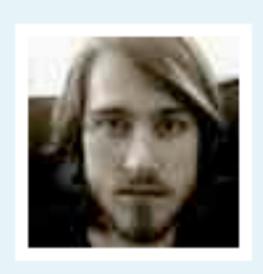
### Zeno

# an automated theorem prover for functional programs



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- <u>Zeno of Elea</u> (c.490–c.430 BC), philosopher, follower of Parmenides, famed for his *paradoxes*.
- Zeno of Citium (333 BC 264 BC), founder of the Stoic school of philosophy
- Zeno of Tarsus (200s BC), Stoic philosopher
- Zeno of Sidon (1st century BC), Epicurean philosopher
- Zeno at http://www.haskell.org/haskellwiki/Zeno

### Zeno

- Proves equality over Haskell-like expressions of the form  $E_1=E_2$ , ...,  $E_{2n+1}=E_{2n+2}$  ==> E = E' where E may mention recursively defined functions
- Variables are implicitly universally quantified; no support for existentials
- Booleans are encoded through the Bool data type.
- Zeno can prove properties like

```
o rev (rev xs) = xs
o order (order xs) = order xs
o mult x (succ 0) = x
```

- From a benchmark suite suggested by Isaplanner, Zeno can prove more properties than Isaplanner and ACL2s
- Zeno can discover necessary auxiliary lemmas, but cannot use theories.

- Example Zeno code
- The proof steps by example
- Is Zeno sound?
- Zeno performance
- Trimming the search space

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# Example - Haskell

```
data Nat = Zero | Succ Nat
(<=) :: Nat -> Nat -> Bool
Zero <= = True
Succ x \le Zero = False
Succ x \le Succ y = x \le y
srtd :: [Nat] -> Bool
srtd [] = True
srtd[x] = True
srtd (x:y:zs) = (x \le y) && srtd (y:zs)
ordr :: [Nat] -> [Nat]
ordr [] = []
ordr (x:xs) = ins x (ordr xs)
ins :: Nat -> [Nat] -> [Nat]
ins n [] = [n]
ins n (x:xs) | n \le x = n:x:xs | otherwise x:(ins n xs)
```

# Example - Haskell and HC

```
data Nat = Zero | Succ Nat
(<=) :: Nat -> Nat -> Bool
Zero <= = True
Succ x \le Zero = False
Succ X \le Succ y = x \le y
data Nat = Zero | Succ Nat
letrec (<=) = \lambda x. \lambda y. case x of
    { Zero -> True;
      Succ x' -> case y of
           { Zero -> False;
             Succ y' -> x' <= y' }
```

# Example - Haskell and HC

# Example Haskell and HC

# Example Haskell and HC

### Example in HC

False -> x: (ins n xs) } }

letrec srtd =  $\lambda$  ns. case ns of { [] -> True; x:xs -> case xs of { [] -> True; y:ys -> case x<=y of { True -> srtd (y:ys); False -> False } } **letrec** ordr =  $\lambda$  ns. case ns of { [] -> [];  $x:xs \rightarrow ins n (ordr xs) \}$ letrec ins =  $\lambda$  n.  $\lambda$  ns. case ns of { [] -> n:[]; x:xs -> case n<=x of { True -> n:x:xs;

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Zeno supports sequent-style proof rules. It applies these rules backwards, possibly trying several. These rules are:

- CON contradiction
- EQL substitute equals for equals
- IND induction
- EXP expansion
- GEN generalization
- CASE case analysis
- Modus Ponens

### So, we want to prove

srtd (ordr is)

We will first outline part of the proof, and then we will show the rules for the individual steps.

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We will first outline part of the proof, and then we will show the rules for the individual steps.

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<del>?</del>??

```
????

srtd (ord js) => srtd (ins j (ord js))

???

srtd (ord js) => srtd (ord j:js)

EXP

srtd (ordr is)
```

# Note: Zeno discovered the auxiliary lemma srtd ks => srtd (ins j ks)

```
333
      3333
                   -???
                            srtd (ms) => srtd (ins i (ord ms)
srtd ([])
                            srtd (m:ms) => srtd (ins i (ord m:ms)
  => srtd (ins i [])
                                                            -IND
                      srtd (ks) => srtd (ins i ks)
                                                          -GEN
                     srtd (ord js) => srtd (ins j (ord js))
                                                         -EXP
                    srtd (ord js) => srtd (ord j:js)
srtd (ord [])
                                                           -IND
                       srtd (ordr is)
```

### So, we want to prove

srtd (ordr is)

We will first outline part of the proof, and then we will show the rules for the individual steps.

### Proving srtd (ordr is) - the IND step

### Proving srtd (ordr is) - the IND step

```
x has type T

for each K ∈ Constrs (T) .

⊢ \phi [x:=z<sub>1</sub>], ... \phi [x:=z<sub>m</sub>] => \phi [x:=K y<sub>1</sub>...y<sub>n</sub>]

where K y<sub>1</sub>...y<sub>n</sub> has type T,

y<sub>1</sub>...y<sub>n</sub> are fresh variables,

z<sub>1</sub>...z<sub>m</sub> are those variables from y<sub>1</sub>...y<sub>n</sub> with type T

⊢ \phi
```

### Proving srtd (ordr is) - the EXP step

```
srtd (ord js) => srtd (ins j (ord js))

???

srtd (ord [])

srtd (ord js) => srtd (ord j:js)

EXP

IND

srtd (ordr is)
```

### Proving srtd (ordr is) - the EXP step

$$E$$
 evaluates to  $E'$ 
 $\vdash \phi [E := E']$ 
 $\vdash \phi$ 

### Proving srtd (ordr is) - the GEN step

- Example Zeno code
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#### Zeno is sound

- Zeno's proof rules correspond to sequent calculus
- Zeno emits Isabelle proofs, which it checks through Isabelle

- Example Zeno code
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# ... using the Isaplanner test suite

Theorem prover	Percentage proven	Identifiers of unproven properties
Defect (72 and IND)	F2 F0/	4F 0F
Dafny (Z3 and IND)	53.5%	45-85
Isaplanner	55%	47-85
•		47, 50, 54, 56, <mark>72</mark> , 73,
ACL2s – coded types	87%	74, 81, 83, 84, 85
Zeno	96%	72, 74, 85

# What gives Zeno its performance?

 Trimming the search space, ie heuristics which reduce applicability of the rules.

These heuristics can be understood as further conditions on the rules.

- Example Zeno code
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# Zeno's trimming heuristics

- Prioritize CON and EQL steps.
- Search for counterexample.
- Critical expressions.
- Critical paths.

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# Zeno's trimming heuristics

- Prioritize CON and EQL steps.
  - CON and EQL "close" proof braches;

K, K' are constructors
$$\underline{K = /= K'}$$

$$\vdash (K E_1...E_n) = (K' E'_1...E'_n) => \phi$$

therefore it pays to apply them ASAP

- Search for counterexample.
- Critical expressions.
- Critical paths.
- •

- Prioritize CON and EQL steps.
- Search for counterexample.
  - After generation of new proof goal (eg through GEN), create examples (using critical expressions/paths) to quickly test the new proof goal, and discard the branch if counterexample found.
- Critical expressions.
- Critical paths.

•

- Prioritize CON and EQL steps.
- Search for counterexample after GEN steps.
- Critical expressions.
  - Aim to steer the proof search so that EXP steps become applicable (ie function definitions may be applied).

- Critical paths.
- •

- Prioritize CON and EQL steps.
- Search for counterexample after GEN steps.
- Critical expressions.
  - Aim to steer the proof search so that EXP steps become applicable (ie function definitions may be applied).
    - This is in contrast with rippling (Isaplanner), which, instead, tries to make the inductive hypothesis applicable.
- Critical paths.
- •

# Critical expressions - example

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# Critical expressions - example

#### At this point, many steps could be considered:

- IND on is
- CASE on ord is
- CASE on srtd (ord is)
- IND on ord is
- CASE on first (is)
- **-**

## Critical expressions - example

Similarly, at this point, the following steps could be considered:

```
■ IND on js
```

- IND on j
- CASE on js
- CASE on j
- CASE on ord js
- CASE on ord j:js
- **-** ...

```
?????
srtd (ord js) => srtd (ord j:js)
```

\_\_\_\_IND

## Critical expressions - definition

We want to consider only those expressions which are critical for the execution of the term, ie those expressions where execution of a term will get stuck.

$$Crits(E) = \begin{cases} E & \text{if E is normal} \\ Crits(E') & \text{if E->* case } E' & \text{of } ..., & E' \in E \end{cases}$$

$$E' & \text{if E->* case } E' & \text{of } ..., & E' \notin E \end{cases}$$

E is *normal* if it cannot be further re-written

## Critical expressions - examples

```
Crits(E) = \begin{cases} E & \text{if E is normal} \\ Crits(E') & \text{if E->* case } E' & \text{of } ..., E' \subseteq E \end{cases}
E' & \text{if E->* case } E' & \text{of } ..., E' \notin E
ord(is) ->* case is of { [] -> ...; x:xs -> ... }
srtd(ord(is)) ->* case ord(is) of
                                    { True -> ...; False -> ... }
Crits(ord(is)) = is
Crits( srtd(ord (is)) ) = is
```

#### Without Crits, following steps possible

- IND on is
- CASE **on** ord is
- CASE on srtd(ord is)
- IND on ord is
- CASE on first(is)
- ..

•••

#### reduces the proof search space

```
x has type T, x \in Crits(\phi)
for each K \in Constrs(T). \vdash \phi[x:=z_1], ... \phi[x:=z_m] \Rightarrow \phi[x:=K y_1...y_n]
where ...
\vdash \phi
```

··· •

reduces the proof search space

srtd (ordr is)

#### reduces the proof search space

```
x has type T, X \in Crits(\phi)
 for each K \in Constrs (T). \vdash \phi[x:=z_1], ..., \phi[x:=z_m] \Rightarrow \phi[x:=K y_1...y_n]
     where ...
                                                                       -TND
 \vdash \phi
Crits( srtd (ordr is)) = { is }
                                    With Crits, several steps not applicable
                                          IND on is

    CASE on ord is

    CASE on srtd (ord is)

                                         • IND on ord is

    CASE on first (is)

srtd (ord [])
                        srtd (ord js) => srtd (ord j:js)
                                                                    -IND
                           srtd (ordr is)
```

#### Critical expressions need not be subterms

```
Crits(E) = \begin{cases} E & \text{if } E \text{ is normal} \\ Crits(E') & \text{if } E \text{-}>^* \text{ case } E' \text{ of } ..., E' \in E \end{cases}
E' & \text{if } E \text{-}>^* \text{ case } E' \text{ of } ..., E' \notin E 
ins i (j:js) ->* case i <= j of
                                    { True -> ...; False -> ...}
srtd(ins i (j:js)) ->*
                                   case (ins i (j:js) ) of
                                    { [] -> ...; y:ys -> ...}
   Crits(ins i (j:js) ) = i<=j
```

Crits(srtd(ins i (j:js))) = i<=j



#### Use of critical Expressions which are not subterms

Critical expressions which are not subterms are used for case analysis

#### Use of critical Expressions which are not subterms

Critical expressions which are not subterms are used for case analysis

```
Crits( srtd(ins i (j:js))) =    i<=j</pre>
```

```
i<=j = True =>
    srtd (j:js) =>
    srtd( ins i (j:js) )
    srtd( ins i (j:js) )
    srtd( ins i (j:js) )
```

```
Crits( srtd(ordr js)) = Crits( srtd(ordr js)) = js
```

```
Crits( srtd(ordr js)) = Crits( srtd(ordr js)) = js
```

Should we apply induction on js?

```
Crits( srtd(ordr js)) = Crits( srtd(ordr js)) = i<=j</pre>
```

Should we apply induction on js? Again induction?



- Prioritize CON and EQL steps.
- Search for counterexample after GEN steps.
- Critical expressions.
- Critical paths.

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We enhance our approach so that P1 Case statements are labeled.

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- P2 Critical expressions are decorated with paths of labels; these describe the "intention" of the expression, ie the case statements that this expression would represent.

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- P1 Case statements are labeled.
- P2 Critical expressions are decorated with paths of labels; these describe the "intention" of the expression, ie the case statements that this expression would represent.
- P3 Variables are decorated with paths of labels; these describe the "history" of these variables, ie case statements that these variables have represented.

#### We enhance our approach so that

- P1 Case statements are labeled.
- P2 Critical expressions are decorated with paths of labels; these describe the "intention" of the expression, ie the case statements that this expression would represent.
- P3 Variables are decorated with paths of labels; these describe the "history" of these variables, ie case statements that these variables have represented.
- P4 Induction avoids revisiting (parts of) an already visited path. Therefore, induction not applicable when history of critical expression "covers" its intention. Similar for case analysis, generalization, etc.

#### P1: Labelling Case Statments

letrec srtd =  $\lambda$  ns. case<sup>\$1</sup> ns of { [] -> True; x:xs -> case<sup>\$2</sup> xs of { [] -> True; v:vs -> case<sup>s3</sup> x<=y of { True -> srtd (y:ys); False -> False } } letrec ordr =  $\lambda$  ns. case<sup>01</sup> ns of { [] -> [];  $x:xs \rightarrow ins n (ordr xs) \}$ letrec ins =  $\lambda$  n.  $\lambda$  ns. case<sup>i1</sup> ns of { [] -> n:[];  $x:xs \rightarrow case^{i2} n \le x$ { True -> n:x:xs; False -> x:(ins n xs)} } }

## P2: Decorating critical expressions

Critical expressions record their intention: which cases they will consider, if chosen

$$Crits(E) = \begin{cases} E, [] & \text{if } E \text{ is normal} \end{cases}$$

$$E'', 1:p & \text{if } E \text{-}>^* \mathbf{case}^1 E' \text{ of } ..., E' \in E$$

$$Crits(E') = E'', p$$

$$E', 1 & \text{if } E \text{-}>^* \mathbf{case}^1 E' \text{ of } ..., E' \notin E$$

## P2: Decorating critical expressions - examples

```
Crits(E) = \begin{bmatrix} E, [] & \text{if } E \text{ is normal} \\ E'', \mathbf{1}.\mathbf{p} & \text{if } E \text{-}>^* \mathbf{case}^1 \ E' \text{ of } ..., \ E' \subseteq E \\ Crits(E') = E'', \mathbf{p} \\ E', \mathbf{1} & \text{if } E \text{-}>^* \mathbf{case}^1 \ E' \text{ of } ..., \ E' \notin E \end{bmatrix}
ord(is[]) ->* case<sup>o1</sup> is of { [] -> ...; x:xs -> ... }
srtd(ord(is[])) ->* case<sup>s1</sup> ord(is) of
                                               { True -> ...; False -> ... }
 Crits(ord(is[])) = is[],o1.[]
 Crits(srtd(ord(is[])) = is[], s1.o1.[]
```

## P2: Decorating critical expressions - examples

```
Crits(E) = \begin{cases} E, [] & \text{if } E \text{ is normal} \end{cases}
E'', 1.p & \text{if } E \text{-}>^* \mathbf{case}^1 E' \text{ of } ..., E' \in E 
Crits(E') = E'', p
E', 1 & \text{if } E \text{-}>^* \mathbf{case}^1 E' \text{ of } ..., E' \notin E 
ord(is[]) ->* case<sup>o1</sup> is of { [] -> ...; x:xs -> ... }
srtd(ord(is[])) ->* case<sup>s1</sup> ord(is) of
                                                 { True -> ...; False -> ... }
   Crits(ord(is[])) = is[],o1.[]
   Crits(\operatorname{srtd}(\operatorname{ord}(\operatorname{is}^{[]}))) = \operatorname{is}^{[]}, \operatorname{s1.o1.}[]
```

When is [] is taken for srtd (ord (is [])), it intends to cover the cases s1.01

# P3: Decorating variables

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# P4: Induction – only when intention is not "covered" by history

```
x has type \mathbb{T}, \mathbb{x}^{\mathbf{p}}, \mathbf{p}' \in \mathsf{Crits}(\phi)

...\mathbb{x}^{\mathbf{p}''}..., \mathbf{p}''' \in \mathsf{Crits}(\phi) implies \mathbf{p}' not a subpath of \mathbf{p}''

for each \mathbb{K} \in \mathsf{Constrs}(\mathbb{T}). \vdash \phi[\mathbb{x}:=\mathbb{z}_1], ... \phi[\mathbb{x}:=\mathbb{z}_m] \Rightarrow \phi[\mathbb{x}:=\mathbb{K} \ \mathbb{y}_1...\mathbb{y}_n]

where ...

\vdash \phi
```

# P4: Induction – only when intention is not "covered" by history

```
Where p1 = s1.o1.[] Therefore, IND applicable now. \textcircled{}

x \text{ has type } \texttt{T}, x^p, p' \in \mathsf{Crits}(\phi)
x \text{ implies } p' \text{ not a subpath of } p''
x \text{ for each } \texttt{K} \in \mathsf{Constrs}(\texttt{T}). \vdash \phi[\texttt{x}:=\texttt{z}_1], \dots \phi[\texttt{x}:=\texttt{z}_m] \Rightarrow \phi[\texttt{x}:=\texttt{K} y_1 \dots y_n]
x \text{ where } y_1 \text{ where } y_2 \text{ where } y_3 \text{ where } y_4 \text{ where } y_4 \text{ where } y_4 \text{ where } y_5 \text{ where } y_5
```

srtd (ordr is<sup>[]</sup>)

## Second step in proof

Remember, here we wanted to avoid application of induction.

```
\frac{???}{\text{srtd (ord [])}} = \frac{???}{\text{srtd (ord js}^{p1})} = \text{srtd (ord j}^{p1}:js^{p1})}
\frac{\cdot}{\text{srtd (ordr is}^{[]})}
```

# P4: Induction only applicable when intention not covered by history

```
Crits (srtd(ordr js^{p1})) = js^{p1},p1
Crits (srtd(ordr j^{p1}:js^{p1})) = js^{p1},p1
where
p1 = s1.o1.[]
```

```
\frac{???}{\text{srtd (ord [])}} = \frac{???}{\text{srtd (ord js}^{p1})} = \text{srtd (ord j}^{p1}:js^{p1})}
\frac{\cdot}{\text{srtd (ordr is}^{[]})}
```

# P4: Induction only applicable when intention not covered by history

```
Crits ( srtd (ordr js^p)) = js^{p1}, p1
Crits ( srtd (ordr j^p:js^p)) = js^{p1}, p1
```

#### Therefore, IND not applicable now. ©

```
x has type \mathbb{T}, \mathbb{x}^{\mathbf{p}}, \mathbf{p}' \in \mathsf{Crits}(\phi)

...\mathbb{x}^{\mathbf{p}''}..., \mathbf{p}''' \in \mathsf{Crits}(\phi) implies \mathbf{p}' not a subpath of \mathbf{p}''

for each \mathbb{K} \in \mathsf{Constrs}(\mathbb{T}). \vdash \phi[\mathbb{x}:=\mathbb{z}_1], ... \phi[\mathbb{x}:=\mathbb{z}_m] \Rightarrow \phi[\mathbb{x}:=\mathbb{K} \ y_1...y_n]

where ...
\vdash \phi

srtd (ord \mathbb{F}^{\mathbf{p}}) = srtd (ord \mathbb{F}^{\mathbf{p}}) = srtd (ord \mathbb{F}^{\mathbf{p}}): \mathbb{F}^{\mathbf{p}})

srtd (ord is)
```

# So far, ...

- Induction applicable in the first step. ©
- Induction not applicable in the second step. ©

What about the later steps?

We shall look at the fourth proof step

Remember, we wanted to be allowed to apply IND here.

```
\frac{\text{srtd } (\text{ks}^{\text{p1}}) \Rightarrow \text{srtd } (\text{ins i}^{\text{p1}} \text{ ks}^{\text{p1}})}{\text{GEN}}}{\text{srtd } (\text{ord js}^{\text{p1}}) \Rightarrow \text{srtd } (\text{ins j}^{\text{p1}} \text{ (ord js}^{\text{p1}})}
\frac{\text{srtd } (\text{ord js}^{\text{p1}}) \Rightarrow \text{srtd } (\text{ord j}^{\text{p1}}; \text{js}^{\text{p2}})}{\text{srtd } (\text{ordr is}^{\text{p1}})}
```

```
Crits ( srtd(ks^{p1})) = ks^{p1}, p2
Crits ( srtd(ins i^{p1} ksp1)) = ksp1, p3
where
p1 = s1.o1.[]
p2 = s1.[]
p3 = s1.i1.[]
                           srtd (ks^{p1}) \Rightarrow srtd (ins i^{p1} ks^{p1})
                       srtd (ord js^{p1}) => srtd (ins j^{p1} (ord js^{p1})
                        srtd (ord js^{p1}) => srtd (ord j^{p1}:js^{p1})
                                                                        -IND
                            srtd (ordr is<sup>p1</sup>)
```

```
Crits ( srtd(ks^{p1})) = ks^{p1}, p2
Crits ( srtd(ins i^{p1} ksp1)) = ksp1, p3
                                         p1 covers p2
where
                                         p1 does not cover p3
p1 = s1.o1.[]
p2 = s1.[]
p3 = s1.i1.[]
                          srtd (ks^{p1}) => srtd (ins i^{p1} ks^{p1})
                                                                   -GEN
                      srtd (ord js^{p1}) => srtd (ins j^{p1} (ord js^{p1})
                       srtd (ord js^{p1}) => srtd (ord j^{p1}:js^{p1})
                                                                     -IND
                           srtd (ordr is<sup>p1</sup>)
```

```
Crits ( srtd(ks^{p1})) = ks^{p1}, p2
Crits ( srtd(ins i^{p1} ksp1)) = ksp1, p3
                                         p1 covers p2
where
                                         p1 does not cover p3
p1 = s1.o1.[]
p2 = s1.[]
p3 = s1.i1.[]
                                         Therefore, IND is applicable. ©
                          srtd (ks^{p1}) => srtd (ins i^{p1} ks^{p1})
                                                                   -GEN
                      srtd (ord js^{p1}) => srtd (ins j^{p1} (ord js^{p1})
                       srtd (ord js^{p1}) => srtd (ord j^{p1}:js^{p2})
                                                                     -IND
                           srtd (ordr is<sup>p1</sup>)
```

# Summary

- Zeno proves equality over Haskell-like terms.
- Variables implicitly universally quantified; no support for existentials. Booleans are encoded through the Bool data type.
- From Isaplanner benchmark suite, Zeno can prove more properties than Isaplanner and ACL2s
- Zeno sometimes discovers useful further lemmas.
- Zeno's heuristics
  - Counteraxamples
  - Prioritize EQL and CON
  - Critical expressions restrict antecedents to "relevant ones" they move the proof search towards making it possible to expand function bodies – as opposed to rippling
  - Paths keep track of the proof cases visited so far and avoid revisiting these cases; some "forbidden" steps my become allowed later in the poof.

**–** ...

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#### Zeno

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#### 1 Introduction

Zeno is an automated proof system for Haskell program properties; developed at Imperial College London by William Sonnex, Sophia Drossopoulou and Susan Eisenbach. It aims to solve the general problem of equality between two Haskell terms, for any input value.

Many program verification tools available today are of the model checking variety; able to traverse a very large but finite search space very quickly. These are well suited to problems with a large description, but no recursive datatypes. Zeno on the other hand is designed to inductively prove properties over an infinite search space, but only those with a small and simple specification.

#### Navigati

Haske Wiki c

Recen

Rando

#### Toolbox

What I Relate

Uploa Specia

Printal

Perma