

AUTOMATED REASONING

SLIDES 12:

PARAMODULATION

Using Equality (=) in Data

Equality Axioms

Equality and Resolution: Paramodulation

Controlling use of equality in Resolution:

Hyper-paramodulation

RUE-resolution

Equality and Models

KB - AR - 12

EQUALITY

12ai

- (1) $T(p,q) \vee T(q,p)$ (p, q are constants)
 (2) $\neg T(X,X)$ (3) $p=q$

A "Natural" derivation of $[]$

- (1) $T(p,q) \vee T(q,p)$ (2) $\neg T(X,X)$ (3) $p=q$
 (4) (1 + 3) $T(q,q) \vee T(q,p)$ (substitute q for p in $T(p,q)$)
 (5) (4 + 2) $T(q,p)$
 (6) (5 + 3) $T(q,q)$ (substitute q for p in $T(q,p)$)
 (7) (6 + 2) $[]$

Question:

Given this derivation of $[]$ would you expect (1), (2), (3) to be unsatisfiable?

(Hint: replace $=$ by the predicate symbol S .)

- Actually, they do have a model!

So what has gone wrong?

- (1) $T(p,q) \vee T(q,p)$ (2) $\neg T(X,X)$ (3) $p=q$

12aii

- They do have a model!

eg Let Domain = $\{1,2\}$ $p \rightarrow 1; q \rightarrow 2$
 Set $T(1,1), T(2,2)$ both false
 Set $T(1,2), T(2,1)$ both true
 Set $=(1,2)$ is true

- But they do not have a H-model in which '=' satisfies the 'equality axioms'.

e.g. in EQAX3 (see 12bii), which are substitutivity properties of Equality

$$\forall [\neg x=y \vee \neg T(x,z) \vee T(y,z)] \text{ and } \forall [\neg x=y \vee \neg T(z,x) \vee T(z,y)]$$

put $x/p, y/q$ and resolve with $p=q$:

gives $\forall z [\neg T(p,z) \vee T(q,z)]$ and $\forall z [\neg T(z,p) \vee T(z,q)]$

Now, if $T(p,q)=\text{True}$ then $T(q,q)=\text{True}$, and if $T(q,p)=\text{True}$ then $T(q,q)=\text{True}$

But $T(q,q)$ is False and at least one of $T(p,q)$ or $T(q,p)$ is True.

Paramodulation implicitly uses such equality properties
 to generalise the notion of substitution

DEFN: (PARAMODULATION) (generalises simple substitution) (ppt) 12aiii

if $C1 \equiv L[t] \vee C1'$ (i.e. t occurs in L), $C2 \equiv r=s \vee C2'$ (or $s=r \vee C2'$) and $r\theta=t\theta$, then the clause $C1' \vee C2' \vee L[s\theta]\theta$ is called a **paramodulant**.

Example

$a=b$
 (P)
 $L(X) \vee M(X)$
 $L(b) \vee M(a)$

$C1$ is $L(X) \vee M(X)$. X in $L(X)$ is the "to" term
 $C2$ is $a=b$. a is the "from" term
 Unify a with X (θ is $X==a$)
 $C1\theta$ is $L(a) \vee M(a)$
 Replace a in $L(a)$ by b
 Result is $L(b) \vee M(a)$

Can also obtain: $L(a) \vee M(b)$. Substitutions occur in 1 arg. position at a time.

In general:

1. Unify the "to" term – the one to be replaced in $C1$ (t) and the "from" term – the one in the equality being replaced (r) (mgu is θ)
2. Apply the unifier θ to both clauses $C1$ and $C2$ to give $C1\theta$ and $C2\theta$
3. Replace the "to" term in $C1\theta$ by the term on the other side of the "from" equation – the one in the equality that is the replacement ($s\theta$)
4. The result is the disjunction of $C1\theta$ and $C2\theta$ after replacement and without the equation.

SOME MORE EXAMPLES (ppt)

12aiv

$f(X)=b \vee C(X)$ $R(f(a)) \vee Q$
 (P)
 $C(a) \vee R(b) \vee Q$
 match $f(X)$ with $f(a)$ and replace by b .

$U=V$ $T(p,q) \vee T(q,p)$
 (P)
 $T(V,q) \vee T(q,p)$
 Identify the "to" and "from" terms

$f(X,g(X))=e \vee T(X)$ $S(Y,f(g(Y),Z)) \vee W(Z)$
 (P)
 $S(Y,e) \vee W(g(g(Y))) \vee T(g(Y))$

match $f(X,g(X))$ with $f(g(Y),Z)$ ($X/g(Y)$, $Z/g(g(Y))$) and replace $f(g(Y),g(g(Y)))$ by e .

Another Example (from Hodges)

12av

1. $T(p,q) \vee T(q,p)$ (Not everyone is trying equally hard.
 $\neg \forall x \forall y [\neg T(x,y) \wedge \neg T(y,x)]$)
2. $\neg T(X,X)$ (No-one tries harder than himself)
3. $U=V$ (There is not more than one person. $\neg \exists x \exists y \neg [x = y]$)
- (4) (P. 3+1) $T(V1,q) \vee T(q,p)$ (take instance $U1=V1$ of (3);
 match $U1$ with p and replace by $V1$)
- (5) (4+2) $T(q,p)$
- (6) (P. 5+3) $T(V2,p)$ (take instance $U2=V2$ of (3);
 match $U2$ with q and replace by $V2$)
- (7) (6+2) []

Paramodulation

12bi

Paramodulation is the method by which equality is included in resolution refutations. It is a generalisation of equality substitution: if $s=t$ and s occurs in some sentence S , then t can replace s in any of the occurrences. Similarly, if t occurs in S , then s can replace t . (See definition on 12aiii.)

The paramodulation rule consists of several steps, given in 12aiii. It is easiest to apply instantiation first, to both the clause containing the equality E as well as to the clause containing the term to which the equality will be applied, so that the term being substituted *from* is the same as the term being substituted *into*. Then apply the equality substitution. The resulting clause, called a *paramodulant*, is the disjunction of the instantiated and substituted clauses (apart from equality E , which is omitted).

Paramodulation implicitly makes use of the Equality Axiom clausal schema (12bi) and can be simulated by resolution, in which case there are two distinct phases:

- (a) use EQAX2 and equation E to obtain equation E' , that can be used to substitute at atom level;
- (b) use E' and EQAX3 to make the substitution at atom level.

For (a) there may need to be (none, 1 or more) applications of using the appropriate EQAX2.

For example, suppose the clause $a=b \vee C$ were to be used (E is $a=b$). In order to substitute into $P(f(a))$, an equality of the form $f(\dots)=t$ is required. From $a=b \vee C$ and the instance (of EQAX2) $\neg x=y \vee f(x)=f(y)$ we get $f(a)=f(b) \vee C$ (E' is $f(a)=f(b)$); then we can use the instance (of EQAX3) $\neg x=y \vee \neg P(x) \vee P(y)$ to obtain $P(f(b)) \vee C$. If, instead of $P(f(a))$, the atom was $P(g(f(a)))$, then an additional instance of EQAX2, $\neg x=y \vee g(x)=g(y)$, is necessary to obtain $g(f(a))=g(f(b)) \vee C$ from $f(a)=f(b) \vee C$.

Exercise: Show how paramodulation of $X=b$ into $P(f(Y), Y)$ to derive $P(f(b), Y)$ is simulated by resolution and appropriate instances of EQAX2 and EQAX3.

The Equality Axioms

12bii

Reasoning with equality in resolution and in tableau implicitly makes use of a set of clausal axiom schema and the reflexivity of equality (EQAX1). There are 2 basic substitutivity schema:

- (i) those that deal with substitution at the argument level of atoms (EQAX3), and
- (ii) those that deal with substitution at the argument level of terms (EQAX2).

They are given on Slide 12biii.

An alternative form of EQAX combines the schema for each argument place into a single schema that will deal with one or more arguments at the same time. They are:

EQAX2 (Alternative) $\forall [x_1=y_1 \wedge \dots \wedge x_n=y_n \rightarrow f(x_1, \dots, x_n)=f(y_1, \dots, y_n)]$

EQAX3 (Alternative) $\forall [x_1=y_1 \wedge \dots \wedge x_n=y_n \wedge P(x_1, \dots, x_n) \rightarrow P(y_1, \dots, y_n)]$

Exercise (a jolly good one!): Show that the two forms of EQAX are equivalent.

Hint: To show EQAX2(Alternative) implies EQAX2 (and similarly for EQAX3) is easy. You need to use Reflexivity. The other direction is a bit harder.

A discussion of models and interpretations of Equality is given later.

Equality Axioms

12biii

Reasoning with equality "naturally" uses the *equality axioms* implicitly

EQAX1 $\forall x [x=x]$

EQAX2 $\forall [x_i=y_i \rightarrow f(x_1, \dots, x_i, \dots, x_n)=f(x_1, \dots, y_i, \dots, x_n)]$

EQAX3 $\forall [x_i=y_i \wedge P(x_1, \dots, x_i, \dots, x_n) \rightarrow P(x_1, \dots, y_i, \dots, x_n)]$

EQAX2 and EQAX3 as clauses:

EQAX2 $\forall [\neg x_i=y_i \vee f(x_1, \dots, x_i, \dots, x_n)=f(x_1, \dots, y_i, \dots, x_n)]$

EQAX3 $\forall [\neg x_i=y_i \vee \neg P(x_1, \dots, x_i, \dots, x_n) \vee P(x_1, \dots, y_i, \dots, x_n)]$

EQAX2 and EQAX3 are *substitutivity* schema.

There is one axiom for each argument position for each function/predicate.

There is an equivalent form of the Equality Axioms, which are also useful.

Alternative form for EQAX2 and EQAX3:

EQAX2 (Alternative)

$\forall [\neg x_1=y_1 \vee \dots \vee \neg x_n=y_n \vee f(x_1, \dots, x_n)=f(y_1, \dots, y_n)]$

EQAX3 (Alternative)

$\forall [\neg x_1=y_1 \vee \dots \vee \neg x_n=y_n \vee \neg P(x_1, \dots, x_n) \vee P(y_1, \dots, y_n)]$

Using the Equality Axioms in Resolution

12biv

Where, in the "natural" derivation on 12ai, are EQAX used?

To derive line 4, which was $T(q, q) \vee T(q, p)$:

Use EQAX3: $\forall x, y, z [\neg x=y \vee \neg T(x, z) \vee T(y, z)]$ + $(p=q)$ + $T(p, q) \vee T(q, p)$

$p=q$ + EQAX3 $\Rightarrow \forall z [\neg T(p, z) \vee T(q, z)]$

$\forall z [\neg T(p, z) \vee T(q, z)]$ + $T(p, q) \vee T(q, p) \Rightarrow T(q, q) \vee T(q, p)$

The "substitution" using $p=q$ + EQAX3 +(1) when generalised to incorporate variables in the equation and the clause is called *Paramodulation*.

Examples were on Slides 12aiii - 12av

The refutation in full from (1)-(3) on slide 12ai using EQAX:

Given (1) $T(p, q) \vee T(q, p)$ (2) $\neg T(X, X)$ (3) $p=q$

(4). $\neg S=Z \vee \neg T(S, W) \vee T(Z, W)$ (EQAX3)

(5) (3+4) $\neg T(p, W) \vee T(q, W)$

+ (1) $\Rightarrow T(q, q) \vee T(q, p)$

(6) (5+2) $T(q, p)$

(7) $\neg S=Z \vee \neg T(W, S) \vee T(W, Z)$ (EQAX3)

(8) (3+7) $\neg T(W, p) \vee T(W, q)$

+ (1) $\Rightarrow T(q, q)$

(9) (8+2) $[]$

Note: intermediate clauses like (5) or (8) formed from (4) + (3) or from (7) + (3), need not be retained.

Equality Axioms also hold for the "=" predicate 12bv

Can show that EQAX1 and EQAX3 \Rightarrow symmetry of '='.

- | | |
|---|-----------------------------------|
| 1. $X=X$ (EQAX1) | 5. (2+4) $\neg U=b \vee \neg U=a$ |
| 2. $\neg U=V \vee \neg U=Z \vee V=Z$ (EQAX3) | 6. (5+3) $\neg a=a$ |
| ($\neg U=V \vee \neg P(U,Z) \vee P(V,Z)$ put = for 'P') | 7. (6+1) $[]$ |
| 3. $a=b$ | |
| 4. $\neg(b=a)$ (3 and 4 from $\neg\forall x\forall y [x=y \rightarrow y=x]$) | |

Transitivity can be shown similarly.

EQAX3 \Rightarrow transitivity of '='.

- | | |
|---|------------------------------|
| 1. $\neg U=V \vee \neg Z=U \vee Z=V$ (EQAX3) | 5. (1+3) $\neg Z=b \vee Z=c$ |
| ($\neg U=V \vee \neg P(Z,U) \vee P(Z,V)$ put = for 'P') | 6. (5+2) $a=c$ |
| 2. $a=b$ | 7. (6+4) $[]$ |
| 3. $b=c$ | |
| 4. $\neg(a=c)$ (2, 3 and 4 from $\neg\forall x\forall y [x=y \wedge y=z \rightarrow y=x]$) | |

Paramodulation Strategies 12ci

Can apply refinements (eg locking) to use of equality axioms to combine refinements with paramodulation to control use of equality axioms.

eg Paramodulation can be combined with hyper-resolution:

In *Hyper-paramodulation*, Hyper-resolution is used for the resolution steps and is forced on the use of EQAX. This leads to some restrictions:

(a) Can only use $X=Y$ if it is an atom in an *electron*.

(b) Can only paramodulate into an *electron*.

- May need specific *instances* of EQAX1 - e.g. $f(x) = f(x)$, $g(x,y) = g(x,y)$, or must allow explicit use of EQAX2.

Example1: (1) $a < b \vee a = b$ (2) $\neg a < c$ (3) $b < c$ (4) $\neg x < y \vee \neg y < z \vee x < z$
 (5) 1+3+4: $a = b \vee a < c$
 (6) P: 5+3: $a < c \vee a < c \Rightarrow a < c$ (factor) (replace b in $b < c$ by a i.e. use $a=b$ as $b=a$)
 (7) 6+2: $[]$

Paramodulation Strategies (contd) 12cii

In *Hyper-paramodulation*, Hyper-resolution is used for the resolution steps and is forced on the use of EQAX. There are some restrictions:

- Can only use $X=Y$ if it is an atom in an *electron*.
- Can only paramodulate into an *electron*.
- May need specific *instances* of EQAX1 - e.g. $f(x) = f(x)$, $g(x,y) = g(x,y)$, or must allow explicit use of EQAX2.

Example 2: (1) $a=b$ (2) $\neg P(f(a), f(b))$ (3) $P(x, x)$ (4) $x=x$

Note: (5) P: 1+2: $\neg P(f(b), f(b))$ would violate restriction (b)

(6) P: 1+3: $P(a, b)$ unify 2nd x in (3) with a and then replace by b

Then STUCK! Either need (4a) $f(x)=f(x)$ or use of EQAX2 +(1)

(7) P: 1+4a: $f(a)=f(b)$ unify second x in (4a) with a and then replace by b
 or apply EQAX2 using (1)

(8) P: 7+3: $P(f(a), f(b))$ unify 2nd x in (3) with f(a) and then replace by f(b)

(9) 8+2: $[]$

How do the restrictions for Hyper-paramodulation arise?

- a) Can only use $X=Y$ if it is an atom in an electron.
 - b) Can only paramodulate into an *electron*.
 - c) May need specific *instances* of EQAX1 - e.g. $f(x) = f(x)$, $g(x,y) = g(x,y)$, or must allow explicit use of EQAX2.
- EQAX3 (eg $\neg x=y \vee \neg P(\dots, x, \dots) \vee P(\dots, y, \dots)$) is a nucleus – needs 2 electrons; one electron must be the one in which $a=b$ occurs and the other must be the one in which $P(\dots, a, \dots)$ occurs. This enforces the two restrictions (a) and (b)
 - EQAX2 (eg $\neg x=y \vee f(x) = f(y)$) is also a nucleus and needs 1 electron; that must be the one in which $a=b$ occurs; helps enforce (a)
- (Remember:
EQAX2 enables terms to be built up for substitution at argument level)
- (c) is caused by (b);
eg cannot make $\neg P(f(a), f(b))$ into $\neg P(f(a), f(a))$ using $a=b$
(say in order to match $P(x, x)$),
so must derive $P(f(a), f(b))$ instead from $P(x, x)$;
and this requires to derive $f(a)=f(b)$ from $a=b$

12cii

RUE-RESOLUTION (Digricoli, Raptis) (Uses alternative form of EQAX)

EQAX2 (Alt) $\forall [\neg x_1=y_1 \vee \dots \vee \neg x_n=y_n \vee f(x_1, \dots, x_n) = f(y_1, \dots, y_n)]$
EQAX3 (Alt) $\forall [\neg x_1=y_1 \vee \dots \vee \neg x_n=y_n \vee \neg L(x_1, \dots, x_n) \vee L(y_1, \dots, y_n)]$

Given $C1 \equiv L(t_1, \dots, t_n) \vee D$ and $C2 \equiv \neg L'(t'_1, \dots, t'_n) \vee E$
the RUE-resolvent is $D \vee E \vee \neg t_1=t'_1 \vee \dots \vee \neg t_n=t'_n$
where, in EQAX3,
 $L(t_1, \dots, t_n)$ unifies with $L(x_1, \dots, x_n)$ and $L'(t'_1, \dots, t'_n)$ unifies with $L(y_1, \dots, y_n)$

RUE forces a kind of *locking* on use of alternative EQAX
The locking gives $\neg x_1=y_1, \dots, \neg x_n=y_n$ higher indices than other literals

Informal example:

$P(a) \vee D, \neg P(b)$ and $\neg x=y \vee \neg P(x) \vee P(y)$ (ie $C1, C2$ and EQAX3) $\implies D \vee \neg a=b$

To match $P(a)$ and $\neg P(b)$ (to resolve $C1$ and $C2$) must show $a = b$.

The goal "show $a=b$ " is represented by $\neg a=b$
and it is refuted **after** matching $P(a), P(b)$

12civ

RUE-RESOLUTION (Continued (ppt))

12cv

Given $C1 \equiv L(t_1, \dots, t_n) \vee D$ and $C2 \equiv \neg L'(t'_1, \dots, t'_n) \vee E$
the RUE-resolvent is $D \vee E \vee \neg t_1=t'_1 \vee \dots \vee \neg t_n=t'_n$

Example1: (1) $a < b \vee a = b$ (2) $\neg a < c$ (3) $b < c$ (4) $x < z \vee \neg y < z \vee \neg x < y$
(5) RUE (2+3): $\neg a = b \vee \neg c = c$ (6) (5+1): $a < b \vee \neg c = c$
(7) (4+6+3): $a < c \vee \neg c = c$ (9) (7+2): $\neg c = c$ (10) (9+reflex): []

Example2: (1) $P(x, x, a)$ (2) $\neg P(b, y, y)$ (3) $a = b$

(4) RUE: 1+2: $\neg x = b \vee \neg x = y \vee \neg a = y$

Now there are many solutions:

Solution 1: $x = b, y = b$ (match with EQAX1 ($u = u$) on first 2 literals)

Solution 2: $x = b, y = a$ (match with EQAX1 on literal 1 and 3, use (3) as $b = a$)

Solution 3: $x = a, y = b$ (match with (3) for all literals)

Solution 4: $x = a, y = a$ (match with EQAX1 on last 2 literals)

Can also use some simplification steps to reduce literals of the form $\neg t_1 = t_2$
eg $\neg f(a) = f(b)$ reduces to $\neg a = b$ by EQAX2 implicitly
 $\neg x = a$ reduces to $x = a$ by EQAX1 implicitly

Notes on Hyper-paramodulation

12cvi

The simulation of Hyper-paramodulation using Hyper-resolution (HR) and equality axioms shows soundness of paramodulation. For completeness, we'd like to show that a hyper-paramodulation refutation can be constructed from a HR refutation using also EQAX.

Suppose there is a HR refutation using EQAX. Then

Use of EQAX3 simulates a paramodulation step already

Use of EQAX2 can also be turned into a paramodulation step using reflexive axioms such as $f(x) = f(x)$. (**Details an exercise.**)

Notes on RUE-resolution

RUE-resolution is an alternative to paramodulation as a way of including EQAX implicitly into the deduction. It can, informally, be interpreted as trying to impose locking onto the use of equality axioms. It is as though some kind of locking strategy is applied to EQAX3 such that the non-equality literals must be resolved (with other clauses) before any other useful resolvents can be made using these axioms. i.e. the equality literals are locked highest in EQAX3. The alternative form of EQAX3 (and EQAX2) are the most appropriate to use here. That is:

EQAX2 (Alternative) $\forall [x_1 = y_1 \wedge \dots \wedge x_n = y_n \rightarrow f(x_1, \dots, x_n) = f(y_1, \dots, y_n)]$

EQAX3 (Alternative) $\forall [x_1 = y_1 \wedge \dots \wedge x_n = y_n \wedge P(x_1, \dots, x_n) \rightarrow P(y_1, \dots, y_n)]$

Thus the basic step is to match two potentially complementary literals with the two "P" literals in the appropriate EQAX3 schema. The result is a disjunction of inequalities, which can then be resolved with either EQAX1, EQAX2, or equations in the data.

Notes on RUE-resolution (continued)

12cvii

If the RUE-resolvent includes an equality $\neg t_1 = t_2$ such that t_1 and t_2 are not different constants, then further simplifications can be applied using either EQAX1 or EQAX2.

For instance:

If t_1 and t_2 are identical terms, then resolve with EQAX1.

If t_1 and t_2 are functional terms $f(x_1, \dots, x_n)$ and $f(y_1, \dots, y_n)$, then resolve with the EQAX2 (for f) to get $\neg x_1 = y_1 \vee \dots \vee \neg x_n = y_n$. Can possibly apply further simplifications to each of the inequalities so introduced.

If t_1 or t_2 is a variable, then could resolve with EQAX1 to instantiate the variable. But note that in this case $\neg t_1 = t_2$ might also resolve with some other equality present in the data.

In all 3 cases the original inequality will be eliminated.

Exercise (good one):

Compare the use of RUE-resolution and Paramodulation for the 3 clauses

(1) $P(x, x, a)$, (2) $\neg P(b, y, y)$, (3) $b = a$.

SOME PROPERTIES OF EQAX

12di

- Useful models are those in which '=' satisfies EQAX at ground level.
- An **E-interpretation** is an H-interpretation H_I , which satisfies:
 - $t = t$ is true in H_I for all t in the Herbrand Universe
 - if $s = t$ is true in H_I then $t = s$ is true in H_I
 - if $s = t$ and $t = r$ are true in H_I then $s = r$ is true in H_I
 - if $s = t$ is true in H_I then $f(s) = f(t)$ is true in H_I for every functor f (and similarly generalised to functors of arity > 1)
 - if $s = t$ and $L[s]$ are true in H_I then $L[t]$ is true in H_I
- S is **E-unsatisfiable** if S has no E-interpretations.
- (Corollary)** S is E-unsatisfiable iff $S + \text{EQAX}$ is unsatisfiable.
- (Theorem)** A set of clauses S is E-unsatisfiable iff S has no models in which '=' is interpreted as the identity relation (called normal models).
- Completeness Result:** (Peterson 1983) If S is E-unsatisfiable, then \square can be derived from $S \cup \{X = X\}$ by paramodulation and resolution.
- Paramodulation allows the properties of '=' to be taken into account implicitly and to avoid using them explicitly.

Models including the Equality Literal: Notes on Normal Models(1)

12dii

Standard approaches to incorporating equality in tableau and first order logic introduce the notion of *normal* models, in which the equality predicate is interpreted as identity. I.e. if $p = q$ is true, then p and q must be interpreted as the same domain element. However, such models are not Herbrand models (**Why?**) (**Answer:** Because Herbrand models satisfy the property that each term maps to itself in the Herbrand domain. So p and q map to unique elements of the domain.)

Consider again the clauses on slide 12ai: (1) $T(p, q) \vee T(q, p)$ (2) $\neg T(X, X)$ (3) $p = q$

Let $I(p) = I(q) = s$ (say). Then $\text{Val}(p = q) = \text{Val}(I(=)(I(p), I(q))) = \text{Val}(I(=)(s, s))$. If $I(=)$ is identity, then $I(=)(s, s)$ is True. Hence $\text{Val}(T(p, q)) = \text{Val}(I(T)(s, s)) = \text{Val}(T(q, p)) = \text{Val}(T(p, p)) = \text{Val}(T(q, q))$. Then (1) and (2) cannot both be true

Normal models do not sit well within a clausal framework. Instead, as, we've seen, Herbrand models that satisfy the basic requirement of substitutivity are used. As far as satisfiability is concerned, the two approaches are equivalent: there is a normal model of some clauses S iff there is a Herbrand model of S that also satisfies the substitutivity schema. (See next slide.)

Justification of Corollary on Slide 12di: We show the contrapositive: S is E-satisfiable iff $S + \text{EQAX}$ is satisfiable. Let M be (any) model of $S + \text{EQAX}$; then there is also a H-model of $S + \text{EQAX}$. But this is an E-interpretation by definition, so S is E-satisfiable. On the other hand, suppose S is E-satisfiable and let M be an E-interpretation that satisfies S ; then M also satisfies the EQAX by definition.

Notes on normal models (2):

12diii

(Proof outline of Theorem on Slide 12di) Suppose $S + \text{EQAX}$ are unsatisfiable then S has no normal model, for such a model would violate the assumption. On the other hand, if $S + \text{EQAX}$ are satisfied by some model M , then $S + \text{EQAX}$ have a H-model H ; this H is therefore an E-interpretation. From H can be constructed a normal model (see Chapter notes on paramodulation on my webpage for construction).

Example: Given: S is the set of facts $p = q$, $T(p, q)$, $\neg T(X, X)$.

Suppose $T(p, q)$, $p = q$, $q = p$, $p = p$, $q = q$ are true and $T(p, p)$, $T(q, p)$, $T(q, q)$ are false.

This is not an E-interpretation as it doesn't satisfy the following instance of

EQAX3: $\neg p = q \vee \neg T(p, q) \vee T(q, q)$.

Let S' be S without $\neg T(X, X)$.

Suppose all atoms are true, then both facts in S' are true in this E-interpretation. It is still not a normal model as it satisfies $p = q$, yet p and q are not mapped to the same domain element.

A normal model M for S' could use the domain $\{d\}$, and the mapping $p \rightarrow d$, $q \rightarrow d$.

M sets $T(d, d)$ true and interprets "=" as the identity relation (i.e. $d = d$ is true).

M satisfies $p = q$ (which is interpreted as $d = d$), and clearly satisfies the equality axioms.

(In general, to obtain a normal model must ensure that all terms that are equal to one another, i.e. in the same equivalence class, are mapped to the same domain element. The domain of the normal model consists of the names of the equivalence classes (c.f. d in the example.))

Summary of Slides 12

12ei

1. The use of equality is ubiquitous in every day reasoning. It uses the natural rule of substitution. Given an equality atom such as $p=q$, occurrences of p may be replaced by q (or vice versa) in any context.
2. Equality reasoning implicitly makes use of equality axiom schema. We called these schema EQAX1 (Reflex), EQAX2 (for building up equations between terms) and EQAX3 (for substitution).
3. In resolution theorem provers the natural rule of equality substitution is generalised to paramodulation, in which the equality may be one disjunct of a clause, and may involve variables, both in the equality and/or the context.
4. Paramodulation leads to a large increase in the search space, especially when equalities have variables, since they will match many contexts. e.g. given $f(x)=x$, even if the equality is restricted so that only occurrences of the RHS may be substituted for occurrences of the LHS, there are four places in which the equality can be used in the context $P(f(f(y)),y)$. (**What are they?**)
5. The completeness of paramodulation and resolution states that E-(un)satisfiability can be checked using paramodulation.

6. Models for equality in which $=$ is interpreted as the identity predicate ($x=x$ for all x and no other relationships) are not usually Herbrand models. They are called normal models. E-interpretations are the Herbrand/clausal analogue: a set of clauses that have a model which is also a model of the equality axioms is called E-satisfiable. E-satisfiable clauses S have normal models as well, formed by considering the equivalence classes imposed by the given equalities as domain elements.

7. In fact, a set of clauses S is E-unsatisfiable iff S has no normal models. Hence there is only need to detect E-unsatisfiability.

8. Ways to control paramodulation have been investigated. Hyper-paramodulation is one way, in which hyper-resolution restrictions are imposed on the use of equality substitution axioms, as well as the ordinary clauses. These restrictions constrain both the equality used to provide the substitution and the literal being substituted into to belong to an electron. For completeness, functional instances of EQSUB1 (Reflex) may be needed.

9. A second control method is RUE resolution, in which the equality in equality axioms is always the last literal to be resolved upon. This enforces resolution on the two literals in such axioms, which results in "matching" the literals and generating negative equality literals that can be interpreted as goals to be derived. e.g. $P(f(f(y)),y)$ can be RUE-resolved with $\neg P(f(a),a)$: first match corresponding terms: $f(f(y))=f(a)$ and $y=f(a)$ and then set them as goals (i.e. negate them) yielding $\neg f(f(y))=f(a) \vee \neg y=f(a)$, which gives $\neg f(y)=a \vee \neg y=a$. These have to be proved from the given data.

12eii