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**Nonlinear Diffusion in Computer Vision and  
Statistical Shape Models, with Applications in  
Image Analysis of Articulators of Voiced and  
Signed Speech**

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PhD Work Presentation

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November 2010

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# Contents

- **Introduction**
  - PDEs & Shape Models in Computer Vision
  - Applications
  - Research Contributions
  
- Nonlinear Diffusion for Image Interpolation
- Variational Frameworks for Tensor-based Diffusion
- Tongue Tracking with Active Appearance Models
- Handshape Modeling for Sign Language
  
- Conclusions

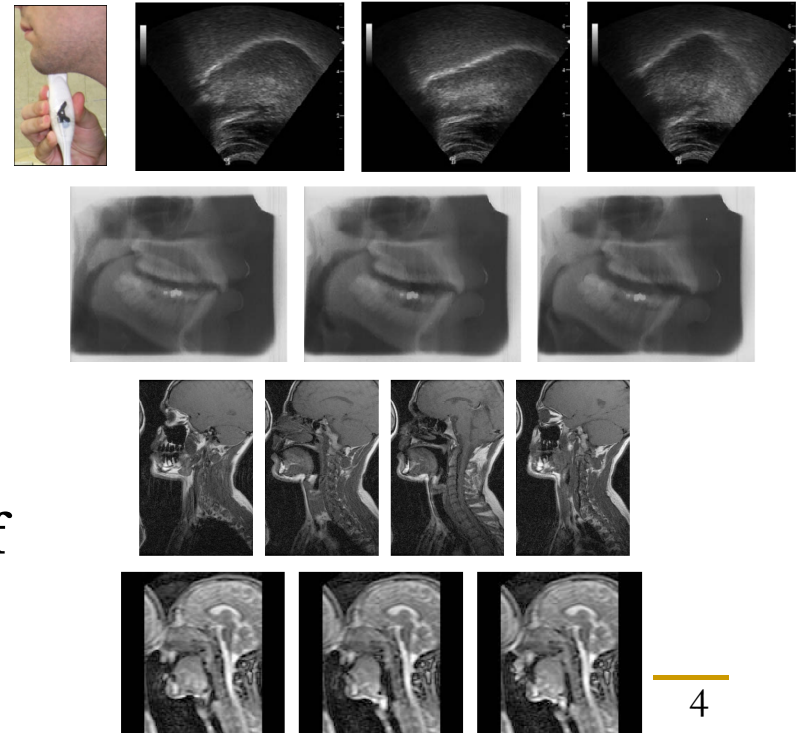
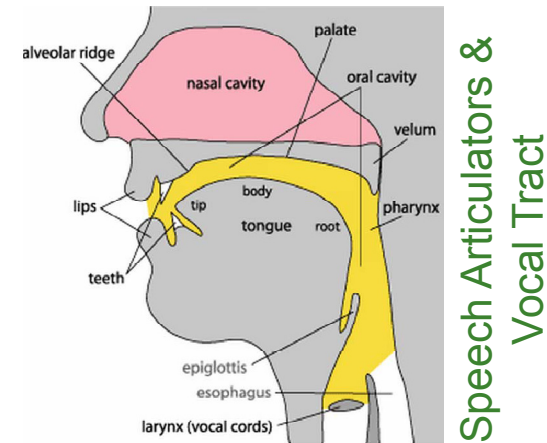
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## PDEs & Shape Models in Computer Vision

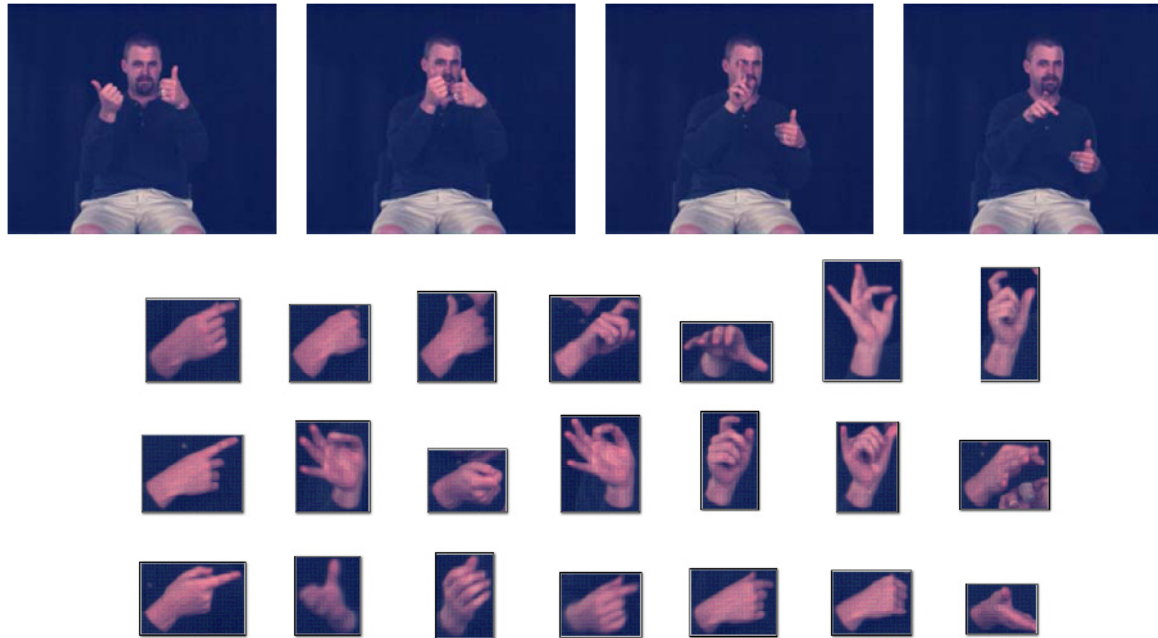
- Partial Differential Equations (PDEs) in Computer Vision (CV) and Image Processing
  - Started in 1980's
  - Popular due to various advantages compared to classic approaches
  - Development of Scale Spaces
  - Nonlinear diffusion for Computer Vision problems
  - Active Contours for Image Segmentation
  - Optical Flow
- Statistical Shape Models
  - Exploitation of prior shape information
  - They are generative and deformable
  - Object tracking and classification: model fitting
  - Active Shape Models, Active Appearance Models

# Research on Human Speech Production System

- Sub-problems
  - Articulated Speech Synthesis
  - Audio-visual Speech Inversion
  
- Articulatory image data during speech
  - Acquisition techniques
  - **Image enhancement** using digital post-processing
  - **Image analysis** for extraction of geometric information



# Automatic Sign Language Recognition

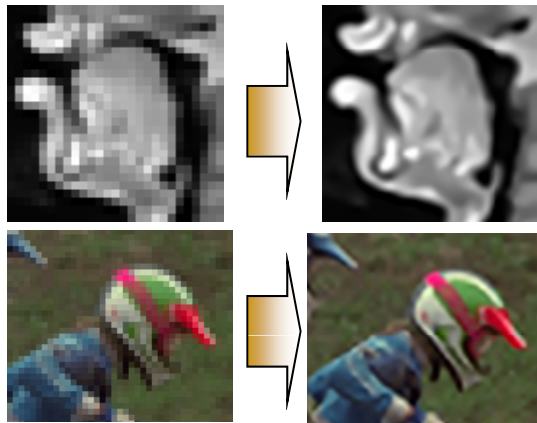


- Sub-problems
  - Localization & tracking of signer's hands and head
  - Extraction of features that reliably describe the hand configurations
- Difficulties
  - Fast hands movement
  - Occlusions
  - High variability on the hand pose and shape

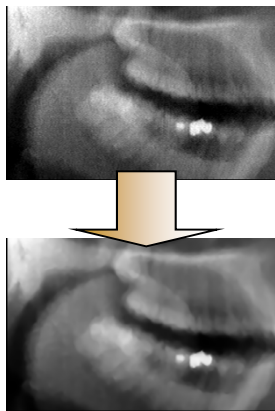
# PhD Research Contributions

Nonlinear diffusion methods for image enhancement

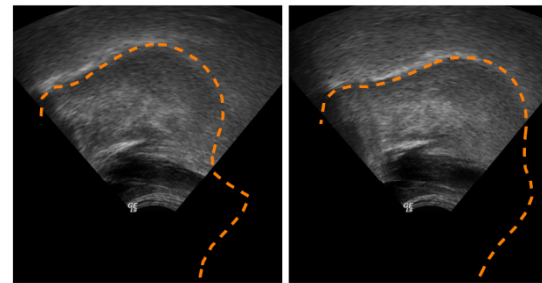
Nonlinear diffusion for vector-valued image interpolation



Generalized variational framework for regularized nonlinear diffusion

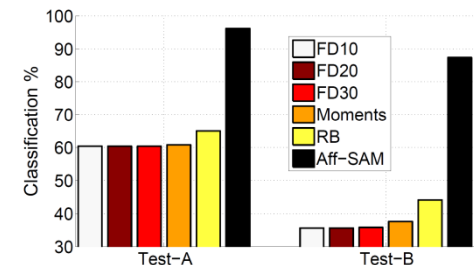
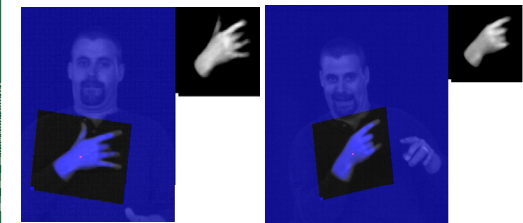


Tongue tracking with Active Appearance Models



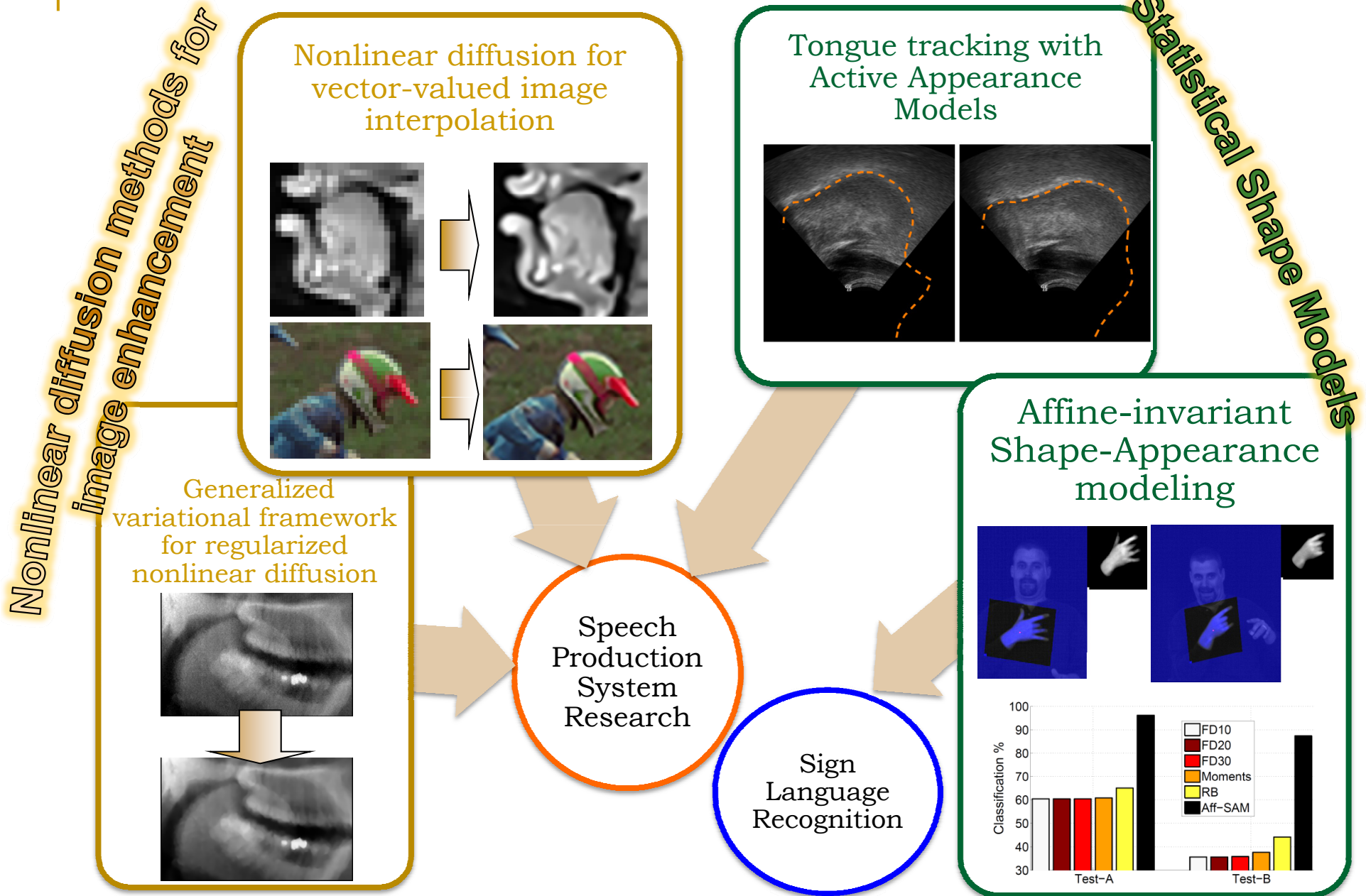
Statistical Shape Models

Affine-invariant Shape-Appearance modeling



Speech Production System Research

Sign Language Recognition



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- Introduction
  - PDEs & Shape Models in Computer Vision
  - Applications
  - Research Contributions
- **Nonlinear Diffusion for Image Interpolation**
- Variational Frameworks for Tensor-based Diffusion
- Tongue Tracking with Active Appearance Models
- Handshape Modeling for Sign Language
- Conclusions

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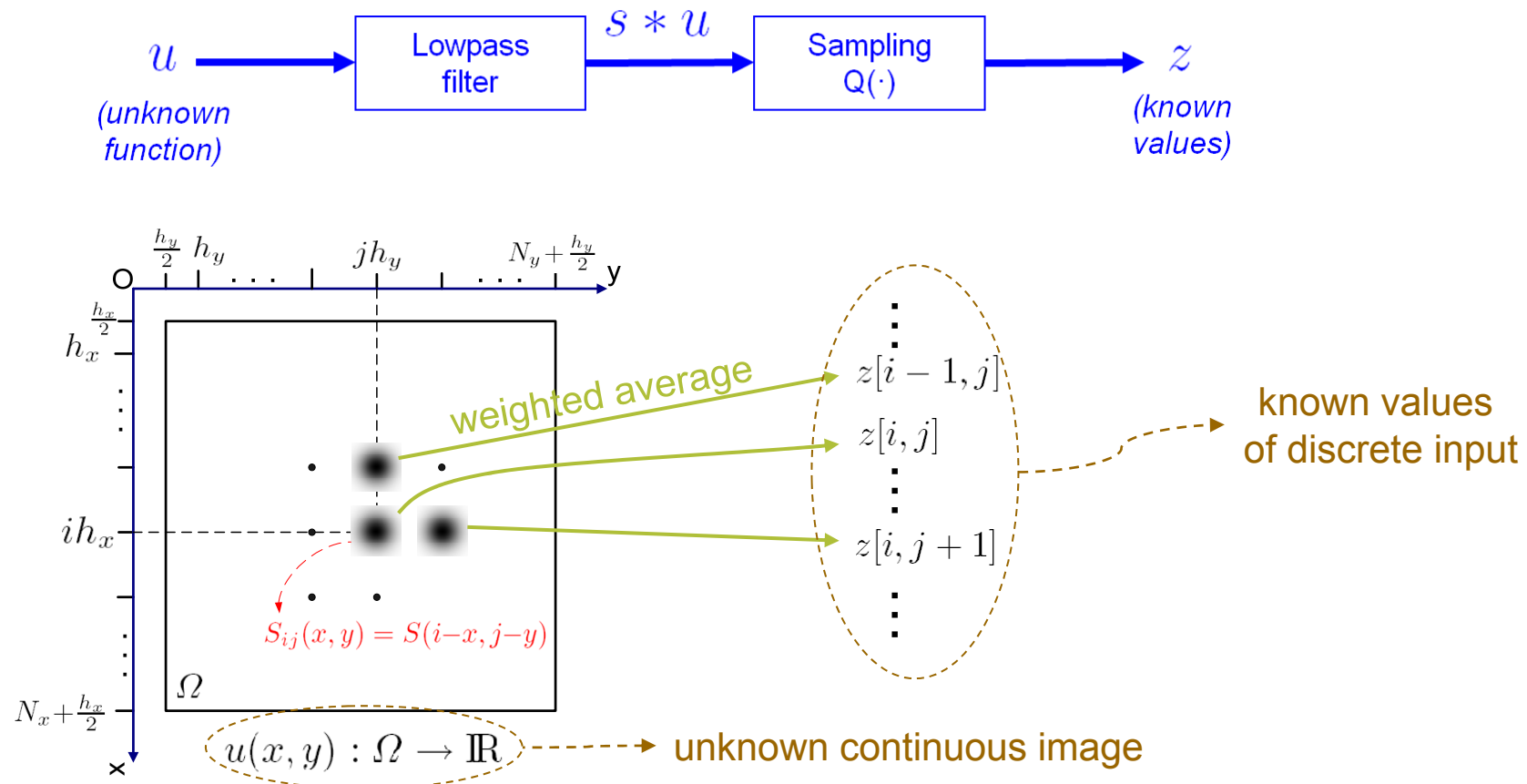
# Image Interpolation

- Can be defined as the operation that:
  - takes as input a **discrete** image and
  - recovers a **continuous** image or a discrete one of **higher resolution**
- Fundamental **Image Processing** problem with various applications:
  - biomedical image processing, aerial & satellite imaging, text recognition and high quality image printing
- Pre-processing step in various **Computer Vision** problems, such as:
  - Image Segmentation, Feature Detection, Object Recognition and Motion Analysis
- Classes of methods
  - Classic **linear** methods
  - Adaptive **nonlinear** methods



# Reversibility Condition Approach for Interpolation

- Similar problem formulation to [Malgouyres, Guichard, SIAM J. Num. Anal. '01]
- The solution must satisfy a **reversibility condition**:



$$(S * u)(i_1 h_x, i_2 h_y) = z[i_1, i_2], \quad \forall (i_1, i_2) \in \{1, \dots, N_x\} \times \{1, \dots, N_y\}$$

# Nonlinear Diffusion Method for Image Interpolation

[Roussos,Maragos SSVM 07], [Roussos,Maragos IJCV 09]

- Novel *Partial Differential Equation (PDE) flow* that:
  - is designed for **general vector-valued images** (e.g. color)
  - evolves in the subspace  $\mathcal{U}_{z,S}$  of functions that satisfy the **reversibility condition**
  - performs iterative **adaptive smoothing**, leading to elements of  $\mathcal{U}_{z,S}$  with “better” visual quality

# Proposed PDE for Image Interpolation (1)

$$\frac{\partial u_m(\mathbf{x}, t)}{\partial t} = P_{\mathcal{U}_{0,S}} \left\{ \operatorname{div} \left( \underbrace{T(J_\rho(\nabla \mathbf{u}_\sigma))}_{\text{2x2 diffusion tensor}} \nabla u_m \right) \right\}, \quad m=1, \dots, M$$

artificial time      projection operator      2x2 diffusion tensor

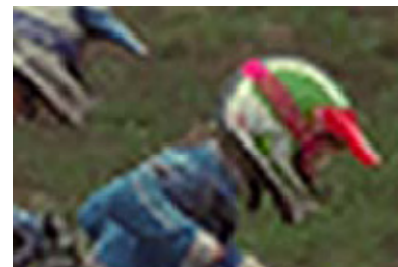
$\mathbf{u}(\mathbf{x}, 0)$  = zero-padding high frequencies (  $\in \mathcal{U}_{z,S}$  )



input  $\mathbf{z}$



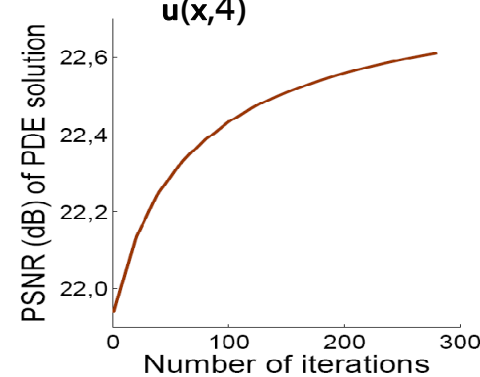
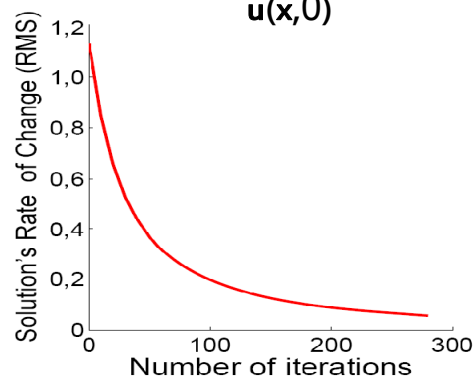
$\mathbf{u}(\mathbf{x}, 0)$



$\mathbf{u}(\mathbf{x}, 4)$



$\mathbf{u}(\mathbf{x}, 56)$

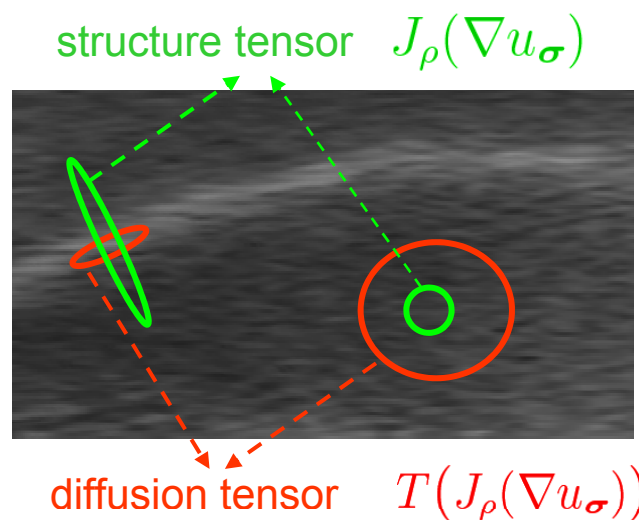


# Proposed PDE for Image Interpolation (2)

$$\frac{\partial u_m(\mathbf{x}, t)}{\partial t} = \underbrace{P_{\mathcal{U}_{0,S}}}_{\text{projection operator}} \left\{ \operatorname{div} \left( \underbrace{T(J_\rho(\nabla \mathbf{u}_\sigma))}_{\text{2x2 diffusion tensor}} \nabla u_m \right) \right\}, \quad m=1, \dots, M$$

artificial time

$\mathbf{u}(\mathbf{x}, 0)$  = zero-padding high frequencies (  $\in \mathcal{U}_{z,S}$  )



$$J_\rho(\nabla \mathbf{u}_\sigma) = G_\rho * \sum_{m=1}^M \nabla(G_\sigma * u_m) (\nabla(G_\sigma * u_m))^T$$

$$T(J_\rho(\nabla \mathbf{u}_\sigma)) = [1 + (\mathcal{N}/K)^2]^{-\frac{1}{2}} \mathbf{w}_- \mathbf{w}_-^T + [1 + (\mathcal{N}/K)^2]^{-1} \mathbf{w}_+ \mathbf{w}_+^T$$

$$\mathcal{N} = \sqrt{\lambda_+ + \lambda_-}$$

# Proposed PDE for Image Interpolation (3)

$$\frac{\partial u_m(\mathbf{x}, t)}{\partial t} = P_{\mathcal{U}_{0,S}} \left\{ \operatorname{div} \left( \underbrace{T(J_\rho(\nabla \mathbf{u}_\sigma))}_{\text{2x2 diffusion tensor}} \nabla u_m \right) \right\}, \quad m=1, \dots, M$$

artificial time
projection operator
2x2 diffusion tensor

$\mathbf{u}(\mathbf{x}, 0)$  = zero-padding high frequencies (  $\in \mathcal{U}_{z,S}$  )

$$u \in \mathcal{U}_{z,S} \iff \sum_{(k_1, k_2) \in \mathbb{Z}^2} \hat{S} \left( \frac{2\pi}{\tilde{N}_x} (n_1 + k_1 \tilde{N}_x), \frac{2\pi}{\tilde{N}_y} (n_2 + k_2 \tilde{N}_y) \right) \cdot \hat{u}_{n_1+k_1\tilde{N}_x, n_2+k_2\tilde{N}_y} = \hat{z}_{n_1, n_2}$$

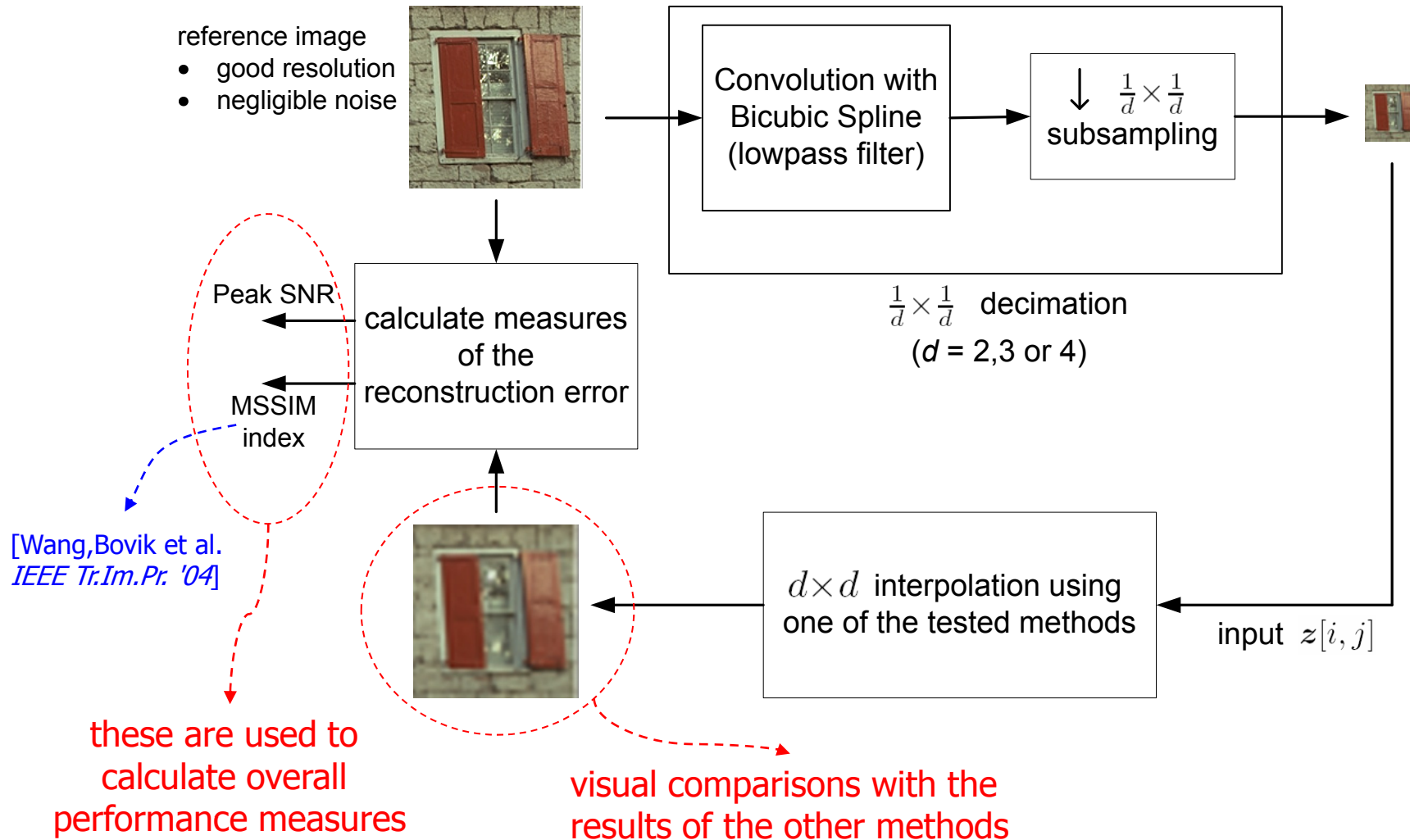
$$\left\{ \begin{aligned} P_{\mathcal{U}_{0,S}} \{v\} &= v(\mathbf{x}) - w(\mathbf{x}), \\ \hat{w}_{m_1, m_2} &= \left\{ \sum_{(k_1, k_2) \in \mathbb{Z}^2} \hat{\phi} \left( \frac{2\pi m_1}{\tilde{N}_x} + k_1 2\pi, \frac{2\pi m_2}{\tilde{N}_y} + k_2 2\pi \right) \cdot \hat{v}_{m_1+k_1\tilde{N}_x, m_2+k_2\tilde{N}_y} \right\} \cdot \hat{\phi} \left( \frac{2\pi m_1}{\tilde{N}_x}, \frac{2\pi m_2}{\tilde{N}_y} \right) \\ \hat{\phi}(\omega_1, \omega_2) &= \left\{ \sum_{(k_1, k_2) \in \mathbb{Z}^2} \left| \hat{S}(\omega_1 + k_1 2\pi, \omega_2 + k_2 2\pi) \right|^2 \right\}^{-\frac{1}{2}} \cdot \overline{\hat{S}(\omega_1, \omega_2)} \end{aligned} \right.$$

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## Previous PDE-based interpolation methods

- Total Variation (TV) - based Interpolation  
[Malgouyres, Guichard, *SIAM J. Num. Anal.* 01]
- Belahmidi-Guichard method (BG)  
[Belahmidi, Guichard, *ICIP* 04]
- Tschumperle-Deriche (TD) method  
[Tschumperle, Deriche, *IEEE-PAMI* 05]

# Interpolation Experiments: Framework



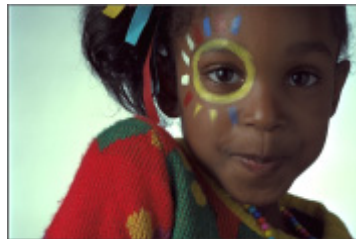
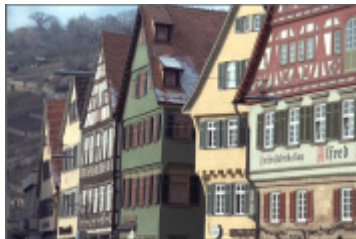
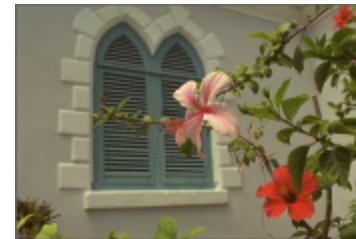
# Interpolation Experiments: Data Set

- This framework has been repeated for reference images from the CIPR dataset:

[www.cipr.rpi.edu/resource/stills/kodak.html](http://www.cipr.rpi.edu/resource/stills/kodak.html)

23 natural images of size 768 x 512 pixels

- Both graylevel & color versions of images have been used



8 out of 23 images of the dataset



## Graylevel Image Interpolation Example (4x4)



(a) Input (enlarged by ZOH)  
PSNR=25.58, MSSIM=0.758



(b) Bicubic interpolation  
PSNR=26.95, MSSIM=0.815



(c) TV, sinc kernel  
PSNR=27.92, MSSIM=0.846



(d) TV, mean kernel  
PSNR=27.27, MSSIM=0.831



(e) BG interpolation  
PSNR=26.89, MSSIM=0.818



(f) Our method  
PSNR=28.54, MSSIM=0.868

## Color Image Interpolation Example (4x4)



(a) Input (enlarged by ZOH)  
PSNR=20.87, MSSIM=0.523



(b) Bicubic interpolation  
PSNR=21.85, MSSIM=0.579

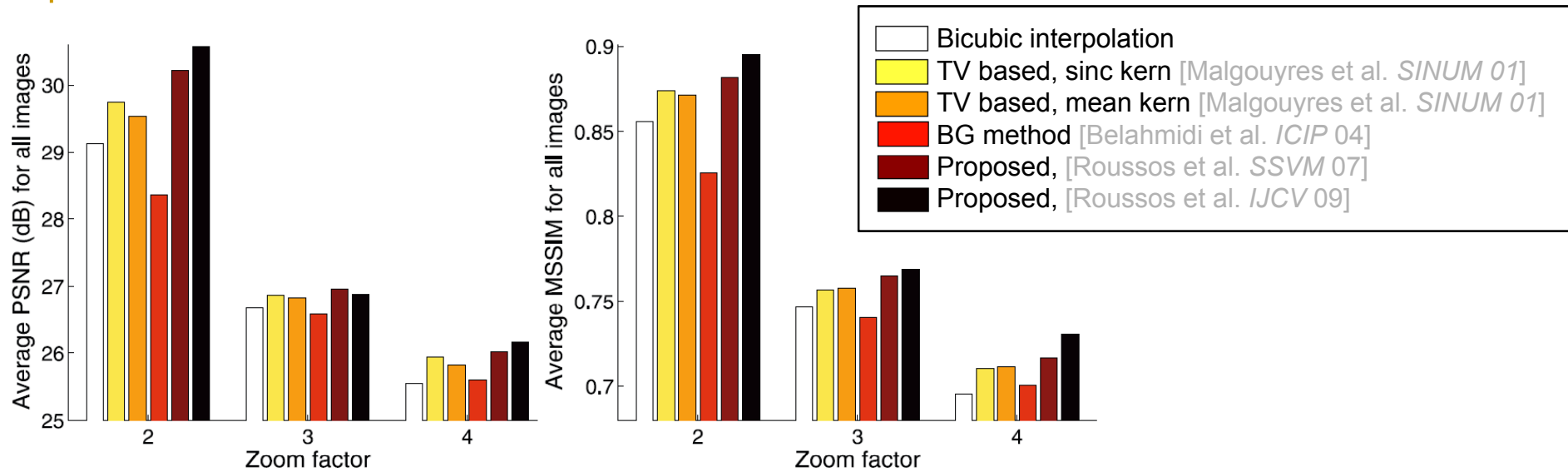


(c) TD interpolation  
PSNR=19.89, MSSIM=0.458

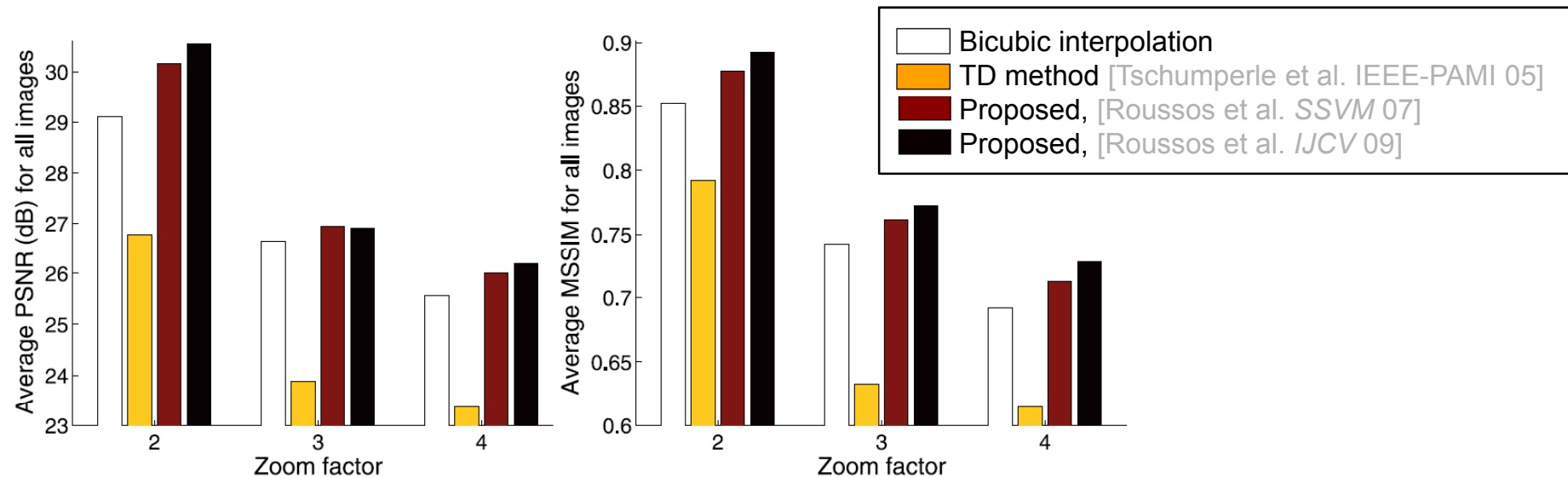


(d) Proposed method  
PSNR=22.63, MSSIM=0.652

# Interpolation Experiments: Overall Measures



(a) Experiments with graylevel images



(b) Experiments with color images




# Full set of results available online

[cvsp.cs.ntua.gr/~tassos/PDEinterp/ssvm07res](http://cvsp.cs.ntua.gr/~tassos/PDEinterp/ssvm07res)

- Comparative demonstrations of all ~830 result images

[Go Back](#)

Table with links to all the experimental results

Image	Zoom factor $d$			Image	Zoom factor $d$		
	$d = 2$	$d = 3$	$d = 4$		$d = 2$	$d = 3$	$d = 4$
01. 	<a href="#">[color]</a> <a href="#">[gray]</a>	<a href="#">[color]</a> <a href="#">[gray]</a>	<a href="#">[color]</a> <a href="#">[gray]</a>	13. 	<a href="#">[color]</a> <a href="#">[gray]</a>	<a href="#">[color]</a> <a href="#">[gray]</a>	<a href="#">[color]</a> <a href="#">[gray]</a>
02. 	<a href="#">[color]</a> <a href="#">[gray]</a>	<a href="#">[color]</a> <a href="#">[gray]</a>	<a href="#">[color]</a> <a href="#">[gray]</a>	14. 	<a href="#">[color]</a> <a href="#">[gray]</a>	<a href="#">[color]</a> <a href="#">[gray]</a>	<a href="#">[color]</a> <a href="#">[gray]</a>
03. 	<a href="#">[color]</a> <a href="#">[gray]</a>	<a href="#">[color]</a> <a href="#">[gray]</a>	<a href="#">[color]</a> <a href="#">[gray]</a>	15. 	<a href="#">[color]</a> <a href="#">[gray]</a>	<a href="#">[color]</a> <a href="#">[gray]</a>	<a href="#">[color]</a> <a href="#">[gray]</a>
04. 	<a href="#">[color]</a> <a href="#">[gray]</a>	<a href="#">[color]</a> <a href="#">[gray]</a>	<a href="#">[color]</a> <a href="#">[gray]</a>	16. 	<a href="#">[color]</a> <a href="#">[gray]</a>	<a href="#">[color]</a> <a href="#">[gray]</a>	<a href="#">[color]</a> <a href="#">[gray]</a>
05. 	<a href="#">[color]</a> <a href="#">[gray]</a>	<a href="#">[color]</a> <a href="#">[gray]</a>	<a href="#">[color]</a> <a href="#">[gray]</a>	17. 	<a href="#">[color]</a> <a href="#">[gray]</a>	<a href="#">[color]</a> <a href="#">[gray]</a>	<a href="#">[color]</a> <a href="#">[gray]</a>
06. 	<a href="#">[color]</a> <a href="#">[gray]</a>	<a href="#">[color]</a> <a href="#">[gray]</a>	<a href="#">[color]</a> <a href="#">[gray]</a>	18. 	<a href="#">[color]</a> <a href="#">[gray]</a>	<a href="#">[color]</a> <a href="#">[gray]</a>	<a href="#">[color]</a> <a href="#">[gray]</a>

[Go Back to Results Table](#)

[<< Previous](#) Img. Type = 'Color', Img.No = 14, ZoomFact = 3 [Next >>](#)



[Our method, version of \[2\]](#)



[Our method, version of \[1\]](#)



[Bicubic](#)



[Tschoening-Dejiche method](#)



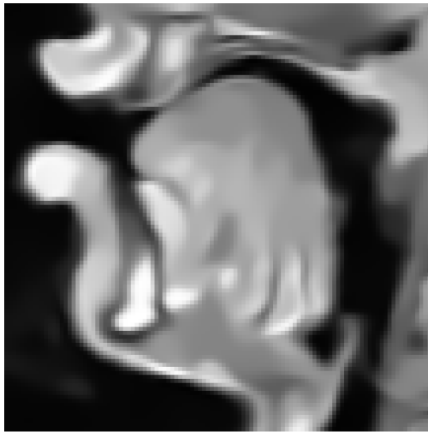
[Input enlarged by simple ZOH](#)



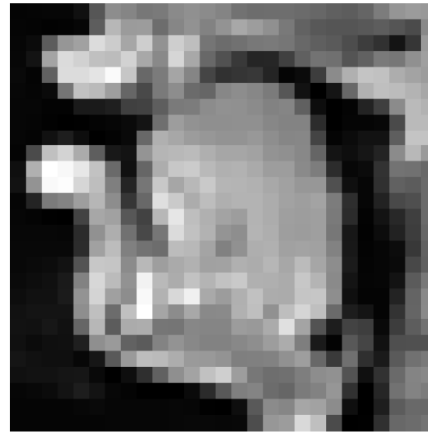
[Reference image](#)



## Vocal Tract Image Interpolation Example (4x4)



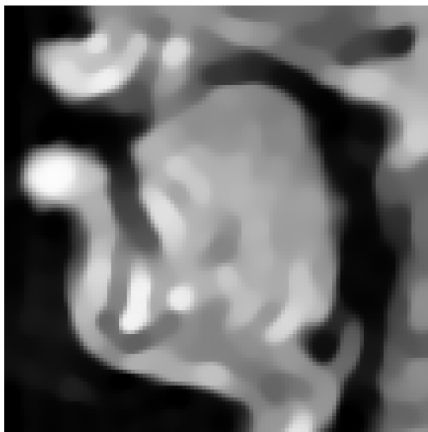
(a) Reference image  
(108×108 pixels)



(b) Input (enlarged by ZOH)  
PSNR=21.60, MSSIM=0.713



(c) Bicubic interpolation  
PSNR=25.39, MSSIM=0.852



(d) TV, sinc kernel  
PSNR=26.14, MSSIM=0.870



(e) BG interpolation  
PSNR=25.88, MSSIM=0.870



(f) Proposed method  
PSNR=27.69, MSSIM=0.904

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# Variational Frameworks for Diffusion: Motivation (1/2)

- Nonlinear diffusion models for Computer Vision

- **Class A: Directly-designed PDEs**

- Perona-Malik method [ieeeT-PAMI'90]
    - CLMC regularized PDE [Catté et al, siamJNA'92]
    - Coherence-enhancing diffusion [Weickert, IJCV'99]
    - Method of [Tschumperlé & Deriche, ieeeT-PAMI'05]

⋮

- **Class B: Variational Methods**

- Total Variation [Rudin, Osher & Fatemi, PhysicaD'92]
    - Vectorial Total Variation [Sapiro, CVIU'97]
    - Color Total Variation [Blomgren & Chan, ieeeT-IP'98]
    - Beltrami Flow [Sochen, Kimmel & Maladi, ieeeT-IP'98]

⋮

- For **some** methods of Class A: **known connection** with Class B, e.g. :

- Perona-Malik model  $\frac{\partial u(x, y, t)}{\partial t} = \text{div} (g(\|\nabla u\|^2)\nabla u)$

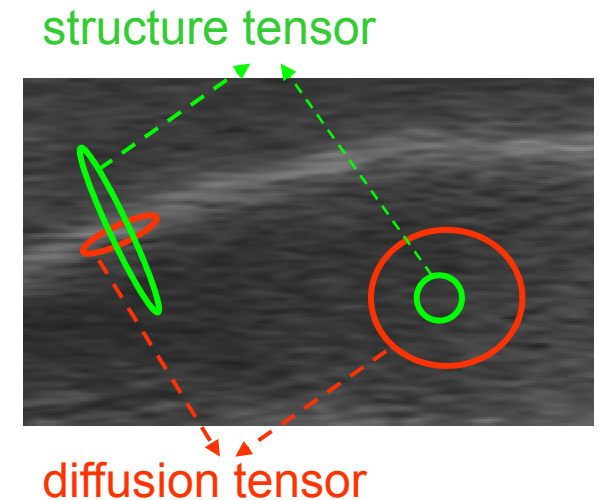
- $\min_u \int_{\Omega} \varphi(\|\nabla u\|^2) dx \rightsquigarrow \frac{\partial u}{\partial t} = \text{div} (2\varphi'(\|\nabla u\|^2)\nabla u)$

$$g(s^2) = 2\varphi'(s^2)$$

- But, for several types of PDE-based diffusion methods **no variational interpretation existed**

## Variational Frameworks for Diffusion: Motivation (2/2)

- Advantages of **variational interpretation** of diffusion methods
  - conceptually clear formalism
  - helps with the reduction of model parameters
  - easier application to problems that can be formulated as constrained energy minimization, e.g.:
    - image restoration, inpainting, interpolation
  - can lead to efficient implementations based on optimization techniques
- Advantages of using **tensors** in image diffusion
  - **Structure tensor**  
reliable measure of the image variation & geometry in the neighborhood of each point
  - **Diffusion tensor**  
flexible adaptation to the image structures





# Generalization of the Beltrami Functional (1/2)

## ■ Original Beltrami Flow

[Sochen, Kimmel & Maladi, IEEE T-IP 98]

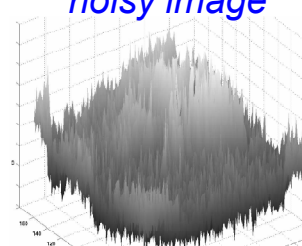
- **Interpretation** of a vector-valued image  $u$  with  $n$  channels as a **2D surface embedded** in  $\mathbb{R}^{n+2}$ :

$$(x, y) \longrightarrow (x, y, u_1(x, y), u_2(x, y), \dots, u_n(x, y))$$

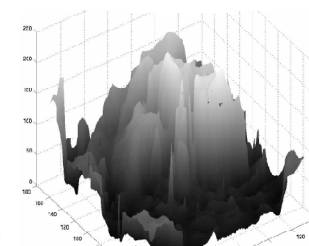
- Flow towards the **minimization of the surface area**: tensor-based diffusion
- It offers an elegant way to:
  - couple the image channels and
  - extend in the vector-valued case the properties of Total Variation
- But, the diffusion tensor is **not regularized** (no neighborhood info)
  - **limitations** on the robustness to noise & edge enhancement



noisy image



embedded surface



instant from the flow

example for the simplest case  $n=1$

Figure from [Tschumperle, Thesis'02]

## ■ To overcome these limitations, we generalize the Beltrami Functional ...

# Generalization of the Beltrami Functional (2/2)

- Proposed generalization of the Beltrami functional:

- We use **higher dimensional mappings** of the form:

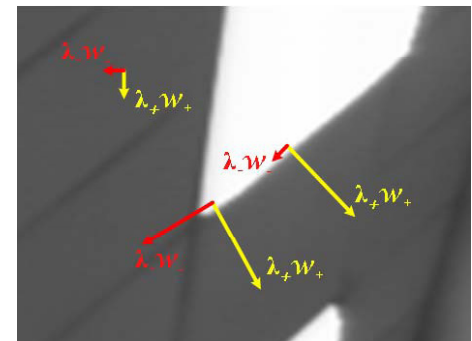
$$x \rightarrow (x, \mathcal{P}^u(x))$$

*image patch* [Tschumperle & Brun, ICIP'09],  
that contains weighted image values  
not only at point  $x$   
but also at points in a **window around it**

- In this way, each  $x$  **contributes to the area** of the embedded surface by considering the image variation in its **neighborhood**
- If the patch sampling step  $\rightarrow 0$ , the **area** of the embedded surface **tends to**:

$$A[\mathbf{u}] = \int_{\Omega} \sqrt{(\alpha^2 + \lambda_1)(\alpha^2 + \lambda_2)} dx$$

- $\lambda_i = \lambda_i(J_K(\nabla \mathbf{u}))$ : eigenvalues of the **structure tensor**  $J_K(\nabla \mathbf{u}) = K * \sum \nabla u_i \otimes \nabla u_i$



## Generalized Functional based on the Structure Tensor

- $E[\mathbf{u}] = \int_{\Omega} \psi(\lambda_1(J_K(\nabla \mathbf{u})), \lambda_2(J_K(\nabla \mathbf{u}))) dx$ 
  - $\psi(\lambda_1, \lambda_2)$  : cost function (increasing)
  - $J_K(\nabla \mathbf{u}) = K * \sum_{i=1}^N \nabla u_i \otimes \nabla u_i$  : 2x2 structure tensor with:
    - eigenvalues  $\lambda_1, \lambda_2$  , eigenvectors  $\boldsymbol{\theta}_1, \boldsymbol{\theta}_2$  (depend on  $K$ )
- Difficulty in the theoretical analysis:
 

In contrast to most variational methods, Euler-Lagrange equations **not applicable** here
- **Theorem:** we have shown that the **functional minimization** leads to:
 
$$\left\{ \begin{array}{l} \partial u_i / \partial t = \operatorname{div}(D_K \nabla u_i), \quad i = 1, \dots, N, \\ D_K = K * \left( 2 \frac{\partial \psi}{\partial \lambda_1} \boldsymbol{\theta}_1 \otimes \boldsymbol{\theta}_1 + 2 \frac{\partial \psi}{\partial \lambda_2} \boldsymbol{\theta}_2 \otimes \boldsymbol{\theta}_2 \right) \end{array} \right.$$

novel general type of anisotropic diffusion

# Tensor Total Variation

- 1<sup>st</sup> **special case** of the novel generic functional:

$$E[\mathbf{u}] = \int_{\Omega} \psi(\lambda_1(J_K(\nabla \mathbf{u})), \lambda_2(J_K(\nabla \mathbf{u}))) dx$$

with  $\psi(\lambda_1, \lambda_2) = \sqrt{\lambda_1} + \sqrt{\lambda_2}$

- Steepest descent (applying the proved theorem):

$$\frac{\partial u_i}{\partial t} = \operatorname{div} \left( \left[ K * \left( \frac{1}{\sqrt{\lambda_1}} \boldsymbol{\theta}_1 \otimes \boldsymbol{\theta}_1 + \frac{1}{\sqrt{\lambda_2}} \boldsymbol{\theta}_2 \otimes \boldsymbol{\theta}_2 \right) \right] \nabla u_i \right), \quad i = 1, \dots, N$$

- Classic TV: special sub-case with:

N=1(graylevel images) and  $K = \delta(\mathbf{x})$

- The proposed method:

- adaptively smooths the image
- combines the advantages of TV minimization and tensor-based diffusion methods

# Tensor Total Variation: Example (1)



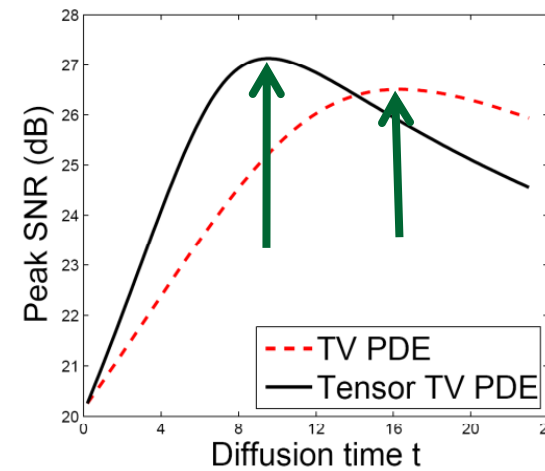
(a) Noisy Input  
(PSNR=20 dB)



(b) TV PDE  
(PSNR=26.5 dB,  $t=16.4$ )



(c) Tensor TV PDE  
(PSNR=27.1 dB,  $t=9.6$ )



## Tensor Total Variation: Example (2)



Input sequence



Output sequence

*Denoising of an X-ray video of a speaker's vocal tract*

# Generalized Beltrami Flow

- 2<sup>nd</sup> **special case** of the novel generic functional:

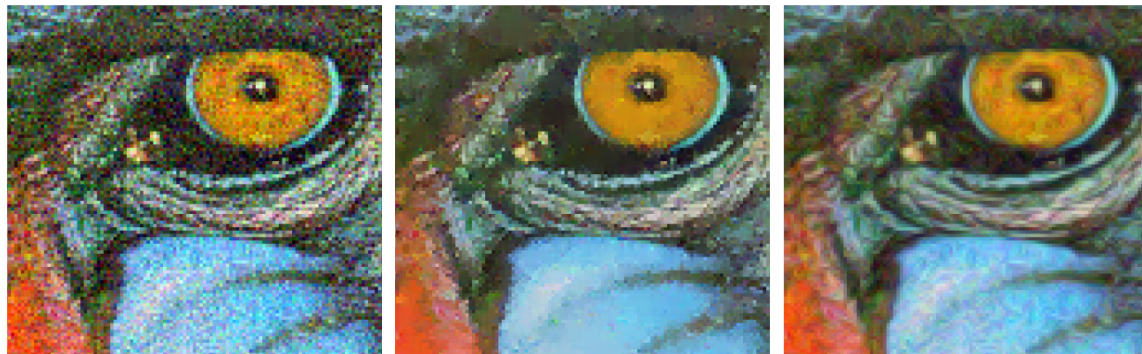
$$E[\mathbf{u}] = \int_{\Omega} \psi(\lambda_1(J_K(\nabla \mathbf{u})), \lambda_2(J_K(\nabla \mathbf{u}))) dx$$

$$\text{with } \psi(\lambda_1, \lambda_2) = \sqrt{(\alpha^2 + \lambda_1)(\alpha^2 + \lambda_2)}$$

- Steepest descent (applying the proved theorem):

$$\frac{\partial u_i}{\partial t} = \text{div} \left( \left[ K * \left( \sqrt{\frac{\alpha^2 + \lambda_2}{\alpha^2 + \lambda_1}} \boldsymbol{\theta}_1 \otimes \boldsymbol{\theta}_1 + \sqrt{\frac{\alpha^2 + \lambda_1}{\alpha^2 + \lambda_2}} \boldsymbol{\theta}_2 \otimes \boldsymbol{\theta}_2 \right) \right] \nabla u_i \right)$$

- Classic Beltrami flow [Sochen et. al, IEEE T-IP 98]: special sub-case with  $K = \delta(x)$  and minimization in the space of embeddings



(a) Noisy Input  
(PSNR=20 dB)

(b) Beltrami Flow  
(PSNR=23.4 dB)

(c) Gener. Beltrami Flow  
(PSNR=24.0 dB)

# Other Interesting Special Cases

- Other **special cases** of the novel generic functional:

$$E[\mathbf{u}] = \int_{\Omega} \psi(\lambda_1(J_K(\nabla \mathbf{u})), \lambda_2(J_K(\nabla \mathbf{u}))) dx \text{ with:}$$

- $\psi(\lambda_1, \lambda_2) = \phi(\lambda_1 + \lambda_2)$ : Steepest descent:

$$\partial u_i / \partial t = \text{div} \left( 2 [K * \varphi' (K * \|\nabla \mathbf{u}\|^2)] \nabla u_i \right)$$

→ novel regularization of the Perona-Malik model,  
alternative to the classic CLMC [Catte et al, siamJNA'92]

→ regularization of Sapiro's Vectorial TV:  $\psi = \sqrt{\lambda_1 + \lambda_2}$

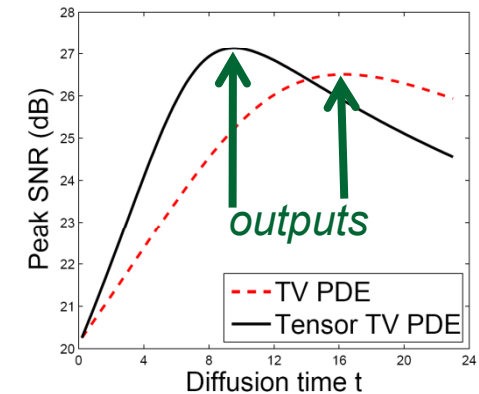
- $K = \delta(\mathbf{x})$  (no regularizing convolution):

- Studied in [Blomgren & Chan T-IP'98, Tschumperlé & Deriche, T-PAMI'05]
- The corresponding diffusion is anisotropic only if the image channels are  $N \geq 2$
- No incorporation of neighborhood info

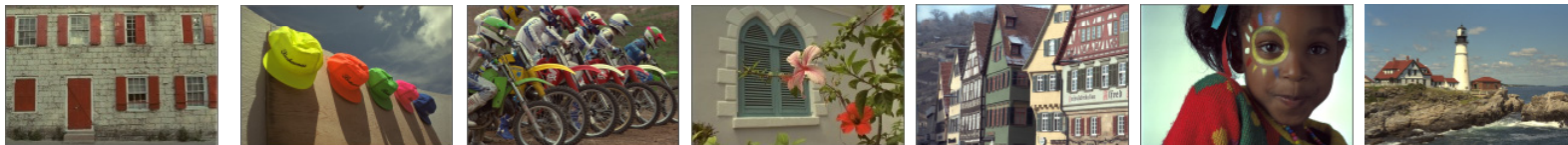


# Denoising Experiments: Framework

- Experimental Framework
  - take a noise-free reference image
  - add gaussian noise
  - input in the compared diffusion methods
  - compute PSNR during each PDE flow and output the image with the maximum PSNR

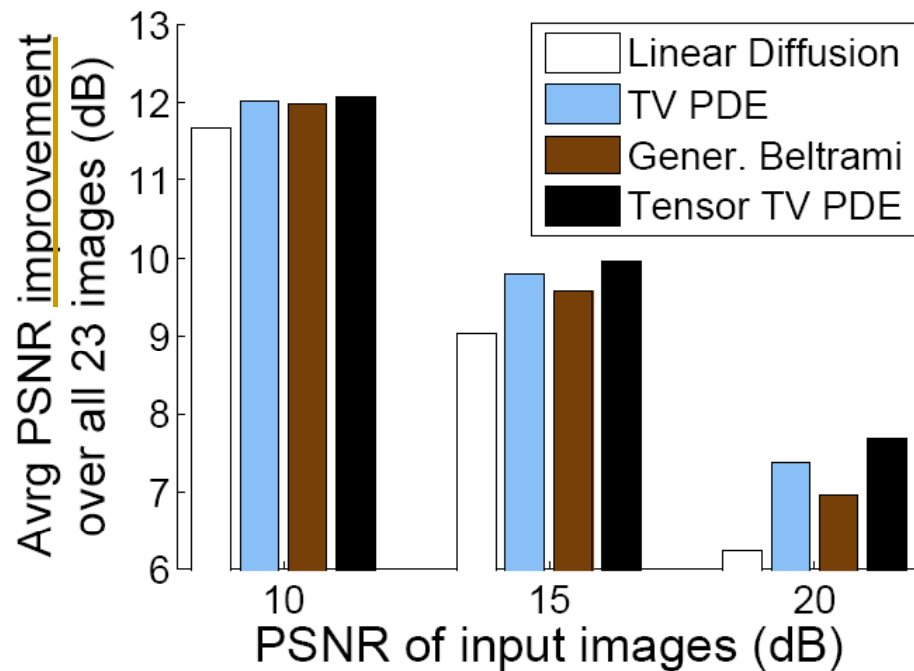


- This framework has been repeated for reference images from a dataset of *CIPR*: [www.cipr.rpi.edu/resource/stills/kodak.html](http://www.cipr.rpi.edu/resource/stills/kodak.html) → 23 natural images of size 768 x 512 pixels

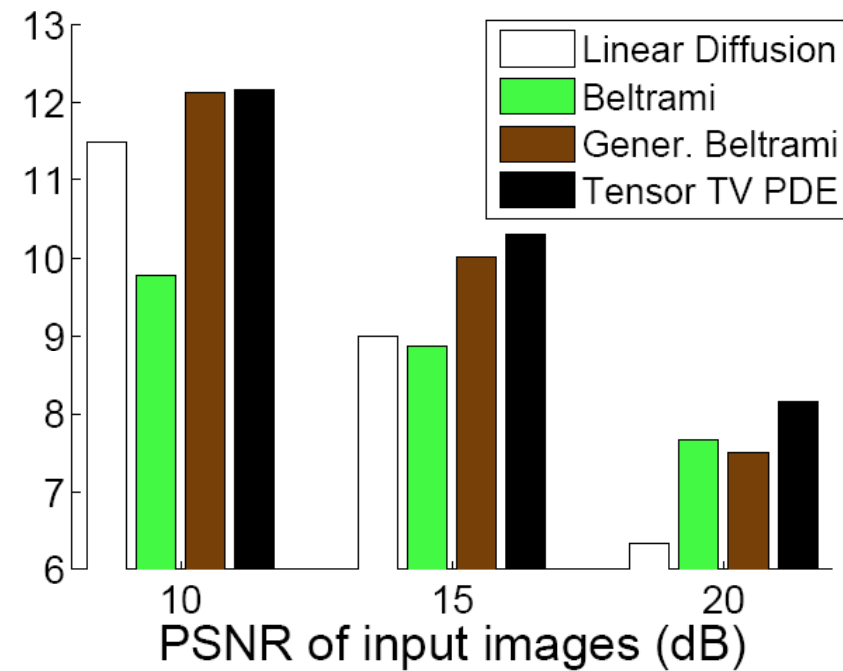


- Both graylevel & color versions of images have been used

# Denoising Experiments: Performance Measures



(a) Graylevel images



(b) Color images

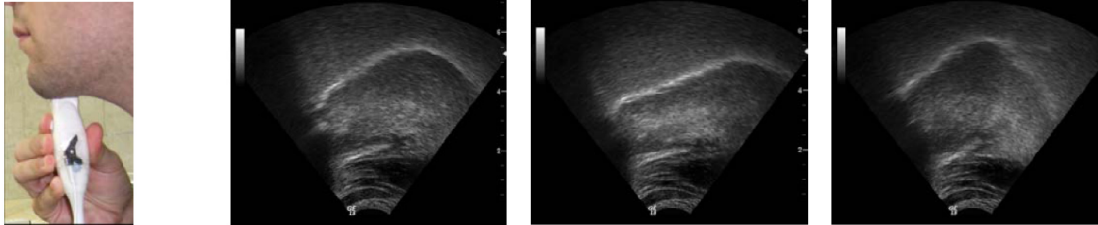
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# Contents

- Introduction
  - PDEs & Shape Models in Computer Vision
  - Applications
  - Research Contributions
- Nonlinear Diffusion for Image Interpolation
- Variational Frameworks for Tensor-based Diffusion
- **Tongue Tracking with Active Appearance Models**
- Handshape Modeling for Sign Language
- Conclusions

# Tongue Tracking in Ultrasound (US) Images

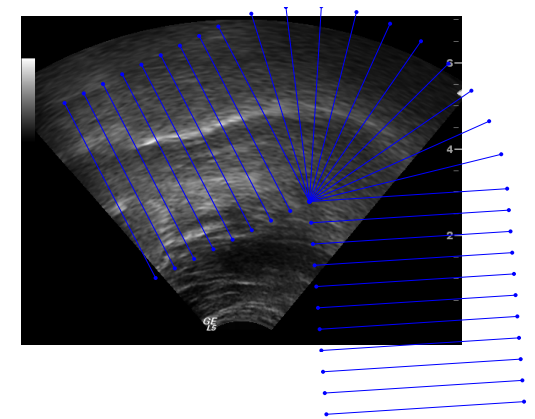
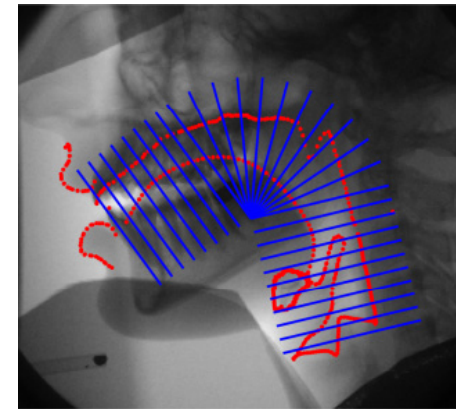
[Roussos,Maragos, ICIP 2010], [Aron,Roussos et. al EUSIPCO 08]



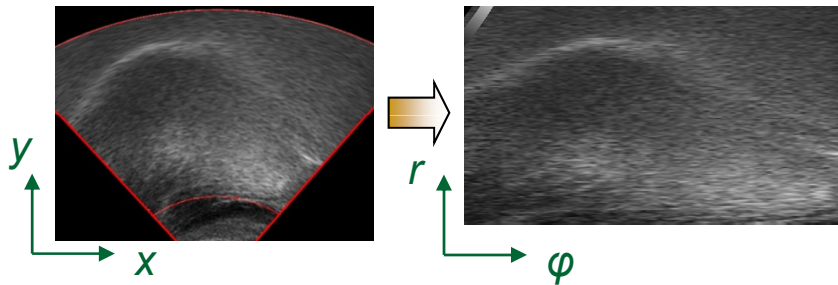
- Especially useful for cases of large databases of ultrasound videos
- Difficulties
  - high amounts of speckle noise
  - weak visibility of the tongue contour
  - the tongue is highly and quickly deforming
  - landmark points cannot be manually specified
- We proposed a novel tracking method that:
  - is built on a variant of Active Appearance Models (AAM)
  - incorporates prior information about the tongue shape variation

# Tongue Tracking: Data Exploitation

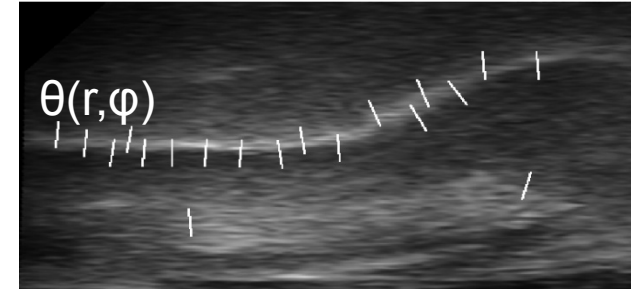
- Acquired data from the **same speaker**:  
**Ultrasound videos, EM sensors, MRI, X-ray videos**
- Exploitation of **X-rays** to model the **tongue shape variation**
  - Use of a **Vocal Tract (VT) Grid** for the tongue shape representation [Maeda, BookChap'90]
- **Estimation of the VT grid's pose** at every ultrasound frame, using EM sensors and MRI data



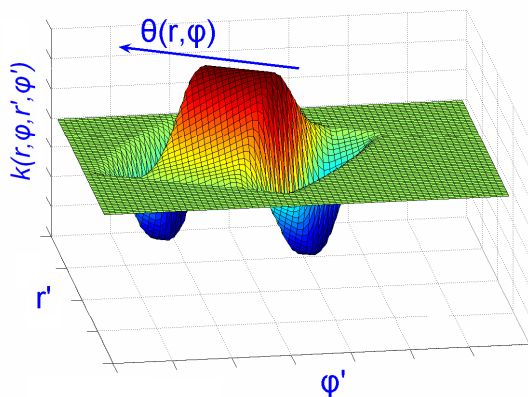
# Filtering of Ultrasound Frames: Method's Steps



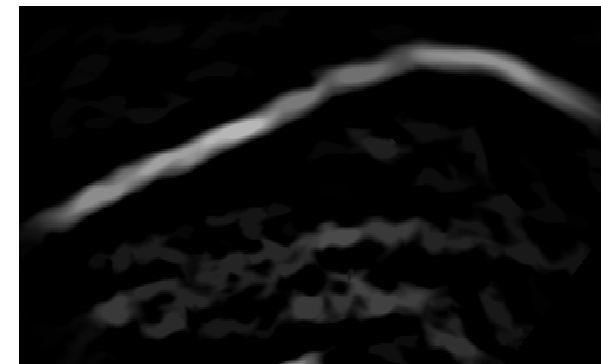
1. Convert  $u(x,y)$  to  $u(r,\varphi)$



2. Robust estimation of the *orientation*  $\theta(r,\varphi)$  normal to edges

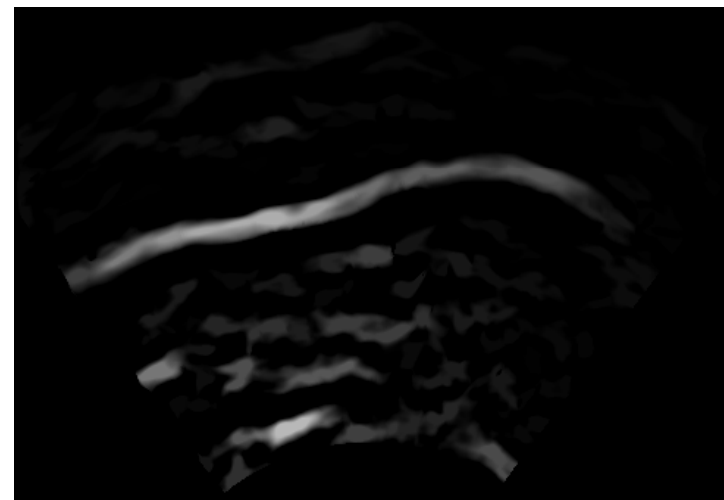
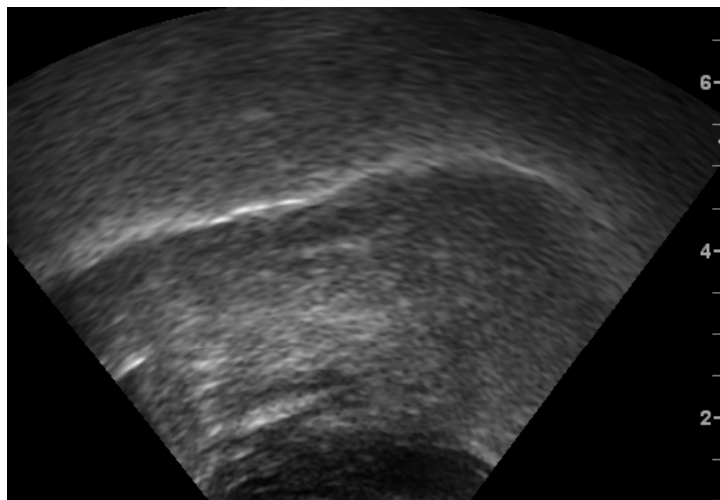
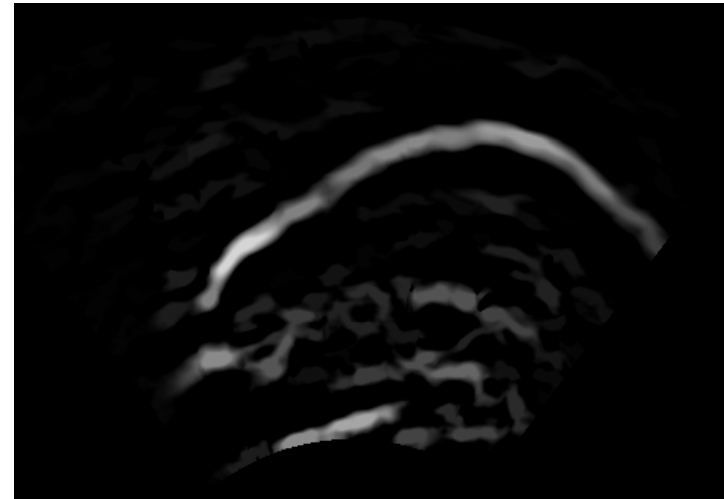
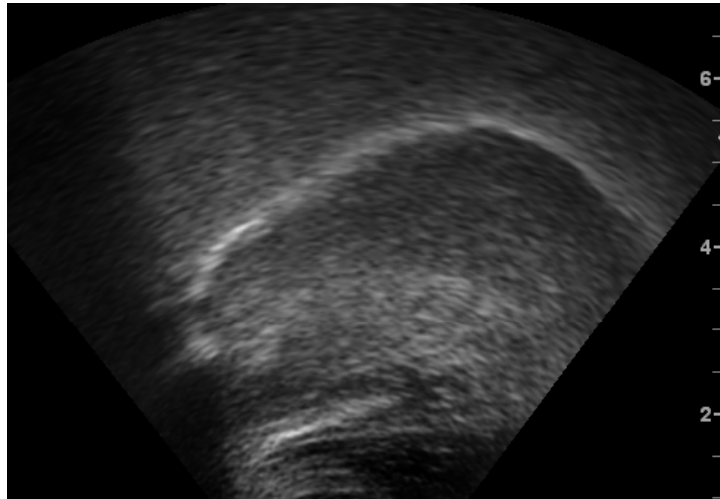


3. Correlate  $u(r,\varphi)$  with a varying kernel  $k(r,\varphi;r',\varphi')$ , aligned to  $\theta(r,\varphi)$



4. Keep only values  $>0$ , convert back to  $(x,y)$  coords. & apply *Area Opening*

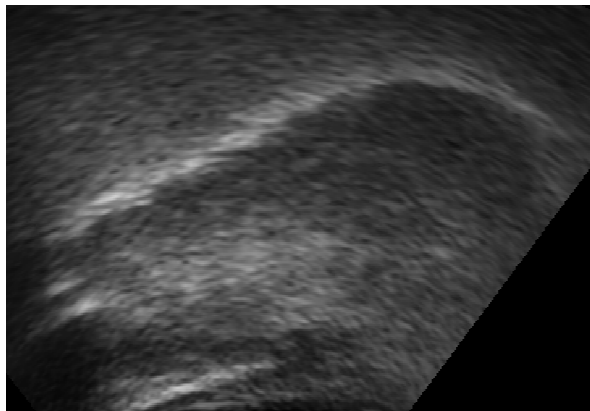
# Filtering of Ultrasound Frames: Examples



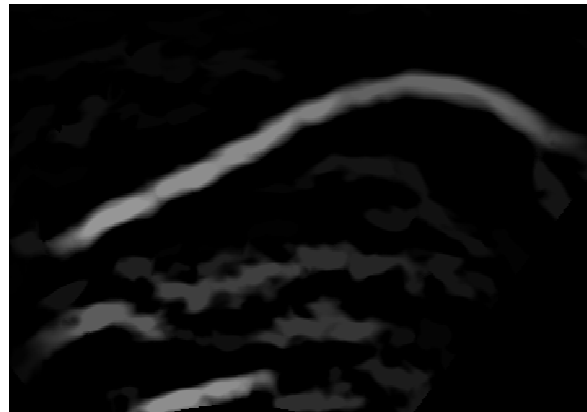
Input US frames

Filtered US frames

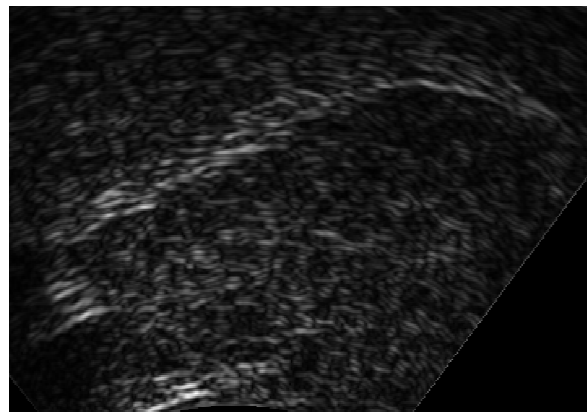
# Filtering of US Frames: Comparisons



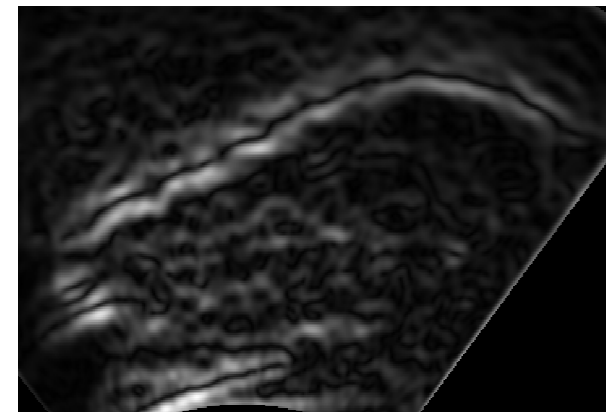
Input US frame  $u(x,y)$



Our Filtering [Eusipco'08]



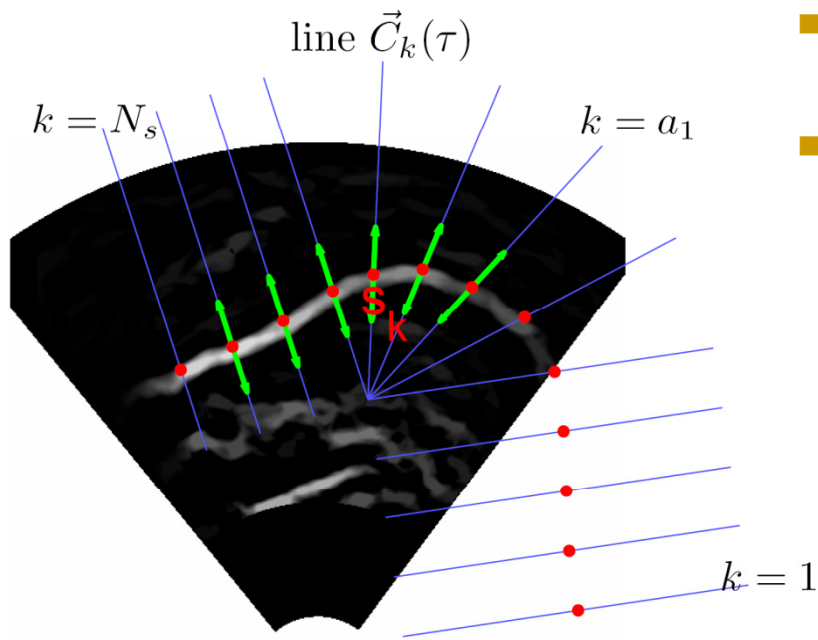
Classic Edge Strength  
 $|\nabla G_\sigma * u(x,y)|$ ,  $\sigma=1$



Classic Edge Strength  
 $|\nabla G_\sigma * u(x,y)|$ ,  $\sigma=4$



# Tongue Appearance Representation



- **Shape**  $\mathbf{s} = [s_1, \dots, s_{N_s}]^T$

- **Texture**  $g(\mathbf{s}) = \left[ \underbrace{[u_{a_1}(s_{a_1}+t)]_{t \in W}^T}_{1 \times N_W} \cdots \underbrace{[u_{a_{N_a}}(s_{a_{N_a}}+t)]_{t \in W}^T}_{1 \times N_W} \right]^T$

- only the *texture-active grid lines*  $G_{act}$  are used for texture
- $W = \{-d, -d + 1, \dots, d\} \cdot \delta l$  : *sampling window*
- $u_k(\tau) = u(\vec{C}_k(\tau))$ : restriction of the image to grid line  $k$

- **Differences** from classic AAMs
  - Various modifications to exploit application-specific properties
  - Reduced complexity of the appearance representation & model
  - Lighter optimization problem for the model fitting

# Modeling Appearance Variation

## ■ Shape model

$$\mathbf{s} \approx \mathbf{s}_0 + \mathbf{Q}_s \mathbf{b}$$

- $\mathbf{b}$ : normalized shape parameters vector with  $p(\mathbf{b}) = \mathcal{N}(\mathbf{b} | \mathbf{0}, \mathbf{I}_{N_b})$
- Principal Component Analysis (PCA) to learn  $\mathbf{s}_0$ ,  $\mathbf{Q}_s$ 
  - Training vectors from manually annotated tongue contours on 700 X-ray frames

## ■ Texture model

$$\mathbf{g} = \mathbf{g}_0 + \mathbf{Q}_g \boldsymbol{\lambda} + \boldsymbol{\varepsilon}$$

- $\boldsymbol{\lambda}$  : texture parameters with  $p(\boldsymbol{\lambda}) = \mathcal{N}(\boldsymbol{\lambda} | \mathbf{0}, \mathbf{I}_{N_\lambda})$
- $\boldsymbol{\varepsilon}$  : texture reconstruction error with :

$$p(\boldsymbol{\varepsilon}) = \mathcal{N}(\boldsymbol{\varepsilon} | \mathbf{0}, \boldsymbol{\Sigma}_\varepsilon), \quad \boldsymbol{\Sigma}_\varepsilon = \tilde{\mathbf{Q}}_g \text{diag}(\rho_1, \dots, \rho_{N_g}) \tilde{\mathbf{Q}}_g^T$$

- Training of the model
  - Manual annotations at 400 US frames. This training set is divided into 2 subsets T1 and T2
  - Subset T1 is used to learn  $\mathbf{g}_0$  and  $\mathbf{Q}_g$  using PCA
  - Subset T2 is used to learn the optimum parameters  $\rho_1, \dots, \rho_{N_g}$

# Tracking via Model Fitting

- Model fitting in every ultrasound frame
- *Maximum a posteriori (MAP)* estimation of parameters  $\mathbf{b}$  and  $\boldsymbol{\lambda}$  by maximizing:

$$p(\mathbf{b}, \boldsymbol{\lambda} | u(x, y)) \propto p(u | \mathbf{b}, \boldsymbol{\lambda}) p(\mathbf{b}, \boldsymbol{\lambda}) = p(\boldsymbol{\varepsilon}) p(\mathbf{b}) p(\boldsymbol{\lambda})$$

$$\boldsymbol{\varepsilon} = \mathbf{g}(s(\mathbf{b})) - \mathbf{g}_0 - \mathbf{Q}_g \boldsymbol{\lambda}$$

- Equivalently: minimization of the energy:

$$E(\mathbf{b}, \boldsymbol{\lambda}) = -\ln p(\mathbf{b}, \boldsymbol{\lambda} | u) = C + \frac{1}{2} \{ \|\mathbf{b}\|^2 + \|\boldsymbol{\lambda}\|^2 + \boldsymbol{\varepsilon}^T \Sigma_{\boldsymbol{\varepsilon}}^{-1} \boldsymbol{\varepsilon} \}$$

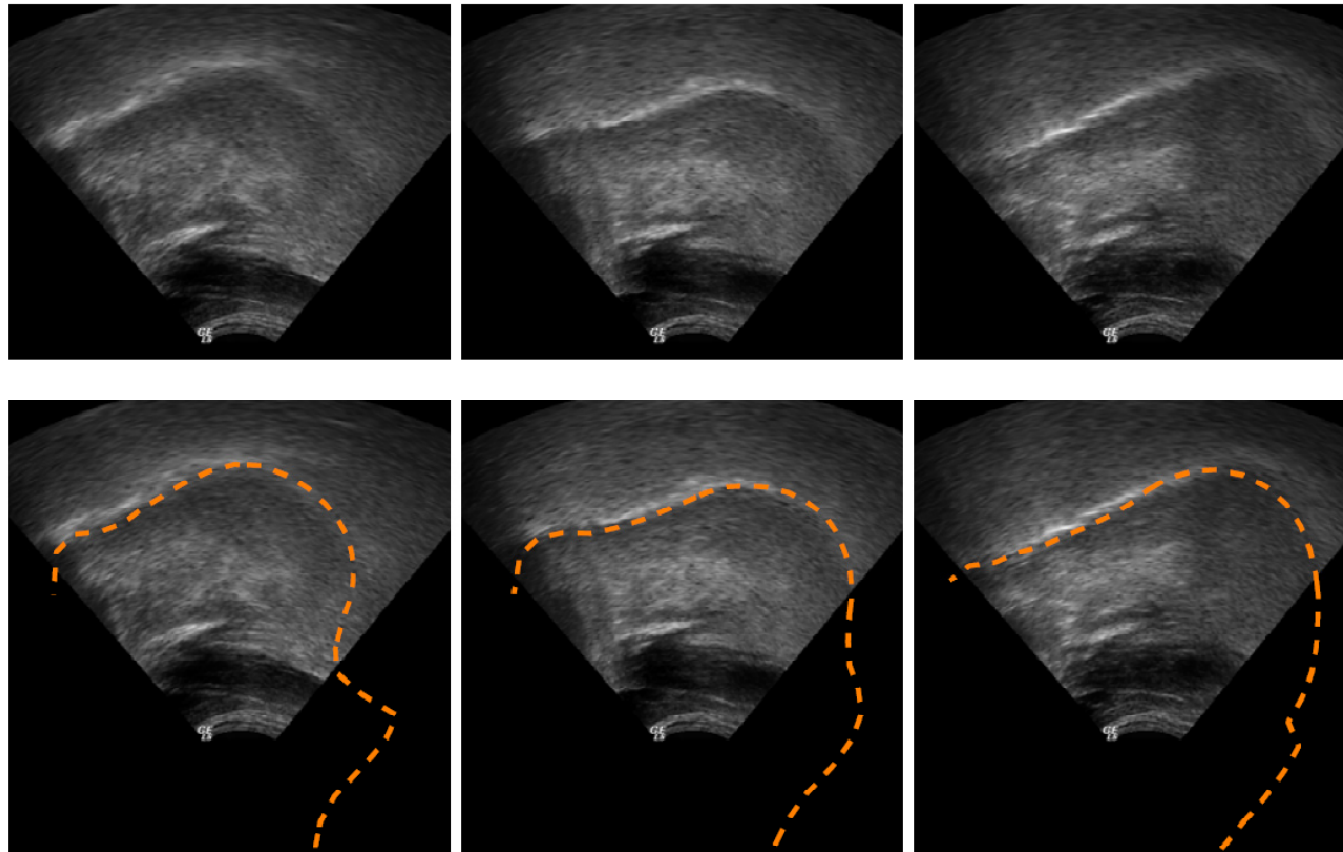
- Gradients of the energy:  $\nabla_{\mathbf{b}} E = \mathbf{b} + \mathbf{Q}_s^T (\partial \mathbf{g} / \partial \mathbf{s})^T \Sigma_{\boldsymbol{\varepsilon}}^{-1} \boldsymbol{\varepsilon}$   
 $\nabla_{\boldsymbol{\lambda}} E = \boldsymbol{\lambda} - \mathbf{Q}_g^T \Sigma_{\boldsymbol{\varepsilon}}^{-1} \boldsymbol{\varepsilon}$

where:  $\frac{\partial \mathbf{g}}{\partial s_k} = \begin{cases} [0 \dots 0]^T, & \text{if } k \notin G_{act} \\ \left[ \underbrace{0 \dots 0}_{(k-1)N_W} \underbrace{[u'_k(s_k+t)]_{t \in W}}_{(N_s-k)N_W} \underbrace{0 \dots 0}_{(N_s-k)N_W} \right]^T, & \text{if } k \in G_{act} \end{cases}$

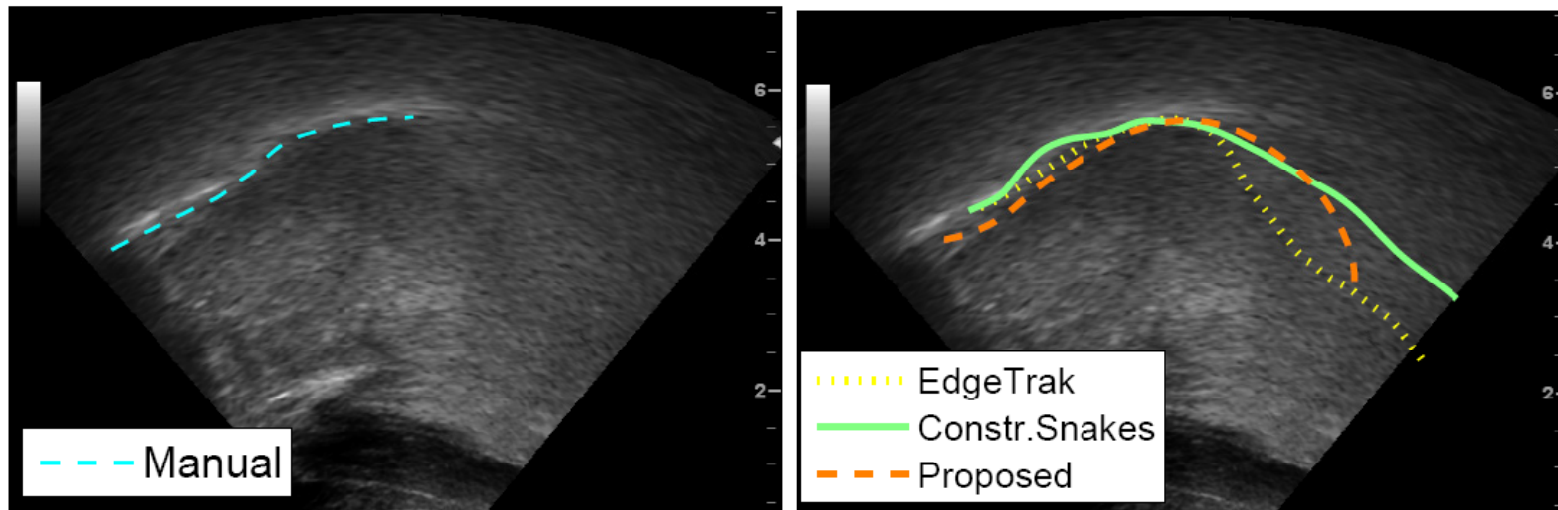
- Optimization algorithm:
  - Gradient descent
  - Parameters initialization:
    - $\mathbf{b}_0$  : from previous frame result
    - $\boldsymbol{\lambda}_0$  : maximization of the posterior  $p(\boldsymbol{\lambda} | \mathbf{g}(s(\mathbf{b}_0)))$

# Tongue Tracking Results of the Proposed Method

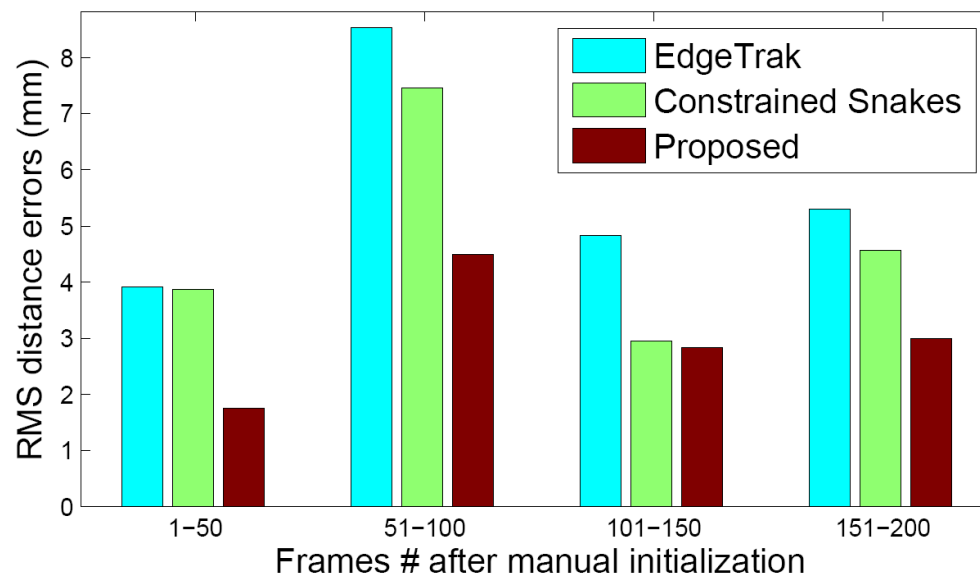
	Dimensionality of original vector	Number of model parameters	Variance explained (% of the total)
Shape	30	6	96%
Texture	1215	35	93%



# Comparisons with other methods



$$e_d = \sqrt{(d_{om}^2 + d_{mo}^2)/2}$$



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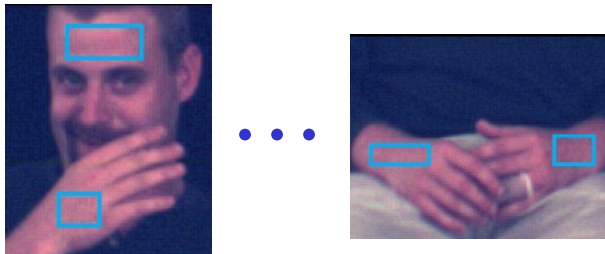
# Handshape Modeling for Sign Language



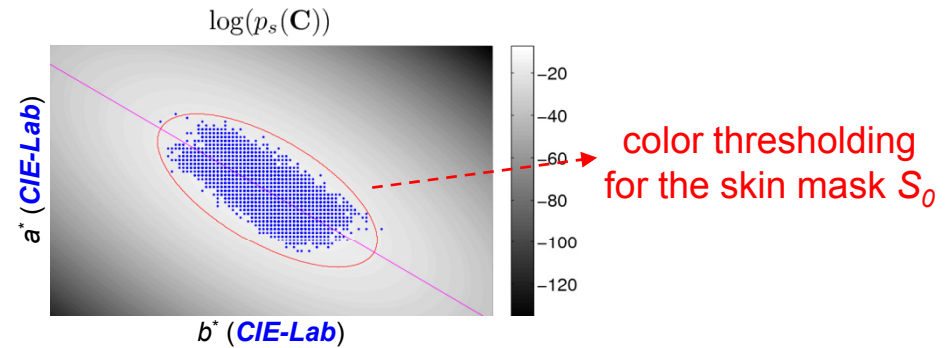
- Analysis of videos of continuous signing
- Goals
  - **localization & tracking** of the signer's hands+head
  - **extraction of features** that reliably describe the pose and configuration of the signer's hands
- Ultimate goal
  - automatic sign language recognition

# Initial Head & Hands Tracking (1/2)

## ■ Skin color modeling

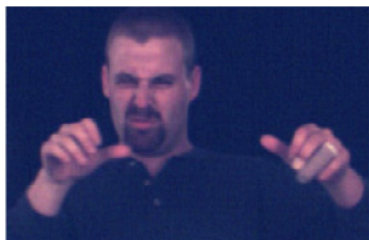


training samples



fitted probability density function

## ■ Morphological processing of the skin mask



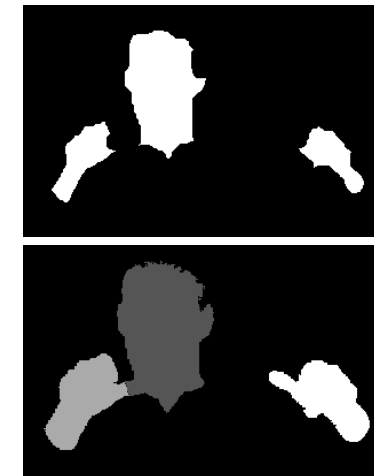
input



skin mask  $S_0$



refinement of  $S_0$   
- generalized hole filling  
- area opening

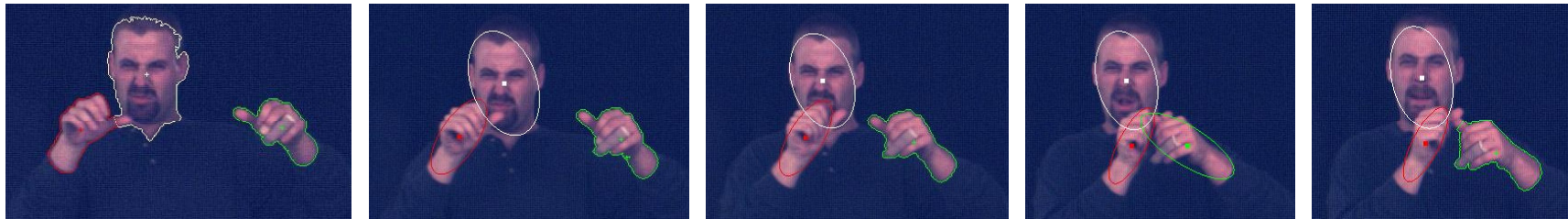


segmentation  
- connected components  
- competitive rec. opening



# Initial Head & Hands Tracking (2/2)

- Main parts of tracking:
  - fwd-bkwd prediction,
  - template matching,
  - ellipses fitting,
  - probabilistic constraints



- **Output:** set of **skin region masks** + label(s) assignment  $\{H,R,L\}$  to each mask

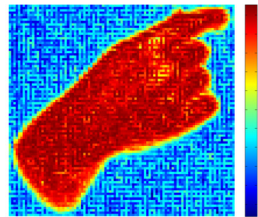


# Shape-Appearance Model: Representation



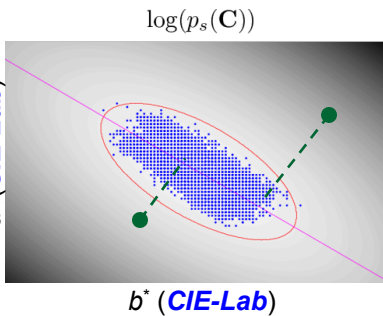
$I(x)$

initial cropped  
hand image



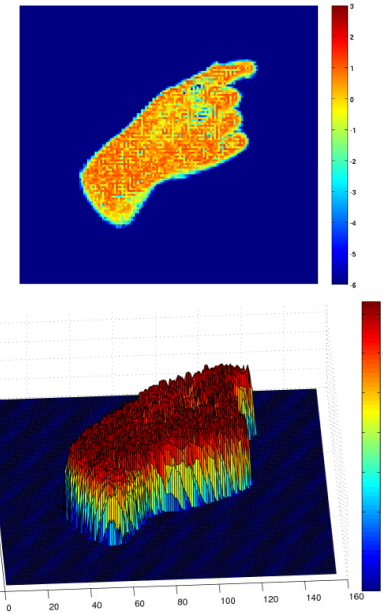
$g(I(x))$

projection of each  
pixel's color  $(a^*, b^*)$  on  
the principal axis of  
Gaussian  $p_s(a^*, b^*)$



$M$

skin mask



Shape-Appearance Image

$$f(x) = \begin{cases} g(I(x)), & \text{if } x \in M \\ -C_b & \text{else} \end{cases}$$

*constant for the balance between  
shape and appearance*

# Shape-Appearance Generative Modeling

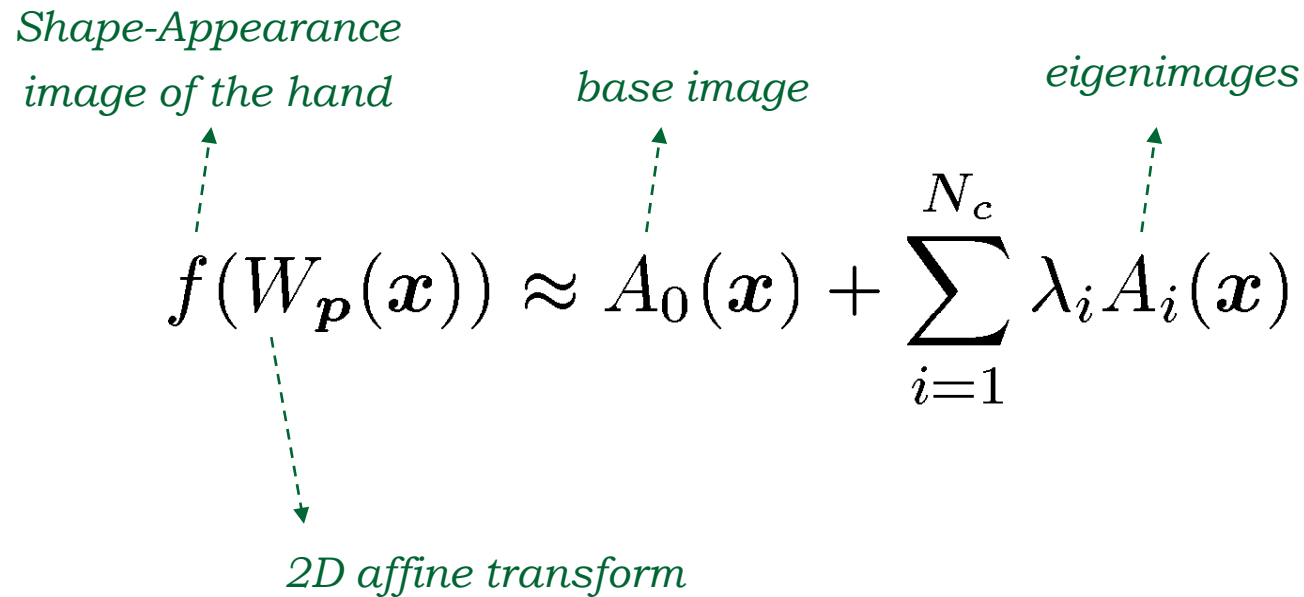
*Shape-Appearance  
image of the hand*

*base image*

*eigenimages*

$$f(W_{\mathbf{p}}(\mathbf{x})) \approx A_0(\mathbf{x}) + \sum_{i=1}^{N_c} \lambda_i A_i(\mathbf{x})$$

*2D affine transform*



$$W_{\mathbf{p}}(x, y) = \begin{pmatrix} 1 + p_1 & p_3 & p_5 \\ p_2 & 1 + p_4 & p_6 \end{pmatrix} \begin{pmatrix} x \\ y \\ 1 \end{pmatrix}$$

## Shape-Appearance Model: Learning of $A_i(\mathbf{x})$ (1/2)

- Training set
  - extraction of hand images **without occlusions** from training videos
  - **random selection** of 500 such images



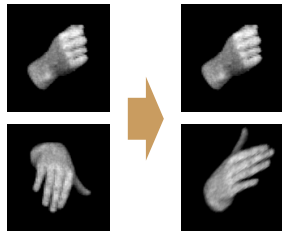
- Affine alignment of the training set

.....

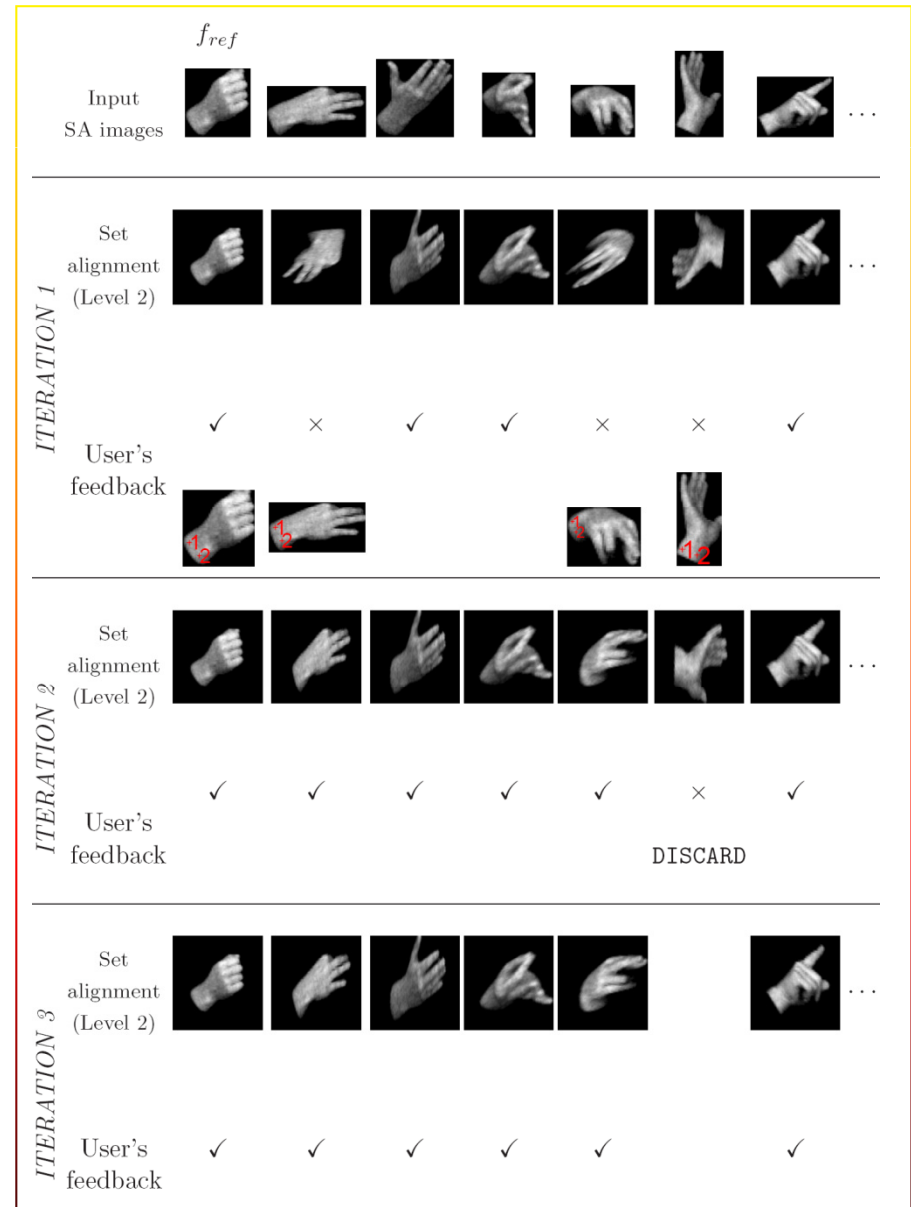
# Affine alignment of the training set



- Level 1: 1-1 alignment
  - Use of the Inverse-Compositional Algorithm [Gross,Matthews,Baker, IVC'05]

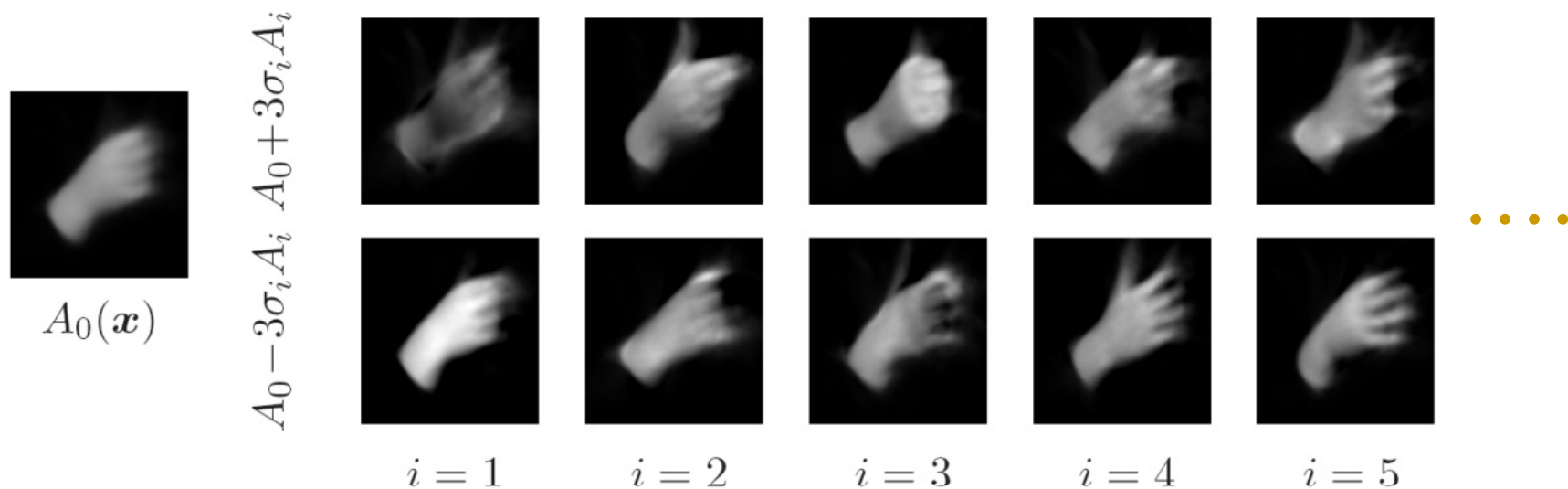


- Level 2: Training set alignment
  - Generalization of *Procrustes Analysis* [Cootes,Taylor, TecRep'04]
- Level 3: Iterative manual feedback



## Shape-Appearance Model: Learning of $A_i(\mathbf{x})$ (2/2)

- **Principal Component Analysis** (PCA) of the affinely aligned training set
- Keep only 35 eigenimages  $A_i(\mathbf{x})$ , which explain 78% of the variance
- Affine alignment offers significant reduction on the variability of hand SA images



# Shape-Appearance Model: Fitting

- Outputs: **robust** hand tracking & hand feature extraction
- Find optimum parameters  $\lambda, p$  that minimize the **regularized energy**:

$$E(\lambda, p) = E_{rec}(\lambda, p) + w_S E_S(\lambda, p) + w_D E_D(\lambda, p)$$

$$E_{rec}(\lambda, p) = \frac{1}{N_M} \sum_{\mathbf{x}} \left\{ A_0(\mathbf{x}) + \sum_{i=1}^{N_c} \lambda_i A_i(\mathbf{x}) - f(W_p(\mathbf{x})) \right\}^2 \quad \begin{array}{l} \text{mean square} \\ \text{reconstruction error} \end{array}$$

$$E_S(\lambda, p) = \frac{1}{N_c} \|\lambda - \lambda_0\|_{\Sigma_\lambda}^2 + \frac{1}{N_p} \|p - p_0\|_{\Sigma_p}^2 \quad \text{static priors term}$$

$$E_D(\lambda, p) = \frac{1}{N_c} \|\lambda - \lambda^e\|_{\Sigma_{\epsilon_\lambda}}^2 + \frac{1}{N_p} \|p - p^e\|_{\Sigma_{\epsilon_p}}^2 \quad \text{dynamic priors term}$$

- Dynamical Models for **Linear Prediction**:

$$\lambda^e[n] = \sum_{\nu \in W(K)} A_{K,\nu} \lambda[n - \nu] \quad , \quad \tilde{p}^e[n] = \sum_{\nu \in W(K)} B_{K,\nu} \tilde{p}[n - \nu]$$

- $\Sigma_\lambda, \Sigma_p, \Sigma_{\epsilon_\lambda}, \Sigma_{\epsilon_p}$  : covariance matrices
- $\lambda_0, p_0$  : mean values

*learned in a training phase using non-occluded frames*

- Algorithm: **Simultaneous Inverse-Compositional** [Baker et al, TecRep'04]



Frame #10116 (start)

Frame #10121

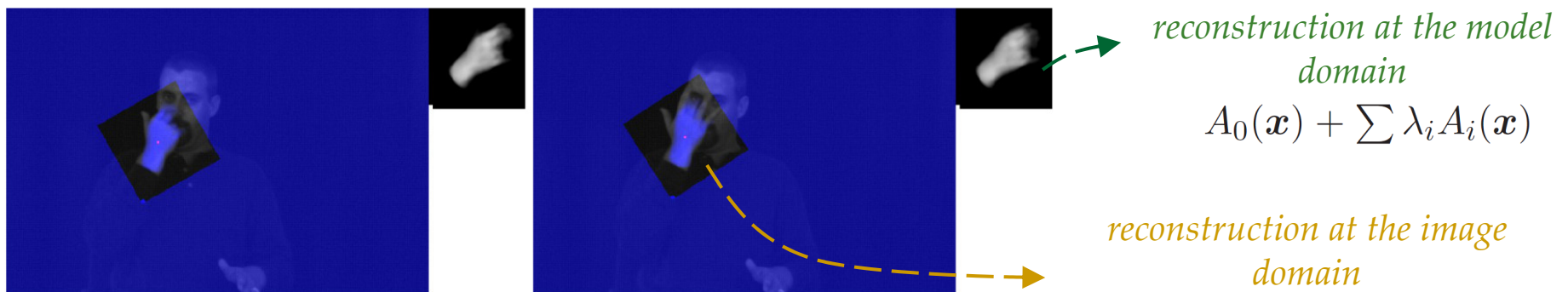
Frame #10126



Frame #10131

Frame #10136

Frame #10141



Frame #10146

Frame #10151

*reconstruction at the model domain*

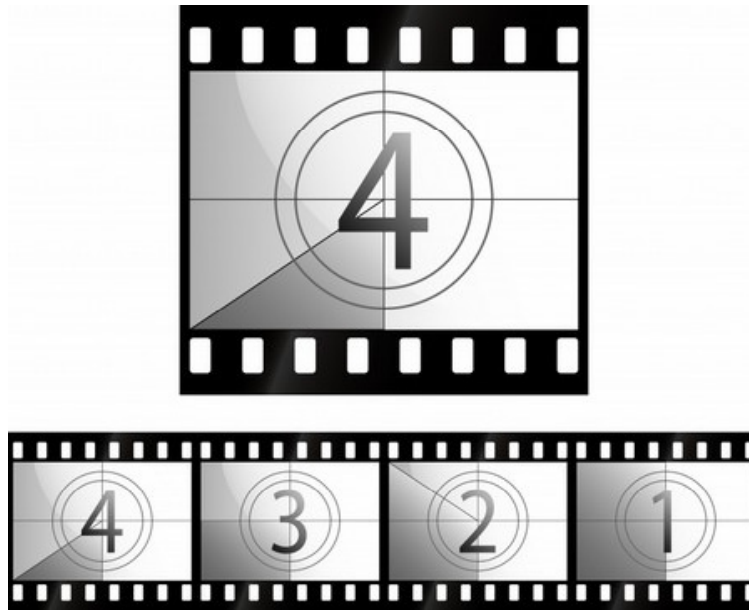
$$A_0(\mathbf{x}) + \sum \lambda_i A_i(\mathbf{x})$$

*reconstruction at the image domain*

$$A_0(W_p^{-1}(\mathbf{x})) + \sum \lambda_i A_i(W_p^{-1}(\mathbf{x}))$$



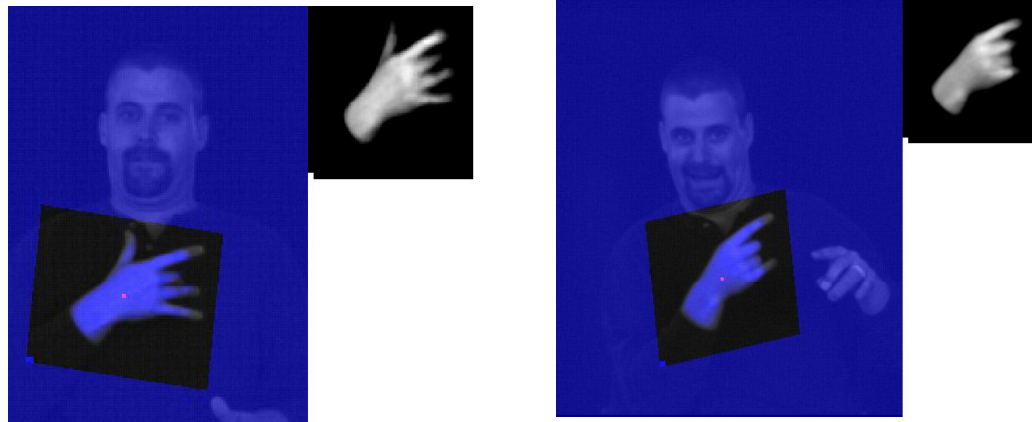
# Shape-Appearance Model Fitting: Example



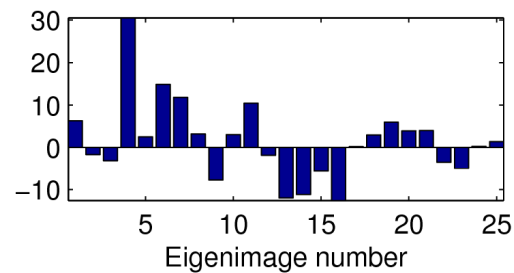
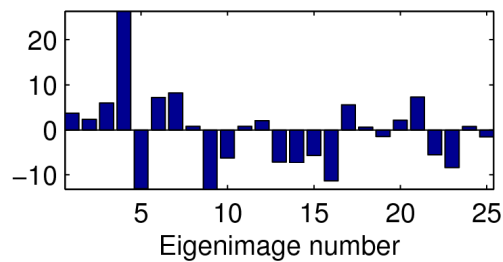
*(Video)*

# Hand Feature Extraction

input frames  
+  
model fitting



weights of the  
eigenimages  
 $\lambda$



*handshape  
features*

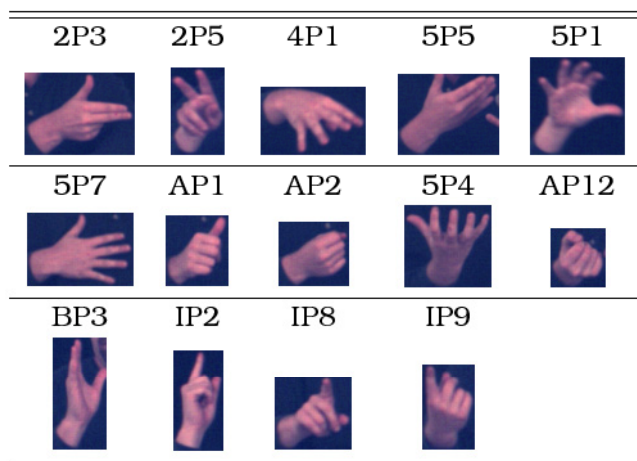
parameters of the  
affine transform

$p$

$$\begin{pmatrix} -0.0061 & -0.0944 & -78.1642 \\ 0.1033 & 0.0552 & -128.2917 \end{pmatrix}$$

$$\begin{pmatrix} -0.0185 & -0.0278 & -95.0785 \\ 0.1260 & -0.0499 & -139.1400 \end{pmatrix}$$

# Handshape Classification Experiments



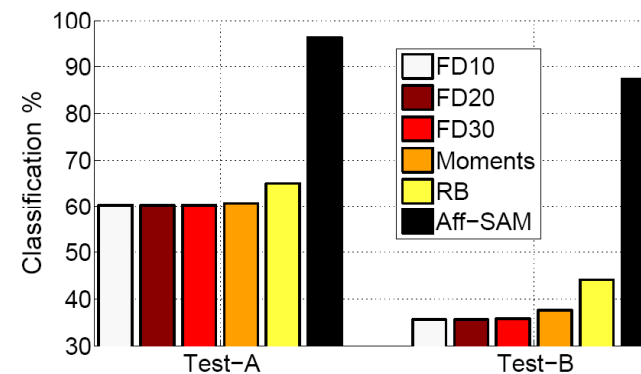
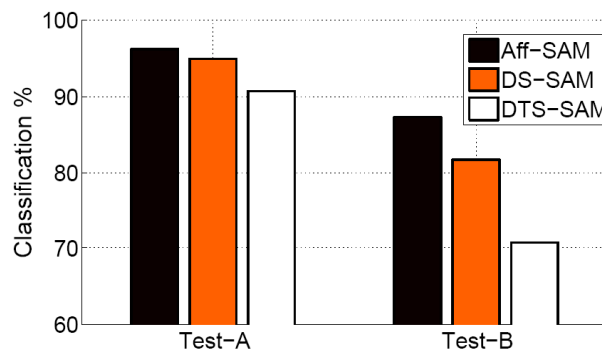
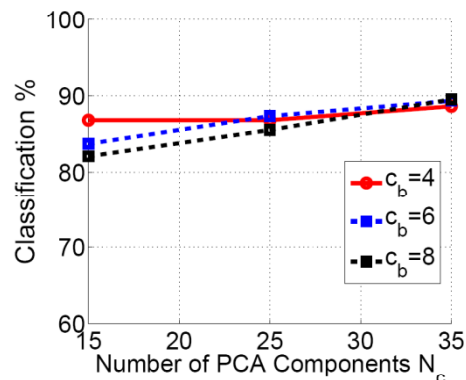
Classes for Test-A



Classes for Test-B

# Handshape Classification Results

For all methods, classification is done using 1-mixture GMM per class & maximum likelihood



Proposed method for Test-B: Variation of main parameters:

- # of PCA components
- $c_b$ : Background constant for SA images

Comparison of the **proposed method** with its **simplified versions**:

- **Aff-SAM**: Affine Shape - Appearance Modeling (proposed)
- **DS-SAM**: Direct Similarity Shape-Appearance Modeling
- **DTS-SAM**: Direct Translation + Scale Shape-Appearance Modeling

Comparison of the **proposed method** with **baseline methods**:

- **FD**: Fourier Descriptors with 10,20,30 coefficients
- **Moments**: Hu moment invariants of hand region
- **RB**: Region-based descriptors (area, eccentricity, compactness and minor+major axis lengths)
- **Aff-SAM**: proposed

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# Contents

- Introduction
  - PDEs & Shape Models in Computer Vision
  - Applications
  - Research Contributions
- Nonlinear Diffusion for Image Interpolation
- Variational Frameworks for Tensor-based Diffusion
- Tongue Tracking with Active Appearance Models
- Handshape Modeling for Sign Language
- **Conclusions**

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## Contributions

- Novel nonlinear diffusion methods for image enhancement
  - **Anisotropic diffusion-projection** method for vector-valued image interpolation
  - **Theoretical framework** that is based on the image **structure tensor** and generalizes various nonlinear diffusion methods
- Design of statistical shape models for object tracking and classification
  - **Statistical model** for **tongue tracking** during speech
  - Affine-invariant **modeling of handshapes** during signing. **Regularized** hand tracking and handshape **feature extraction**

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# Publications

1. A. Roussos and P. Maragos. Reversible interpolation of vectorial images by an anisotropic diffusion-projection PDE. *International Journal of Computer Vision*, 84(2), August 2009.
2. A. Roussos, S. Theodorakis, V. Pitsikalis, and P. Maragos. Dynamic affine-invariant shape-appearance model for hand tracking and feature extraction in continuous sign language. Under preparation to be submitted to the *International Journal of Computer Vision*.
3. A. Roussos and P. Maragos. Vector-valued image interpolation by an anisotropic diffusion-projection PDE. In *Scale Space and Variational Methods in Computer Vision, First International Conference, SSVM-2007 Proceedings*, volume 4485 of *Lecture Notes in Computer Science*, pages 104–115. Springer-Verlag, 2007.
4. M. Aron, A. Roussos, M.-O. Berger, E. Kerrien, and P. Maragos. Multimodality Acquisition of Articulatory Data and Processing. In *Proceedings of the European Signal Processing Conference (EUSIPCO), Lausanne, 2008*.
5. A. Katsamanis, A. Roussos, P. Maragos, M. Aron, and M.-O. Berger. Inversion from audiovisual speech to articulatory information by exploiting multimodal data. In *International Seminar on Speech Production*, December 2008.
6. A. Roussos, A. Katsamanis, and P. Maragos. Tongue tracking in ultrasound images with active appearance models. In *Proceedings of the International Conference on Image Processing*, November 2009.
7. A. Roussos and P. Maragos. Tensor-based image diffusions derived from generalizations of the total variation and beltrami functionals. In *Proceedings of the International Conference on Image Processing*, September 2010.
8. A. Roussos, S. Theodorakis, V. Pitsikalis, and P. Maragos. Affine-invariant modeling of shape-appearance images applied on sign language handshape classification. In *Proc. Int'l Conf. on Image Processing*, September 2010.
9. A. Roussos, S. Theodorakis, V. Pitsikalis, and P. Maragos. Hand tracking and affine shape-appearance handshape sub-units in continuous sign language recognition. In *Proc. of Workshop on Sign, Gesture and Activity, 11th ECCV*, September 2010.

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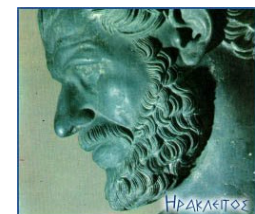
# Thank you for your attention!

## Questions;



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*Everything flows*