasing the distance between Tx and Rx
  - usually not possible
  - increase  $T_I$  by decreasing the bit rate
    - would not want to do this
    - tells us Idle RQ more efficient on slower channels
- increase  $T_I$  by increasing the number of bits per I-frame
  - larger I-frames more likely to suffer errors

### **Idle RQ: Example Protocol Efficiency Calculation**

Compute the maximum data throughput of Idle RQ

- *10Mbs*<sup>-1</sup>*communications system*
- I-frame size is 92 bits and the ACK-frame size is 8 bits
- via a satellite in orbit at 37,500km above the Earth's surface
- **propagation speed**  $300 \times 10^6 m s^{-1}$

$$T_{I} = \frac{92}{10 \times 10^{6}} = 9.2 \times 10^{-6} s$$

$$T_{A} = \frac{8}{10 \times 10^{6}} = 0.8 \times 10^{-6} s$$
assume  $T_{D} = 0$ 

$$T_{P} = \frac{2 \times 37.5 \times 10^{6}}{300 \times 10^{6}} = 0.25 s$$

$$\rho_{s} = \frac{9.2 \times 10^{-6}}{9.2 \times 10^{-5} + 2 \times 0.25 + 0.8 \times 10^{-6}} = 18.4 \times 10^{-6}$$
throughput  $= \rho_{s}B = 18.4 \times 10^{-6} \times 10 M b s^{-1} = 184 b s^{-1}$ 

### **Overall Efficiency of Idle RQ**

Three factors cause the raw bit-rate of a channel to be wasted (i=no I-frame bits, c=control bits in I-frame, a=no ACK-frame bits)

Wastage due to stop-and-wait protocol

$$\rho_s = \frac{T_I}{T_I + 2T_P + T_A + T_D}$$

• Wastage due to errors forcing retransmission

$$\rho_e = (1 - \text{BER})^{i+a} \qquad \rho_e \approx \frac{1}{1+p}$$

Wastage due to control information

$$\rho_c = \frac{i-c}{i+a}$$

• Overall efficiency  $\rho_i = \rho_s \rho_e \rho_c$ 

### **Worksheet: Overall Efficiency of Idle RQ**

I-frames of 10000 bits; hosts separated by 500km over a  $1Mbs^{-1}$  link. The I-frames consist of 1000 bits of header and 9000 bits of data. The signal propagation speed is  $200 \times 10^6 ms^{-1}$ , and the BER is  $10^{-5}$ 

- 1. Calculate the probability that an I-frame is lost due to an error.
- 2. What is the *error efficiency* of the system  $\rho_e$ ?
- 3. What is the *stop-and-wait efficiency* of the system  $\rho_s$ ?
- 4. What is the *control information efficiency* of the system  $\rho_c$ ?
- 5. What is the overall efficiency of the Idle RQ protocol?

### **Idle RQ Error Handling: Loss of I-frame**



Timeout  $T_O$  must be set at Tx

 $\blacksquare T_O > T_R$ 

### **Idle RQ Error Handling: Corrupt I-frame**



NAK-frame speeds up retransmission of I-frame

Do not have to use NAK-frames

### **Idle RQ Error Handling: Loss of ACK-frame**



Rx receives I(N+1) twice

Really do have to number I-frames!





### **Go-Back-N: Loss of I-frame**



### **Go-Back-N: Loss of NAK-frame**



## **Idle RQ: Rough Formula for Efficiency**

 $r = \left\lceil \frac{T_R}{T_I} \right\rceil$  = number for frames sent in  $T_R$  p= probability frame suffers error error efficiency:

$$\rho_e \approx \frac{1}{1+p}$$

protocol efficiency:

$$\rho_s = \frac{1}{r}$$

combined efficiency:

$$\rho = \frac{1}{r+rp}$$

# **Go-back-N: Efficiency**

$$\rho_s = 1$$

$$send r = \left\lceil \frac{T_R}{T_I} \right\rceil I \text{-frames for each erroneous I-frame}$$

$$\rho_e \approx \frac{1}{1+pr}$$

 $\rho_c$  same as idle RQ

#### **Example: Continuous RQ Go-Back-N protocols**

If Continuous RQ Go-Back-N is being used, calculate the efficiency and throughput of a  $10Mbs^{-1}$  satellite communication system with BER of  $10^{-6}$ , a satellite height of 37,500km, propogation speed  $300 \times 10^6 ms^{-1}$ , I-frames 100 bits.

 $p = 1 - 0.9999 = 10^{-4}$   $T_{I} = \frac{100}{10 \times 10^{6}} = 10^{-5}s$   $T_{P} = \frac{2 \times 37.5 \times 10^{6}}{300 \times 10^{6}} = 0.25s$ assume  $T_{D} = 0, T_{A} = 0$   $T_{R} = 10^{-5} + 2 \times 0.25 = 0.5s$   $r = \frac{0.5}{10^{-5}} = 50 \times 10^{3}$   $\rho_{g} = \frac{1}{1 + 10^{-4} \times 50 \times 10^{3}} = \frac{1}{6} = 0.17$ throughput = 1.7 Mbs<sup>-1</sup>

### **Worksheet: Efficiency of Continuous RQ Go-Back-N**

Go-Back-N system uses I-frames of 10000 bits; hosts separated by 500km over a  $10Mbs^{-1}$  link. Signal propagation speed is  $200 \times 10^6 ms^{-1}$ .

- 1. If no errors occur, what is the throughput we can expect on the link?
- 2. If the BER is  $10^{-5}$ , what is the probability of any one frame being lost?
- 3. What is the throughput with this BER?
- 4. What would have been the throughput if Idle RQ had been used?

## **Go-Back-N: Efficiency**



### **Selective Repeat: Explicit Request**



### **Selective Repeat: Implicit Retransmission**



## **Selective Repeat: efficiency**

**protocol efficieny**  $\rho_s = 1$ 

error efficiency as idle request

$$\rho_e \approx \frac{1}{1+p}$$

 $\rho_c$  same as idle RQ

#### **Example: Continuous RQ Selective-Repeat protocols**

If Continuous RQ selective repeat is being used, calculate the efficiency and throughput of a  $10Mbs^{-1}$  satellite communication system with BER of  $10^{-6}$ , a satellite height of 37,500km, propogation speed  $300 \times 10^6 ms^{-1}$ , I-frames 100 bits.

$$p = 1 - (1 - 10^{-6})^{100} = 10^{-4}$$
$$\rho_s = \frac{1}{1 + 10^{-4}} = 0.9999$$
$$\text{throughput} = 10.0 \text{ Mbs}^{-1}$$

# **Comparison of ARQ Protocols**

	protocol	error	combined
	$ ho_s$	$ ho_e$	$ ho_s ho_e$
Idle RQ	$\frac{1}{r}$	$\frac{1}{1+p}$	$\frac{1}{r+rp}$
Go-Back-N	1	$\frac{1}{1+rp}$	$\frac{1}{1+rp}$
Selective Repeat	1	$\frac{1}{1+p}$	$\frac{1}{1+p}$

For all, control efficiency

$$\rho_c = \frac{i-c}{i+a}$$

### **Overall Efficiency for Go-Back-N**



# **Duplex Operation**



Can send ACK-frames for I-frame by piggybacking it to I-frame in opposite direction

# **Idle RQ: Window Size**



Tx and Rx only need buffer one I-frame

### **Continuous RQ Go-Back-N: Window Size**



Tx must buffer r I-frames

### **Continuous RQ Selective Repeat: Window Size**



Tx and Rx must buffer r I-frames

# **Sliding Windows**

Error	Send	Receive	S: Maximum sequence
protocol	window size	window size	number (counting from 1)
Idle RQ	1	1	2
Go-back-N	r	1	r+1
Selective repeat	r	r	2r

N does not need to be an infinite range

Use modulo S