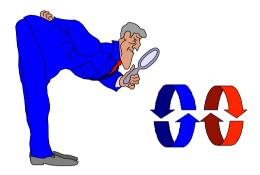
Chapter 7

Safety & Liveness Properties

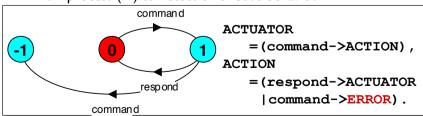


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A **safety** property asserts that nothing bad happens.

- ◆ STOP or deadlocked state (no outgoing transitions)
- ◆ ERROR process (-1) to detect erroneous behaviour



analysis using LTSA: (shortest trace)

Trace to ERROR: command command

safety & liveness properties

Concepts: properties: true for every possible execution

safety: nothing bad happens

liveness: something good eventually happens

Models: safety: no reachable ERROR/STOP state

> progress: an action is eventually executed

> > fair choice and action priority

Practice: threads and monitors

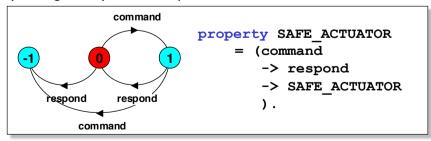
Aim: property satisfaction.

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Safety - property specification

- **ERROR** condition states what is **not** required (cf. exceptions).
- in complex systems, it is usually better to specify safety properties by stating directly what is required.



analysis using LTSA as before.

Keep the property alphabet as small as possible – only **relevant** actions!

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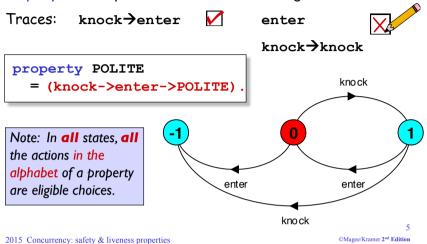
7.1 Safety

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Safety properties

Property that it is polite to knock before entering a room.



Safety properties

♦ How can we specify that some action, **disaster**, never occurs?



A safety property must be specified so as to include **all** the acceptable, valid behaviours **in its alphabet**.

Safety properties

Safety **property** P defines a deterministic process that asserts that any trace including actions in the alphabet of P, is accepted by P. Those actions that are not part of the specified behaviour of P are transitions to the **ERROR** state.

Thus, if P is composed with S, then traces of actions in (alphabet of $S \cap A$) must also be valid traces of P, otherwise ERROR is reachable.

Transparency of safety properties:

Since all actions in the alphabet of a property are eligible choices, composing a property with a set of processes does not affect their correct behaviour. However, if a behaviour can occur which violates the safety property, then *ERROR* is reachable. Properties must be deterministic to be transparent.

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Why?

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Safety - mutual exclusion

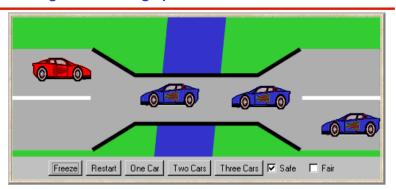
How do we check that this does indeed ensure mutual exclusion in the critical section?

Check safety using LTSA.

What happens if semaphore is initialized to 2?

What happens if semaphore is initialized to 0?

7.2 Single Lane Bridge problem



A bridge over a river is only wide enough to permit a single lane of traffic. Consequently, cars can only move concurrently if they are moving in the same direction. A safety violation occurs if two cars moving in different directions enter the bridge at the same time.

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Single Lane Bridge - CARS model

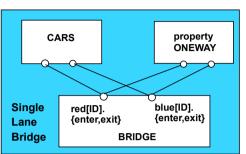
```
// number of each type of car
const N = 3
range T = 0..N
                    // type of car count
                    // car identities
range ID= 1..N
CAR = (enter->exit->CAR).
```

No overtaking constraints: To model the fact that cars cannot pass each other on the bridge, we model a CONVOY of cars in the same direction. We will have a red and a blue convoy of up to N cars for each direction:

```
||CARS = (red:CONVOY || blue:CONVOY).
```

Single Lane Bridge - model

- Events or actions of interest? enter and exit
- ♦ Identify processes. cars and bridge
- ♦ Identify properties. oneway
- ◆ Define each process and interactions (structure).



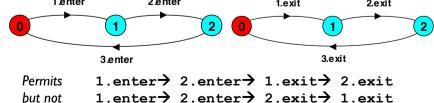
10

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Single Lane Bridge - CONVOY model

```
//preserves entry order
NOPASS1 = C[1],
C[i:ID] = ([i].enter-> C[i%N+1]).
NOPASS2 = C[1]
                            //preserves exit order
C[i:ID] = ([i].exit-> C[i%N+1]).
||CONVOY = ([ID]:CAR||NOPASS1||NOPASS2).
   1.enter
               2 enter
                                1.exit
                                            2.e xit
```



ie. no overtaking.

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Single Lane Bridge - BRIDGE model

Cars can move concurrently on the bridge only if in the same direction. The bridge maintains counts of blue and red cars on the bridge. Red cars are only allowed to enter when the blue count is zero and vice-versa.

Even when 0, exit actions permit the car counts to be decremented. LTSA maps these undefined states to ERROR.

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Single Lane Bridge - model analysis

```
||SingleLaneBridge = (CARS|| BRIDGE||ONEWAY).
```

Is the safety property **ONEWAY** violated?

No deadlocks/errors

||SingleLaneBridge = (CARS||ONEWAY).

Without the BRIDGE contraints, is the safety property ONEWAY violated?

```
Trace to property violation in ONEWAY:
red.1.enter
blue.1.enter
```

Single Lane Bridge - safety property ONEWAY

We now specify a **safety** property to check that cars do not collide!

While red cars are on the bridge only red cars can enter; similarly for blue cars.

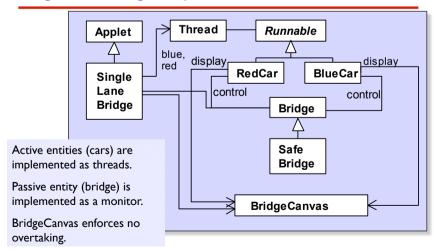
When the bridge is empty, either a red or a blue car may enter.

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Single Lane Bridge - implementation in Java



Single Lane Bridge - BridgeCanvas

An instance of BridgeCanvas class is created by SingleLaneBridge applet - ref is passed to each newly created RedCar and BlueCar object.

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Single Lane Bridge - class Bridge

```
class Bridge {
   synchronized void redEnter()
      throws InterruptedException {}
   synchronized void redExit() {}
   synchronized void blueEnter()
      throws InterruptedException {}
   synchronized void blueExit() {}
}
```

Class **Bridge** provides a null implementation of the access methods i.e. no constraints on the access to the bridge.

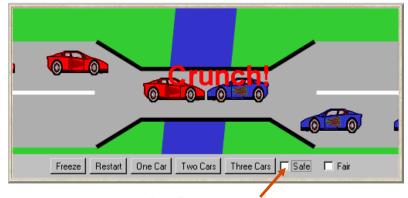
```
Result....?
```

Single Lane Bridge - RedCar

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Single Lane Bridge



To ensure safety, the "safe" check box must be chosen in order to select the **SafeBridge** implementation.

Single Lane Bridge - SafeBridge

```
class SafeBridge extends Bridge {
  private int nred = 0; //number of red cars on bridge
  private int nblue = 0; //number of blue cars on bridge
                      nred≥0 and nblue≥0 and
  // Monitor Invariant:
                   not (nred>0 and nblue>0)
 synchronized void redEnter()
      throws InterruptedException {
    while (nblue>0) wait();
                                             This is a direct
    ++nred:
                                            translation from
                                            the BRIDGE
 synchronized void redExit(){
                                            model.
     if (nred==0)notifyAll();
```

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7.3 Liveness

A **safety** property asserts that nothing bad happens.

A **liveness** property asserts that something good **eventually** happens.

Single Lane Bridge: Does every car eventually get an opportunity to cross the bridge?

ie. to make **PROGRESS?**

A progress property asserts that it is *always* the case that a particular action is eventually executed. Progress is the opposite of *starvation*, the name given to a concurrent programming situation in which an action is never executed.

Single Lane Bridge - SafeBridge

To avoid unnecessary thread switches, we use **conditional notification** to wake up waiting threads only when the number of cars on the bridge is zero i.e. when the last car leaves the bridge.

But does every car **eventually** get an opportunity to cross the bridge? This is a **liveness** property.

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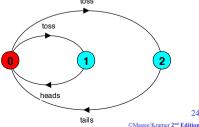
Progress properties - fair choice

Fair Choice: If a choice over a set of transitions is executed infinitely often, then every transition in the set will be executed infinitely often.

If a coin were tossed an infinite number of times, we would expect that heads would be chosen infinitely often and that tails would be chosen infinitely often.

This requires Fair Choice!





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Progress properties

progress P = {a1,a2..an} defines a progress property P which asserts that in an infinite execution of a target system, at least one of the actions a1,a2..an will be executed infinitely often.



COIN system: progress HEADS = {heads}



progress TAILS = {tails}



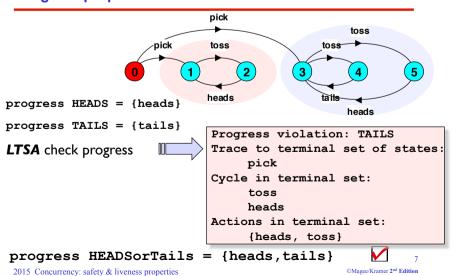
LTSA check progress:

No progress violations detected.

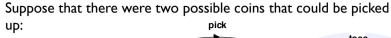
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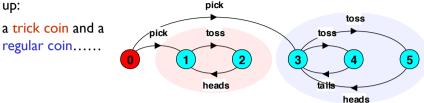
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Progress properties



Progress properties



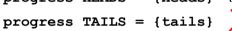


TWOCOIN = (pick->COIN|pick->TRICK),

= (toss->heads->TRICK), TRICK

COIN = (toss->heads->COIN|toss->tails->COIN).

TWOCOIN: progress HEADS = {heads}

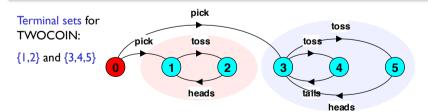


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Progress analysis

A terminal set of states is one in which every state is reachable from every other state in the set via one or more transitions, and there is no transition from within the set to any state outside the set.



Given fair choice, each terminal set represents an execution in which each action used in a transition in the set is executed infinitely often.

Since there is no transition out of a terminal set, any action that is not used in the set cannot occur infinitely often in all executions of the system - and hence represents a potential progress violation!

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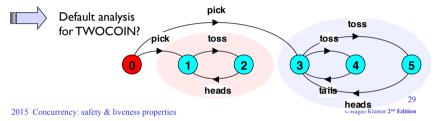
Progress analysis

A progress property is violated if analysis finds a terminal set of states in which **none** of the progress set actions appear.

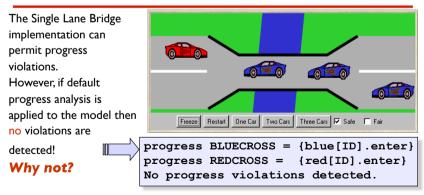


progress TAILS = {tails} in {1,2}

Default: given fair choice, for *every* action in the alphabet of the target system, that action will be executed infinitely often. This is equivalent to specifying a separate progress property for every action.



Progress - single lane bridge

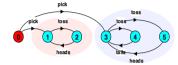


Fair choice means that eventually every possible execution occurs, including those in which cars do not starve. To detect progress problems we must check under adverse conditions. We superimpose some scheduling policy for actions, which models the situation in which the bridge is **congested**.

Progress analysis

Default analysis for TWOCOIN: separate progress property for every action.

Progress violation for actions:
 {pick, tails}
Trace to terminal set of states:
 pick
Cycle in terminal set:
 toss
 heads
Actions in terminal set:
 {heads, toss}



If the default holds, then every other progress property holds i.e. every action is executed infinitely often and system consists of a single terminal set of states.

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Progress - action priority

Action priority expressions describe scheduling properties:

High Priority ("<<")

 $|C| = (P|Q) << \{a1,...,an\}$ specifies a composition in which the actions a1,...,an have **higher** priority than any other action in the alphabet of P|Q| including the silent action tau.

In any choice in this system which has one or more of the actions a1,..,an labeling a transition, the transitions labeled with other, lower priority actions are discarded.

Low Priority (">>") $||C = (P||Q) >> \{a1,...,an\}$ specifies a composition in which the actions a1,...,an have lower priority than any other action in the alphabet of P||Q including the silent action tau.

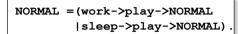
In any choice in this system which has one or more transitions not labeled by a1,..,an, the transitions labeled by a1,..,an are discarded.

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Progress - action priority

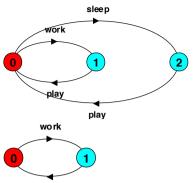


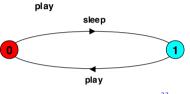
Action priority simplifies the resulting LTS by discarding lower priority actions from choices.

$$| | HIGH = (NORMAL) << {work} |$$



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7.4 Congested single lane bridge

```
progress BLUECROSS = {blue[ID].enter}
progress REDCROSS = {red[ID].enter}
```

BLUECROSS - eventually one of the blue cars will be able to enter

REDCROSS - eventually one of the red cars will be able to enter

Congestion using action priority?

Could give red cars priority over blue (or vice versa)? In practice neither has priority over the other.

Instead we merely encourage congestion by lowering the priority of the exit actions of both cars from the bridge.

Progress Analysis? LTS?

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congested single lane bridge model

Progress violation: REDCROSS

Trace to terminal set of states:
 blue.1.enter

Cycle in terminal set:
 blue.2.enter
 blue.1.exit
 blue.1.enter
 blue.2.exit

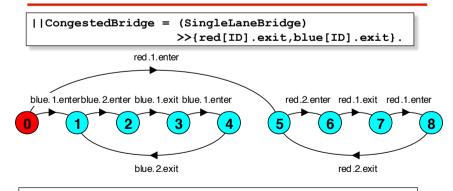
Actions in terminal set:
 blue[1..2].{enter, exit}

Similarly for BLUECROSS

This corresponds with the observation that, with *more* than one car (N=2 say), it is possible that whichever colour car enters the bridge first could continuously occupy the bridge preventing the other colour from ever crossing.

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congested single lane bridge model



Will the results be the same if we model congestion by giving car entry to the bridge high priority?

Can congestion occur if there is only one car moving in each direction?

Progress - revised single lane bridge model

The bridge needs to know whether or not cars are waiting to cross.

Modify CAR:

```
CAR = (request->enter->exit->CAR).
```

Modify BRIDGE:

Red cars are only allowed to enter the bridge if there are no blue cars on the bridge and there are no blue cars waiting to enter the bridge.

Blue cars are only allowed to enter the bridge if there are no red cars on the bridge and there are no red cars waiting to enter the bridge.

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Progress - analysis of revised single lane bridge model

```
Trace to DEADLOCK:

red.1.request

red.2.request

red.3.request

blue.1.request

blue.2.request

blue.3.request
```

The trace is the scenario in which there are cars waiting at both ends, and consequently, the bridge does not allow either red or blue cars to enter.

Solution?

Introduce some asymmetry in the problem (cf. Dining philosophers).

This takes the form of a boolean variable bt which breaks the deadlock by indicating whether it is the turn of blue cars or red cars to enter the bridge.

Arbitrarily set bt to true initially giving blue initial precedence.

Progress - revised single lane bridge model

```
/* nr- number of red cars on the bridge wr - number of red cars waiting to enter
  nb-number of blue cars on the bridge wb - number of blue cars waiting to enter
*/
BRIDGE = BRIDGE[0][0][0][0],
BRIDGE[nr:T][nb:T][wr:T][wb:T] =
  (red[ID].request -> BRIDGE[nr][nb][wr+1][wb]
  | when (nb==0 \&\& wb==0)
     red[ID].enter -> BRIDGE[nr+1][nb][wr-1][wb]
                      -> BRIDGE[nr-1][nb][wr][wb]
  lred[ID].exit
  |blue[ID].request -> BRIDGE[nr][nb][wr][wb+1]
  |when (nr==0 && wr==0)
     blue[ID].enter -> BRIDGE[nr][nb+1][wr][wb-1]
  |blue|ID|.exit
                      -> BRIDGE[nr][nb-1][wr][wb]
  ) .
                                                 OK now?
```

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Progress - 2 nd revision of single lane bridge model

```
const True = 1

→ Analysis?

const False = 0
range B = False..True
/* bt - true indicates blue turn, false indicates red turn */
BRIDGE = BRIDGE[0][0][0][0][True],
BRIDGE[nr:T][nb:T][wr:T][wb:T][bt:B] =
  (red[ID].request -> BRIDGE[nr][nb][wr+1][wb][bt]
  |when (nb==0 && (wb==0||!bt))
     red[ID].enter -> BRIDGE[nr+1][nb][wr-1][wb][bt]
  |red[ID].exit
                     -> BRIDGE[nr-1][nb][wr][wb][True]
  |blue[ID].request -> BRIDGE[nr][nb][wr][wb+1][bt]
  |when (nr==0 && (wr==0||bt))
     blue[ID].enter -> BRIDGE[nr][nb+1][wr][wb-1][bt]
  |blue[ID].exit
                     -> BRIDGE[nr][nb-1][wr][wb][False]
```

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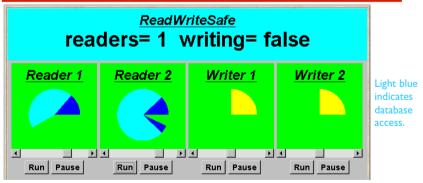
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When should bt be reset, on entry or exit?

Revised single lane bridge implementation - FairBridge

```
class FairBridge extends Bridge {
 private int nred = 0; //count of red cars on the bridge
 private int nblue = 0; //count of blue cars on the bridge
 private int waitblue = 0; //count of waiting blue cars
 private int waitred = 0;  //count of waiting red cars
 private boolean blueturn = true;
  synchronized void redEnter()
      throws InterruptedException {
    ++waitred;
    while (nblue>0||(waitblue>0 && blueturn)) wait();
    --waitred:
                                                 Negation of the
    ++nred:
                                                 model guard.
  synchronized void redExit(){
    --nred:
    blueturn = true:
    if (nred==0)notifyAll();
```

7.5 Readers and Writers



A shared database is accessed by two kinds of processes. Readers execute transactions that examine the database while Writers both examine and update the database. A Writer must have exclusive access to the database; any number of Readers may concurrently access it.

Revised single lane bridge implementation - FairBridge

```
synchronized void blueEnter(){
    throws InterruptedException {
  ++waitblue;
  while (nred>0||(waitred>0 && !blueturn)) wait();
  --waitblue:
  ++nblue;
                                              The "fair" check box
                                              must be chosen in
synchronized void blueExit(){
                                              order to select the
  --nblue:
                                              FairBridge
  blueturn = false:
                                              implementation.
  if (nblue==0) notifyAll();
```

Note that we did not need to introduce a new request monitor method. The existing enter methods can be modified to increment a wait count before testing whether or not the caller can access the bridge.

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readers/writers model

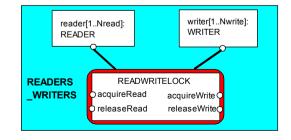
- Events or actions of interest? acquireRead, releaseRead, acquireWrite, releaseWrite
- ♦ Identify processes. Readers, Writers & the RW Lock

♦ Identify properties.

RW Safe

RW Progress

◆Define each process and interactions (structure).



readers/writers model - READER & WRITER

```
set Actions =
  {acquireRead,releaseRead,acquireWrite,releaseWrite}

READER = (acquireRead->examine->releaseRead->READER)
  + Actions
  \ {examine}.

WRITER = (acquireWrite->modify->releaseWrite->WRITER)
  + Actions
  \ {modify}.
```

Alphabet extension is used to ensure that the other access actions cannot occur freely for any prefixed instance of the process (as before).

Action hiding is used as actions examine and modify are not relevant for access synchronisation.

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readers/writers model - safety

We can check that RW LOCK satisfies the safety property.....

```
||READWRITELOCK = (RW_LOCK || SAFE_RW).
```

Safety Analysis? LTS?

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readers/writers model - RW LOCK

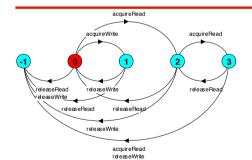
```
The lock
const. False = 0
                   const. True = 1
                                                maintains a count
range Bool = False..True
                                                of the number of
const Nread = 2
                            // Maximum readers
                            // Maximum writers
                                                readers, and a
const Nwrite= 2
                                                Boolean for the
RW LOCK = RW[0][False],
                                                writers.
RW[readers:0..Nread][writing:Bool] =
     (when (!writing)
           acquireRead -> RW[readers+1][writing]
                         -> RW[readers-1][writing]
     |releaseRead
     |when (readers==0 && !writing)
           acquireWrite -> RW[readers][True]
     |releaseWrite
                         -> RW[readers][False]
     ).
```

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readers/writers model



An ERROR occurs if a reader or writer is badly behaved (release before acquire or more than two readers).

We can now compose the READWRITELOCK with READER and WRITER processes according to our structure.....

readers/writers - progress

```
progress WRITE = {writer[1..Nwrite].acquireWrite}
progress READ = {reader[1..Nread].acquireRead}

WRITE - eventually one of the writers will acquireWrite
READ - eventually one of the readers will acquireRead
```

Adverse conditions using action priority?

we lower the priority of the release actions for both readers and writers.

```
| | RW_PROGRESS = READERS_WRITERS
| >> { reader[1..Nread].releaseRead, | writer[1..Nwrite].releaseWrite}.
```

Progress Analysis? LTS?

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readers/writers implementation - monitor interface

We concentrate on the monitor implementation:

```
interface ReadWrite {
   public void acquireRead()
        throws InterruptedException;
   public void releaseRead();
   public void acquireWrite()
        throws InterruptedException;
   public void releaseWrite();
}
```

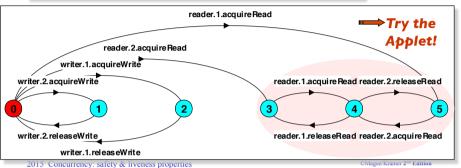
We define an interface that identifies the monitor methods that must be implemented, and develop a number of alternative implementations of this interface.

Firstly, the safe READWRITELOCK.

readers/writers model - progress

```
Progress violation: WRITE
Path to terminal set of states:
    reader.1.acquireRead
Actions in terminal set:
{reader.1.acquireRead, reader.1.releaseRead,
    reader.2.acquireRead, reader.2.releaseRead}
```

Writer starvation: The number of readers never drops to zero.



readers/writers implementation - ReadWriteSafe

Unblock a single writer when no more readers.

readers/writers implementation - ReadWriteSafe

However, this monitor implementation suffers from the WRITE progress problem: possible writer starvation if the number of readers never drops to zero.

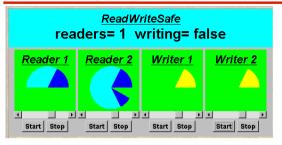
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readers/writers model - writer priority

Safety and Progress Analysis?

readers/writers - writer priority



Strategy: Block readers if there is a writer waiting.

.

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readers/writers model - writer priority

```
property RW_SAFE:
```

```
No deadlocks/errors
```

progress READ and WRITE:

```
Progress violation: READ

Path to terminal set of states:
    writer.1.requestWrite
    writer.2.requestWrite

Actions in terminal set:
{writer.1.requestWrite, writer.1.acquireWrite, writer.1.releaseWrite, writer.2.requestWrite, writer.2.acquireWrite, writer.2.releaseWrite}

Reader starvation:

if always a writer
waiting.
```

In practice, this may be satisfactory as is usually more read access than write, and readers generally want the most up to date information.

readers/writers implementation - ReadWritePriority

May also be readers waiting

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Java ReadWriteLock

java.util.concurrent includes a specialized lock ReadWriteLock which maintains a pair of associated locks: readLock and writeLock with optional preference to the longest waiting thread (cf. ReentrantLock, and not ensuring fair thread scheduling.)

readers/writers implementation - ReadWritePriority

Both **READ** and **WRITE** progress properties can be satisfied by introducing a **turn** variable as in the Single Lane Bridge.

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Summary

- ◆ Concepts
 - properties: true for every possible execution
 - safety: nothing bad happens
 - liveness: something good eventually happens
- Models
 - safety: no reachable ERROR/STOP state compose safety properties at appropriate stages
 - progress: an action is always eventually executed fair choice and action priority

apply progress check on the final target system model

- Practice
 - threads and monitors

Aim: property satisfaction

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