# Program Analysis (70020) Overview

#### Herbert Wiklicky

Department of Computing Imperial College London

herbert@doc.ic.ac.uk
h.wiklicky@imperial.ac.uk

Autumn 2024

Lecture Theatre 144 on Tuesday (11am-1pm) and Thursday (9am-11am).

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Material and Notes on

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Coursework Test II: Tue 19 November, 11:00 [?]

Examination: Week 11, 9–13 December 2024

Program analysis is an automated technique for finding out properties of programs without having to execute them.

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Unfortunately, the achieving the aims of (static) program analysis tend to be computationally extremely hard.

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Rice Theorem Any non-trivial program property is undecidible.

The approach is to find terminating algorithms for program analysis while not always finding a "meaningful" solution.

# Fermat's Program – Terminates?

```
1: try ← true;
 2: x \leftarrow 1;
 3: while try do
 4: y \leftarrow 1;
 5: while y \le x \&\& try do
           z ← 1:
 6:
           while z \le y \&\& try do
 7:
              try \leftarrow x^3 + y^3 \neq z^3
 8:
              z \leftarrow z + 1:
 9:
           end while
10:
11: y \leftarrow y + 1;
12: end while
13: x \leftarrow x + 1:
14: end while
```

#### Collatz Problem – Unknown

Take an integer *x* and compute a sequence of updates:

```
1: while x \neq 1 do
2: if x \mod 2 = 0 then
3: x \leftarrow x/2;
4: else
5: x \leftarrow 3 \times x + 1
6: end if
7: end while
```

#### Collatz Problem – Unknown

Take an integer *x* and compute a sequence of updates:

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Currently it is unknown whether this terminates for all x.

Some techniques used in program analysis include:

Data Flow Analysis

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Patrick Cousot: Principles of Abstract Interpretation. 2021.

## A First Example

Consider the following fragment in *some* procedural language.

```
1: m \leftarrow 2;
2: while n > 1 do
```

3:  $m \leftarrow m \times n$ ;

4:  $n \leftarrow n - 1$ 

5: end while

6: stop

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Consider the following fragment in *some* procedural language.

```
        1: m \leftarrow 2;
        [m \leftarrow 2]^1;

        2: while n > 1 do
        while [n > 1]^2 do

        3: m \leftarrow m \times n;
        [m \leftarrow m \times n]^3;

        4: n \leftarrow n - 1
        [n \leftarrow n - 1]^4

        5: end while
        end while

        6: stop
        [stop]^5
```

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Consider the following fragment in *some* procedural language.

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        1: m \leftarrow 2;
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        [n \leftarrow n - 1]^4

        5: end while
        end while

        6: stop
        [stop]<sup>5</sup>
```

We annotate a program such that it becomes clear about what program point we are talking about.

# A Parity Analysis

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A program analysis, so-called parity analysis, can determine this by propagating the even/odd or *parity* information *forwards* form the start of the program.

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For both variables m and n we record its parity at each stage of the computation (beginning of each statement).

- 1:  $m \leftarrow 2$ ;
- 2: **while** n > 1 **do**
- 3:  $m \leftarrow m \times n$ ;
- 4:  $n \leftarrow n 1$
- 5: end while
- 6: **stop**

- 1:  $m \leftarrow 2$ ;  $\triangleright unknown(m) unknown(n)$
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- > unknown(m) unknown(n)

6: stop

Executing the program with *abstract* values, parity, for m and n.

1: III ← <b>∠</b> ,	$\triangleright$ unknown(iii) – unknown(ii)
2: <b>while</b> <i>n</i> > 1 <b>do</b>	▷ even(m) – unknown(n)
3: $m \leftarrow m \times n$ ;	▷ even(m) – unknown(n)
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1: m \leftarrow 2; \Rightarrow unknown(m) – unknown(n)

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4: n \leftarrow n - 1 \Rightarrow even(m) – unknown(n)

5: end while \Rightarrow even(m) – unknown(n)

6: stop \Rightarrow even(m) – unknown(n)
```

Important: We can restart the loop!

- 1:  $m \leftarrow 1$ ;
- 2: **while** n > 1 **do**
- 3:  $m \leftarrow m \times n$ ;
- 4:  $n \leftarrow n-1$
- 5: end while
- 6: **stop**

```
1: m \leftarrow 1; \Rightarrow unknown(m) - unknown(n)

2: while n > 1 do \Rightarrow odd(m) - unknown(n)

3: m \leftarrow m \times n; \Rightarrow odd(m) - unknown(n)

4: n \leftarrow n - 1

5: end while

6: stop \Rightarrow odd(m) - unknown(n)
```

```
1: m \leftarrow 1;\triangleright unknown(m) – unknown(n)2: while n > 1 do\triangleright odd(m) – unknown(n)3: m \leftarrow m \times n;\triangleright odd(m) – unknown(n)4: n \leftarrow n - 1\triangleright unknown(m) – unknown(n)5: end while\triangleright odd(m) – unknown(n)
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```

The first program computes 2 times the factorial for any positive value of n. Replacing '2' by '1' in the first statement gives:

```
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i.e. the factorial – but then the program analysis is unable to tell us anything about the parity of m at the end of the execution.

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- **m** could be **even** if the input n > 1, or
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However, even if we fix/require the input to be positive and **even** — e.g. by some suitable conditional assignment — the program analysis still might not be able to accurately predict that **m** will be **even** at statement **5**.

Such a loss of precession is a common feature of program analysis: many properties that we are interested in are essentially undecidable and therefore we cannot hope to detect (all of) them accurately.

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- yes means definitely yes,
- no means *maybe* no.

# **Data Flow Analysis**

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The data flow analysis is usually specified as a set of equations which associate analysis information with program points which correspond to the nodes in the control flow graph. This information may be propagated *forwards* through the program (e.g. parity analysis) or *backwards*.

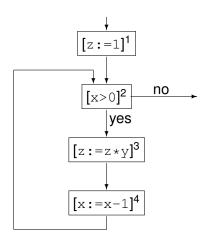
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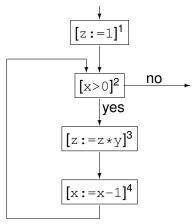
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When the control flow graph is not explicitly given, we need a preliminary control flow analysis

#### **Control Flow Information**



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This allows us to determine the predecessors pred and successors succ of each statement, e.g.  $pred(2) = \{1, 4\}$ .

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At each program point some definitions get "killed" (those which define the same variable as at the program point) while others are "generated".

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 $RD_{init} = \{(x,?) \mid x \text{ is a variable in the program}\}$ 

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Reaching Definitions is a forward analysis and we require the least (most precise) solutions to the set of equations.

For our initial program fragment

```
[m\leftarrow 2]^1;

while [n>1]^2 do

[m\leftarrow m\times n]^3;

[n\leftarrow n-1]^4

end while

[{
m stop}]^5
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end while

[\text{stop}]^5
```

some of the RD equations we get are:

```
RD_{entry}(1) = \{(m,?), (n,?)\}
RD_{entry}(2) = RD_{exit}(1) \cup RD_{exit}(4)
```

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	RD <sub>entry</sub>	RD <sub>exit</sub>
		$\{(m,1),(n,?)\}$
2	$\{(m,1),(m,3),(n,?),(n,4)\}$	$\{(m,1),(m,3),(n,?),(n,4)\}$
3	$\{(m,1),(m,3),(n,?),(n,4)\}$	$\{(m,3),(n,?),(n,4)\}$
		$\{(m,3),(n,4)\}$
5	$\{(m,1),(m,3),(n,?),(n,4)\}$	$\{(m,1),(m,3),(n,?),(n,4)\}$

How can we construct solution to the data flow equations? Answer: Iteratively, by improving approximations/guesses.

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METHOD: Step 1: Initialisation Step 2: Iteration

Some examples of data flow analyses — and the possible applications and optimisations they allow for — are:

Reaching Definitions — Constant Folding

- Reaching Definitions Constant Folding
- Available Expressions Avoid Re-computations

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- Very Busy Expressions Hoisting

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- Shape Analyis Pointer Analysis etc.

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There are two ingredients to this:

- Replace the use of a variable in some expression by a constant if it is known that the value of that variable will always be a constant.
- Simplify an expression by partially evaluating it: subexpressions that contain no variables can be evaluated.

# Constant Folding I

$$RD \vdash [x := a]^{\ell} \triangleright [x := a[y \mapsto n]]^{\ell}$$

$$if \begin{cases} y \in FV(a) \land (y,?) \notin RD_{entry}(\ell) \land \\ \forall (y',\ell') \in RD_{entry}(\ell) : \\ y' = y \Rightarrow [\dots]^{\ell'} = [y := n]^{\ell'} \end{cases}$$

## Constant Folding I

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$$RD \vdash [x := a]^{\ell} \triangleright [x := n]^{\ell}$$

$$\text{if } \begin{cases} FV(a) = \emptyset \land a \text{ is not constant } \land \\ a \text{ evaluates to } n \end{cases}$$

## Constant Folding II

$$\frac{RD \vdash S_1 \; \triangleright \; S_1'}{RD \vdash S_1; S_2 \; \triangleright \; S_1'; S_2}$$

# Constant Folding II

$$\frac{RD \vdash S_1 \triangleright S'_1}{RD \vdash S_1; S_2 \triangleright S'_1; S_2}$$

$$\frac{RD \vdash S_2 \triangleright S'_2}{RD \vdash S_1; S_2 \triangleright S_1; S'_2}$$

## Constant Folding II

$$\begin{array}{c|c} RD \vdash S_1 & \triangleright & S_1' \\ \hline RD \vdash S_1; S_2 & \triangleright & S_1'; S_2 \\ \hline RD \vdash S_2 & \triangleright & S_2' \\ \hline RD \vdash S_1; S_2 & \triangleright & S_1; S_2' \\ \hline RD \vdash S_1 & \triangleright & S_1' \\ \hline RD \vdash \text{if } [b]^\ell \text{ then } S_1 \text{ else } S_2 & \triangleright \text{ if } [b]^\ell \text{ then } S_1' \text{ else } S_2 \\ \hline \end{array}$$

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$$\begin{array}{c|c} RD \vdash S_1 \; \rhd \; S_1' \\ \hline RD \vdash S_1; S_2 \; \rhd \; S_1'; S_2 \\ \hline RD \vdash S_2 \; \rhd \; S_2' \\ \hline RD \vdash S_1; S_2 \; \rhd \; S_1; S_2' \\ \hline RD \vdash S_1; S_2 \; \rhd \; S_1; S_2' \\ \hline RD \vdash \text{if } [b]^\ell \; \text{then } S_1 \; \text{else } S_2 \; \rhd \; \text{if } [b]^\ell \; \text{then } S_1' \; \text{else } S_2 \\ \hline RD \vdash \text{if } [b]^\ell \; \text{then } S_1 \; \text{else } S_2 \; \rhd \; \text{if } [b]^\ell \; \text{then } S_1 \; \text{else } S_2' \\ \hline RD \vdash \text{if } [b]^\ell \; \text{then } S_1 \; \text{else } S_2 \; \rhd \; \text{if } [b]^\ell \; \text{then } S_1 \; \text{else } S_2' \\ \hline RD \vdash \text{while } [b]^\ell \; \text{do} \; S \; \rhd \; \text{while } [b]^\ell \; \text{do} \; S' \\ \hline \end{array}$$

### An Example

To illustrate the use of the transformation consider:

$$[x := 10]^1$$
;  $[y := x + 10]^2$ ;  $[z := y + 10]^3$ 

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The (least) solution to the Reaching Definition analysis is:

$$RD_{entry}(1) = \{(x,?), (y,?), (z,?)\}$$

$$RD_{exit}(1) = \{(x,1), (y,?), (z,?)\}$$

$$RD_{entry}(2) = \{(x,1), (y,?), (z,?)\}$$

$$RD_{exit}(2) = \{(x,1), (y,2), (z,?)\}$$

$$RD_{entry}(3) = \{(x,1), (y,2), (z,?)\}$$

$$RD_{exit}(3) = \{(x,1), (y,2), (z,3)\}$$

### **Constant Folding**

We have for example the following:

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and therfore the rules for sequential composition allow us to do the following transformation:

$$RD \vdash [x := 10]^1; [y := x + 10]^2; [z := y + 10]^3 \triangleright [x := 10]^1; [y := 10 + 10]^2; [z := y + 10]^3$$

$$RD \vdash [x := 10]^1; [y := x + 10]^2; [z := y + 10]^3$$

RD 
$$\vdash$$
  $[x := 10]^1; [y := x + 10]^2; [z := y + 10]^3$   
 $\vdash$   $[x := 10]^1; [y := 10 + 10]^2; [z := y + 10]^3$ 

RD 
$$\vdash [x := 10]^1; [y := x + 10]^2; [z := y + 10]^3$$
  
 $\triangleright [x := 10]^1; [y := 10 + 10]^2; [z := y + 10]^3$   
 $\triangleright [x := 10]^1; [y := 20]^2; [z := y + 10]^3$ 

```
RD \vdash [x := 10]^1; [y := x + 10]^2; [z := y + 10]^3
\triangleright [x := 10]^1; [y := 10 + 10]^2; [z := y + 10]^3
\triangleright [x := 10]^1; [y := 20]^2; [z := y + 10]^3
\triangleright [x := 10]^1; [y := 20]^2; [z := 20 + 10]^3
```

```
RD \vdash [x := 10]^1; [y := x + 10]^2; [z := y + 10]^3

\triangleright [x := 10]^1; [y := 10 + 10]^2; [z := y + 10]^3

\triangleright [x := 10]^1; [y := 20]^2; [z := y + 10]^3

\triangleright [x := 10]^1; [y := 20]^2; [z := 20 + 10]^3

\triangleright [x := 10]^1; [y := 20]^2; [z := 30]^3
```

We can continue this kind of transformation and obtain:

RD 
$$\vdash [x := 10]^1; [y := x + 10]^2; [z := y + 10]^3$$
 $\triangleright [x := 10]^1; [y := 10 + 10]^2; [z := y + 10]^3$ 
 $\triangleright [x := 10]^1; [y := 20]^2; [z := y + 10]^3$ 
 $\triangleright [x := 10]^1; [y := 20]^2; [z := 20 + 10]^3$ 
 $\triangleright [x := 10]^1; [y := 20]^2; [z := 30]^3$ 

after which no more steps are possible.

#### Additional Issues

The above example shows that optimisation is in general the result of a number of successive transformations.

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This could be costly because one  $S_1$  has been transformed into  $S_2$  we might have to *re-compute* the Reaching Definition analysis before the next transformation step can be done.

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It could also be the case that different sequences of transformations either lead to different end results or are of very different length.

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It requires formal (mathematical) proof whether an **analysis** (or **program transformation**) is **correct** with respect to some model of execution or semantics.

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This course will mostely be concerned with intutive or light-weight semantics when it comes to the "meaning" of a program and the correctness of a program analysis.

## Modelling and Specification

Architecture and Structural Engineering

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### Architecture and Structural Engineering

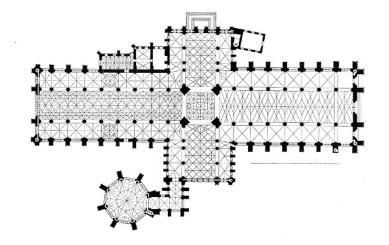


Figure: York Minster

Data Flow Analyis

- Data Flow Analyis
- ► Monotone Frameworks

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- Further Topics