

Binary Chop

- This is a very useful algorithm, but one that is difficult to get right first time.
- It illustrates the problem solving method of **Divide and Conquer**: given a big problem —
 - **divide** it into smaller parts;
 - solve each part separately (easier than the original)
 - hence **conquer** the original problem.
- For binary chop in particular, the key to making good use of the strategy is to know exactly what you are trying to do.
- Illustrate reasoning with pre/post conditions and invariants.

20/2/09

KB0809 - Binary Chop Page 1

SPECIFICATION – FIRST ATTEMPT

```
int search( int [] a, int x) {  
// Pre:   Sorted(a)  
// Post:  a[r]= x  
}
```

In this part of the course, **r** denotes the value returned by the function.

Is that it?

Things to consider:

1. (AE)
2. (SA)
3. (DU)
4. (NI)

20/2/09

KB0809 - Binary Chop Page 3

WHAT IS BINARY CHOP ABOUT?

Problem: Given a *sorted* array **a** [say of **int**] and an **int x** as input, find whereabouts in **a** the element **x** occurs.

If **a** wasn't sorted, there'd be little alternative to inspecting all the elements of **a** one by one until **x** is found.

BUT – for a sorted array we can be smarter.

Rough idea (assuming **a** is sorted in ascending order):

Look at the element half way along **a**.

If this is bigger than **x**, then **x** must be in the first half.

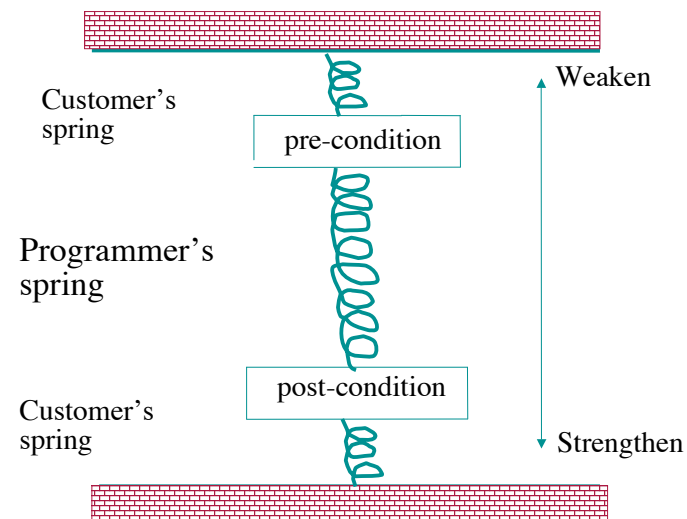
If it is smaller, then **x** must be in the second half.

Either way, we have cut the search area by a factor of 2.

Repeat this until **x** is found.

20/2/09

KB0809 - Binary Chop Page 2



20/2/09

KB0809 - Binary Chop Page 4

FIRST PROBLEM – SUPPOSE X IS NOT IN THE ARRAY ?

What answer would we like?

One possible use for `search` is to find whereabouts in `a` to insert a new element `x` so that `a` remains sorted. We look for the boundary between the elements `< x` and those `> x`.

There are two ways of describing this boundary by `r`.

Way 1:	<code>a[r] < x</code>	<code>a[r+1] > x</code>	<code>x</code> goes at <code>a[r+1]</code>
--------	--------------------------	----------------------------	--

Look at the boundary cases:

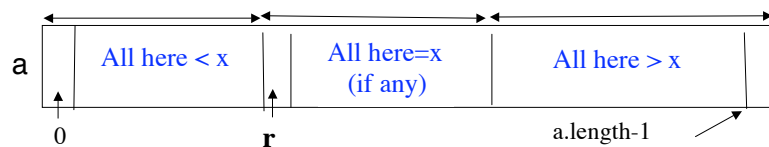
	all elements of <code>a</code> are <code>> x</code>	all elements of <code>a</code> are <code>< x</code>
Way 1	<code>r</code> is -1 (<code>a[0] > x</code>)	<code>r</code> is <code>a.length - 1</code>

NEXT PROBLEM – WHAT IF X OCCURS MORE THAN ONCE IN THE ARRAY?

- Because `a` is ordered, all the occurrences will be together.
- Would we like `r` to be the index of the first or the last?
- Choose the first, so that `r` is the smallest index.
- `r` defines the boundary between the elements `< x` and those `≥ x`.
- This matches our choice for when `x` doesn't occur at all.

So in all possible cases...

`r` is the smallest index where the array element is `≥ x`, or `a.length` if all the elements are `< x`.



OR:

Way 2:	<code>a[r-1] < x</code>	<code>a[r] > x</code>	<code>x</code> goes at <code>a[r]</code>
--------	----------------------------	--------------------------	--

Look at the boundary cases:

	all elements of <code>a</code> are <code>> x</code>	all elements of <code>a</code> are <code>< x</code>
Way 2	<code>r</code> is 0	<code>r</code> is <code>a.length</code> (<code>a[a.length-1] < x</code>)

Way 2 is our standard. Then `r` is the smallest index where the array element is `> x` (or `a.length` if all the elements are `< x`).

SPECIFICATION – FINAL ATTEMPT

```
int search(int [] a, int x) {
```

```
// Pre: Sorted(a) i.e.
```

```
//      ∀i,j:int ( 0 ≤ i ≤ j < a.length → a[i] ≤ a[j])
```

```
// Post: r is the smallest index where the element is ≥ x,
```

```
//       or a.length if all the elements are < x and a=a0
```

```
//      i.e. a=a0 ∧ 0 ≤ r ≤ a.length
```

```
//      ∧ ∀i:int (0 ≤ i < r → a[i] < x)
```

```
//      ∧ ∀i:int (r ≤ i < a.length → a[i] ≥ x)
```

LOOP INVARIANT (REMINDER)

```

//start
| //Loop Initialisation code
| ==> loop invariant true (1st time)
//while loop --<--| Loop invariant true again
| | | (2nd, 3rd, .... times)
| | | "OK so far and
| | | Make progress to postcondition"
| | | ----->-----
// loop end (Loop invariant still true here)
// Loop Finalisation code (Ensures postcondition)
    
```

Assume variant: $v:\text{int}$. Loop must terminate if $v_1 > v_2 > v_3 > \dots > v_k > \dots > I$ in the loop. (v_i =value of v inside loop for i th time; I is a fixed int, usually $I = 0$)

20/2/09

KB0809 - Binary Chop Page 9

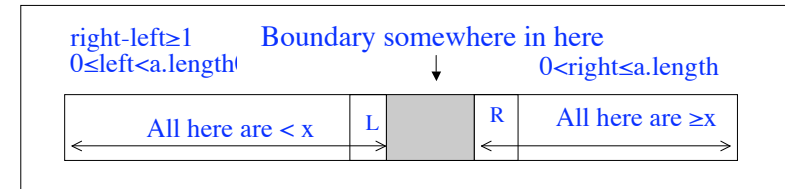
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20/2/09

KB0809 - Binary Chop Page 11

DIAGRAM (ILLUSTRATES LOOP INVARIANT)

Keep two variables, `left` and `right`, to show how far we've narrowed the search area. Boundary must be between `left` and `right`.



The shaded region goes from `left+1` to `right-1` inclusive.

- (i) $a[\text{left}] < x$ and $a[\text{right}] \geq x$ - therefore $\text{left} < \text{right}$ - why?
- (ii) If $\text{left}+1 = \text{right}$ and x is in a , then $\text{right} < a.\text{length}$ and $a[\text{right}] = x$ - why?

20/2/09

KB0809 - Binary Chop Page 10

Proof of Property (i). (Informal)

Given $0 < \text{left} < a.\text{length}$ (1), $0 < \text{right} \leq a.\text{length}$ (2), $\forall i (0 \leq i < \text{left} \rightarrow a[i] < x)$ (3) and $\forall i (\text{right} \leq i < a.\text{length} \rightarrow a[i] \geq x)$ (4), we are required to show (RTS) that $\text{left} < \text{right}$.

We can use proof by contradiction: Suppose $\text{left} \geq \text{right}$.

Case 1: If $\text{right} = a.\text{length}$ then by assumption $\text{left} \geq a.\text{length}$, which contradicts (1).

Case 2: If $\text{right} < a.\text{length}$, then by assumption, (1) and (2) $0 < \text{right} \leq \text{left} < a.\text{length}$.

By (4) $a[\text{left}] \geq x$ and by (3) $a[\text{left}] < x$, contradiction.

Proof of Property (ii) (Informal) Given $0 < \text{left}+1 = \text{right} \leq a.\text{length}$, x is in a , and (3) and (4).

RTS (a) $\text{right} < a.\text{length}$ and (b) $a[\text{right}] = x$.

(a) Suppose for contradiction that $\text{right} = a.\text{length}$. Hence $\text{left} = a.\text{length}-1$ and by (3) all elements in a are $< x$ which contradicts x is in a .

(b) Assume result (a) and suppose for contradiction that $a[\text{right}] \neq x$. From (4) $a[\text{right}] \geq x$, so $a[\text{right}] > x$ and since $\text{right} = \text{left}+1$, $a[\text{left}+1] > x$. (5). By (3) we're also given $a[\text{left}] < x$. (6).

By sortedness, $\forall i (0 \leq i \leq \text{left} \rightarrow a[i] \leq a[\text{left}])$ and $\forall i (a.\text{length} > i \geq \text{left}+1 \rightarrow a[i] \geq a[\text{left}+1])$.

Hence by (5) and (6) $\forall i (a.\text{length} > i \geq \text{left}+1 \rightarrow a[i] > x)$ and $\forall i (0 \leq i < \text{left} \rightarrow a[i] < x)$.

Therefore, for no i does $a[i] = x$, contradicting that x is in a .

Exercise: Write the proofs out using ND and the definition of sortedness on Slide 7. For (ii) the property that x is in a can be written as $\exists j (0 \leq j < a.\text{length} \wedge a[j] = x)$.

Use Pandora if you like. But you may need to use such obvious properties as if $0 \leq i < a.\text{length}$ and $0 \leq \text{left} < a.\text{length}$ then either $0 \leq i < \text{left}$ or $\text{left} \leq i < a.\text{length}$, or

$x < y \rightarrow x \neq y$ and $x > y \rightarrow x \neq y$. Perhaps you see why a tool for doing all this would be useful!

20/2/09

KB0809 - Binary Chop Page 12

TOWARDS THE CODE

Loop invariant:

$$0 \leq \text{left} < \text{right} \leq \text{a.length} \wedge \text{a}=\text{a0}$$

$$\wedge \forall i.\text{int} (0 \leq i \leq \text{left} \rightarrow \text{a}[i] < x)$$

$$\wedge \forall i.\text{int} (\text{right} \leq i < \text{a.length} \rightarrow \text{a}[i] \geq x)$$

Loop variant: right-left-1

(i.e. the size of the uncharted area in the middle)

```
while
(right - left > 1) { // variant >0
: // re-establish invariant
: // and make search area smaller
}
return right; //r= right
```

20/2/09

KB0809 - Binary Chop Page 13

‡ Second try:

```
right = a.length;
if (a.length==0 || a[0] >= x) return 0; else left = 0;
// a.length>0 ^ left=0 ^ a[0]=a[left] < x ^ left<right
// invariant:0≤left<right≤a.length ^
//          ∀i:int(0≤i≤left → a[i]<x) ^
//          ∀i:int(right≤i<a.length → a[i]≥x)
```

Proof invariant is initially established (for non-empty a and $\text{a}[0] < x$)

1st conjunct: RTS (Required To Show)

$0 \leq 0 < \text{a.length} \leq \text{a.length}$ (ie substitute for values of left etc.)

True by arithmetic and assumption that here a is non-empty

2nd conjunct: if $i=0$ then $\text{a}[i] = \text{a}[0] < x$ and $0 \leq i \leq 0$ so implication true.

For all other i condition of implication is false.

3rd conjunct: There is no i that $\text{right} \leq i < \text{a.length}$ ($\text{right}=\text{a.length}$)

So condition of implication always false

20/2/09

KB0809 - Binary Chop Page 15

Loop Initialisation (establishing the invariant)

(We assume $\text{a}=\text{a0}$ from now on)

‡ First try:

```
right = a.length; left = 0;
// invariant:0≤left<right≤a.length ^
//          ∀i:int(0≤i≤left → a[i]<x) ^
//          ∀i:int(right≤i<a.length → a[i]≥x)
```

Wrong – Does not always establish invariant

Why? – at least 1 error; consider some special cases.

20/2/09

KB0809 - Binary Chop Page 14

We can write the proof a bit more formally as follows.

Given: After the else condition of the if-statement, we know $\text{left}=0$, $\text{a.length}>0$, $\text{right}=\text{a.length}$ and $\text{a}[0]=\text{a}[\text{left}]<x$ (note $\text{a}[0]$ is defined).

1st conjunct: RTS $0 \leq \text{left} < \text{right} \leq \text{a.length} \iff 0 \leq 0 < \text{a.length} \leq \text{a.length}$. $0 \leq 0$ and $\text{a.length} \leq \text{a.length}$ by arithmetic. $0 < \text{a.length}$ is given.

2nd conjunct: RTS $\forall i(0 \leq i \leq \text{left} \rightarrow \text{a}[i] < x)$.

Let I be an arbitrary int s.t. (such that) $0 \leq I \leq \text{left}$ (1).

Then RTS $\text{a}[I] < x$. There are two cases: either $I=0$ or $0 < I \leq \text{left}$ (by (1)).

Case 1: $I=0$. $\text{a}[I]=\text{a}[0] < x$ (given).

Case 2: $0 < I \leq \text{left} \iff 0 < I \leq 0 \iff \perp \implies \text{a}[I] < x$ (\perp E).

Either way $\text{a}[I] < x$ is shown as required.

3rd conjunct: RTS $\forall i(\text{right} \leq i < \text{a.length} \rightarrow \text{a}[i] \geq x)$.

Let I be an arbitrary int s.t. $\text{right} \leq I < \text{a.length} \iff$

$\text{a.length} \leq I < \text{a.length} \iff \perp \implies \text{a}[I] \geq x$ (\perp E).

Question: In the 2nd and 3rd Conjuncts what ND rule was used at the outer level?

Answer: The $\forall \rightarrow I$ rule.

20/2/09

KB0809 - Binary Chop Page 16

Loop Finalization (establishing Post)

```
//Post: (1) 0 ≤ r ≤ a.length
//      (2) ∧ ∀i.int (0 ≤ i < r → a[i] < x)
//      (3) ∧ ∀i.int (r ≤ i < a.length → a[i] ≥ x)
```

Case 1 (exit before loop) a.length=0 or (a.length>0 ∧ a[0]≥x) r=0.

(Informally—if the "if" condition in the code=true then r=0 is correct)

(1) $0 \leq r \leq a.length \iff true$ (arithmetic and Givens)

(2) $\forall i.int (0 \leq i < 0 \rightarrow a[i] < x) \iff true$ as for all i, $0 \leq i < 0$ is false

(3) $\forall i.int (0 \leq i < a.length \rightarrow a[i] \geq x)$. There are 2 cases:

(i) $a.length=0 \implies 0 \leq i < 0$ is false for every i \implies implication true

(ii) $a.length>0$: $a[0] \geq x$ and **a** is sorted $\implies x \leq a[0] \leq a[i]$ for every i.

Case 2 (exit after loop) right-left≤1; r=right.

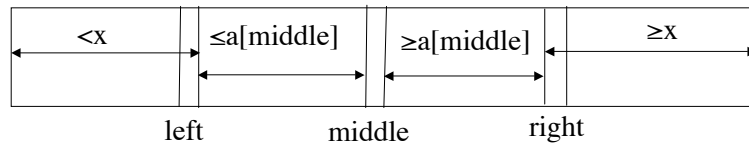
(Informally – if left becomes right-1, then r = right is correct.)

$right-left \leq 1 \implies right \leq left+1$. *Inv.* $\implies right \geq left+1$; $\therefore right=left+1$.

Substitute **r** for right and **r-1** for left in the invariant – gives Post.

eg in $\forall i.int (0 \leq i \leq left \rightarrow a[i] < x)$ put **r-1** for left, then

$\forall i.int (0 \leq i \leq r-1 \rightarrow a[i] < x) \implies \forall i.int (0 \leq i < r \rightarrow a[i] < x)$ (2)



if $a[middle] \geq x$ then $right=middle$

if $a[middle] < x$ then $left = middle$

RE-ESTABLISHING THE INVARIANT –

The idea is to define 'middle' as $(left+right) / 2$.

If $a[middle] < x$, then we can replace left by middle.

And if $i \leq left$ then $a[i] \leq a[left]=a[middle] < x$.

Otherwise, if $a[middle] \geq x$, then we can replace right by middle.

For if $i \geq right (= middle)$, then $a[i] \geq a[right]=a[middle] \geq x$.

```
middle = (left+right) / 2;
if (a[middle] < x) left = middle;
else right = middle;
```

Within the loop the while condition is true and we can show $right-left > 1 \implies right-left \geq 2 \implies left < middle < right$ (uses fact about integer division)

RE-ESTABLISHING THE INVARIANT – CTD.

After setting middle to left or to right (as in the code), three things need to be proved that are slightly delicate:

- a) $0 \leq middle < a.length$, so that $a[middle]$ is defined.
- b) that we *still have* $0 \leq left < right \leq a.length$, so that the invariant (1st part) has been re-established.
- c) that the variant, $right-left-1$, has strictly decreased.

Given the invariant, $(0 \leq left < right \leq a.length)$, they all follow simply from the following fact, which could usefully be included as a comment:

```
if left ≤ right-2, then left < middle < right
```

Exercise: Show formally that the Loop Finalization implies Post and properties a), b), c) hold.

We can write the proof that the loop finalisation implies Post a bit more formally as follows.

Given: a is sorted (G)

Case 1: (exit before loop starts) $r=0=a.length$. RTS (1), (2) and (3) of Slide 17.

- (1) $\iff 0 \leq r \leq a.length \iff 0 \leq 0 \leq 0 \iff \text{True}$ by arithmetic
- (2) $\iff \forall i (0 \leq i < r \rightarrow a[i] < x) \iff \forall i (0 \leq i < 0 \rightarrow a[i] < x) \iff \text{True}$ since for all i, $0 \leq i < 0$ is False.
- (3) $\iff \forall i (r \leq i < a.length \rightarrow a[i] \geq x) \iff \forall i (0 \leq i < 0 \rightarrow a[i] \geq x) \iff \text{True}$ since for all i, $0 \leq i < 0$ is False

Case 2: (exit before loop starts) $a.length > 0$, $a[0] \geq x$ and $r=0$. RTS (1), (2) and (3) of Slide 17.

- (1) $\iff 0 \leq r \leq a.length \iff 0 \leq 0 \leq a.length \iff \text{True}$ by arithmetic and the case assumptions
- (2) $\iff \forall i (0 \leq i < r \rightarrow a[i] < x) \iff \forall i (0 \leq i < 0 \rightarrow a[i] < x) \iff \text{True}$ since for all i, $0 \leq i < 0$ is False.
- (3) $\iff \forall i (r \leq i < a.length \rightarrow a[i] \geq x) \iff \forall i (0 \leq i < a.length \rightarrow a[i] \geq x) \iff \text{True}$ since for all i $a[i] \geq a[0] \geq x$ (by (G) and case).

Case 3: (exit after loop ends) $right-left \leq 1$ and $r=right$. RTS (1), (2) and (3) of Slide 17.

Given Invariant:

- (I1) $0 \leq left < right \leq a.length$; (I2) $\forall i (0 \leq i \leq left \rightarrow a[i] < x)$ (I3) $\forall i (right \leq i < a.length \rightarrow a[i] \geq x)$

Note: $right-left \leq 1 \iff right \leq left+1$ and $left < right$ (by I1) $\iff left+1 \leq right$; $\therefore left+1=right$

- (1) $\iff 0 \leq r \leq a.length \iff 0 \leq right \leq a.length \iff 0 \leq left+1 \leq a.length$.
By (I1) $0 \leq left \iff 0 \leq left+1$ and $left < a.length \iff left+1 \leq a.length$.
- (2) $\iff \forall i (0 \leq i < r \rightarrow a[i] < x) \iff \forall i (0 \leq i < right \rightarrow a[i] < x) \iff \forall i (0 \leq i < left+1 \rightarrow a[i] < x) \iff \forall i (0 \leq i \leq left \rightarrow a[i] < x) \iff \text{True}$ by (I2).
- (3) $\iff \forall i (r \leq i < a.length \rightarrow a[i] \geq x) \iff \forall i (right \leq i < a.length \rightarrow a[i] \geq x) \iff \text{True}$ by (I3).

HOW DO WE KNOW THAT LEFT < MIDDLE < RIGHT?

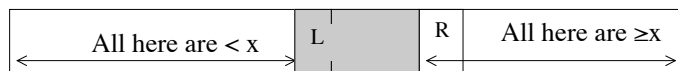
Remember we are assuming $left \leq right-2$. Then

$$middle = (left+right) / 2 \geq (left+left+2) / 2 = left+1$$

$$middle = (left+right) / 2 \leq (right+right-2) / 2 = right-1$$

This depends on the facts $(n+n-2) / 2 = n-1$ and $(n+n+2) / 2 = n+1$.

This solves a problem that can arise if **left** is taken as the first unchecked element and looping continues until $left \geq right$. For then, computing **middle** when $left=right-1$ might give **left** or it might give **right**, depending on the exact definition of integer division in the language. And then the program could go wrong. (See Exercises).



We can show the Properties a), b), c) as follows.

First we show $left \leq right-2 \rightarrow left < middle < right$ using $middle = (left+right)/2$;

Suppose $left \leq right-2$. RTS $left < middle$ and $middle < right$.
 $left+2 \leq right \implies middle \geq (left+left+2)/2 = left+1 \iff middle > left$, and
 $middle \leq (right-2+right)/2 = right-1 \iff middle < right$.

Next we show properties a), b), c)

Given: (G1) $middle = (left+right)/2$; (G2) $left \leq right-2 \rightarrow left < middle < right$;
 (G3) $0 \leq left < right \leq a.length$ (from Invariant (I1)) and (G4) $left \leq right-2$ (loop test);
 (G2)+(G4) $\implies left < middle < right$ (G5).

a) $\iff 0 \leq middle < a.length$. This follows from (G3) and (G5).

Let $left1$ and $right1$ be the values of $left/right$ before the reassignment of $left$ or $right$ and $left/right$ the values after.

Either (i) $left=middle$ and $right=right1$, or (ii) $left=left1$ and $right=middle$.

b) (G6) $0 \leq left1 < middle < right1 \leq a.length$ follows from (G3) and (G5).

(i): RTS $0 \leq left < right \leq a.length \iff 0 \leq middle < right1 \leq a.length$.

Follows from a) and (G6).

(ii) is similar.

Hence in both cases $right-left-1 \geq 0$.

c) Variant before loop code = $right1-left1-1$. Variant after loop code = $right-left-1$.

(i) $right-left-1 = right1-middle-1 < right1-left1-1$.

(ii) $right-left-1 = middle-left1-1 < right1-left1-1$.

So in both cases $variant \geq 0$, from (b), and $variant$ decreases, from (c).

A slightly different version of the algorithm is obtained if **left** is taken to be the first element of the unexplored region instead of the last element of the region of elements known to be $< x$, as was done in the given algorithm. In this alternative, the first part of the invariant must be changed from $left < right$ to $left \leq right$. The loop initialisation is also slightly easier, as there is no need for the "if-statement" and both (I2) and (I3) are vacuously true just before the loop. The while condition in this case would be $right-left > 0$. When false, together with the invariant property $left \leq right$, this gives $left=right$, which means, in effect, that there is no unexplored territory, so **right** can be returned as the result. However, the code to determine **middle** is rather more delicate.

After computing **middle** and checking $a[middle]$, if it is too large then $right = middle$. But if it is too small then $left = middle+1$. The delicate bit is to show the variant still decreases. For this, we need to be sure that $middle < right$. If $middle = (left+right) / 2$, can we show that, if $left < right$ (while condition true), then $middle < right$? It will depend on how integer division is treated in the language. The difficult case is when $left = right-1$. If care isn't taken the program could loop for ever. The exercises guide you to fill in the details.

For yet another version, if a 3-way test is available, then it appears that when $a[middle]=x$ the loop exit could be made early. For example, suppose the very first time the loop is executed gives rise to $a[middle]=x$. Is it right to return with $r=middle$? NO!

Exercise. Explain why you could not guarantee the given postcondition (without some additional computation). Give a new postcondition that can be guaranteed.

Even if the original postcondition is kept, the 3-way test may still be useful:

Exercise. Assume that **left** and **right** indicate the first and last elements of the uncharted territory and a 3-way test is available. Make appropriate changes to invariant and code.

THE CODE FOR BINARY CHOP

```
int search (int [] a, int x) {
// Pre: Sorted(a)
int left, middle;
int right =a.length;
if ((a.length==0) || (a[0]>=x)) return 0; else left = 0;
//a[left]<x
while
  // Loop invariant : see slide 13
  // Loop variant = right - left-1
  (right-left>1) {
  middle = (left+right) / 2; // left < middle < right
  if (a[middle]< x) left = middle; else right = middle;
}
return right;
}
```

20/2/09

KB0809 - Binary Chop Page 25

AN INTERLUDE

We can run search and see how many comparisons it takes.
Theory says it is $\log_2 \text{size}$, where the array has size elements.
Let's see ...
Set up arrays of varying lengths with increasing random integers.
Then search for various integers.

20/2/09

KB0809 - Binary Chop Page 27

DOCUMENTATION

- All serious programs have to be “documented” – i.e. there has to be a written explanation of what they do and how they [are supposed to] work. This is usually incorporated as comments.
- The comments in search should show the level of detail that is most useful – not a full formal proof, but must show the most important steps.
- If a formal correctness proof is required, the comments indicate how it would be constructed.
- Even if no (extra) comments, the *loop invariant* gives a solid framework in which to understand the working of the program.
- If there's a suspicion of a mistake, or if someone else is trying to understand your code, the framework immediately suggests specific questions: e.g. *Does* the loop body re-establish the invariant? *Is* the variant decreased each time? *Are* array accesses OK?

20/2/09

KB0809 - Binary Chop Page 26

VARIATION 1: CHANGE OF PRE/POST

Suppose the precondition includes the fact that x is in a .
 $\wedge \exists y:\text{Nat} (y < a.\text{length} \wedge a[y]=x)$

We can then strengthen the postcondition, which was:

$$0 \leq r \leq a.\text{length} \wedge \\ \forall i.\text{int}(0 \leq i < r \rightarrow a[i] < x) \wedge \forall i.\text{int}(r \leq i < a.\text{length} \rightarrow a[i] \geq x)$$

to

$$0 \leq r < a.\text{length} \wedge a[r]=x \wedge \forall i.\text{int}(0 \leq i < r \rightarrow a[i] < x)$$

In other words, the result r is the index of the first occurrence of x in a .

Question: Why does the new-Post follow from the old-Post and new-Pre?

20/2/09

KB0809 - Binary Chop Page 28

VARIATION 1 (CONTINUED)

New-Precondition: \mathbf{a} is sorted and \mathbf{x} is in \mathbf{a} .

- (1) $\forall i, j: \text{int} (0 \leq i \leq j < \mathbf{a}.\text{length} \rightarrow \mathbf{a}[i] \leq \mathbf{a}[j]) \wedge$
- (2) $\exists y: \text{Nat} (y < \mathbf{a}.\text{length} \ \& \ \mathbf{a}[y] = \mathbf{x})$

Old-Post: $0 \leq \mathbf{r} \leq \mathbf{a}.\text{length}$

- (3) $\forall i. \text{int}(0 \leq i < \mathbf{r} \rightarrow \mathbf{a}[i] < \mathbf{x}) \wedge \forall i. \text{int}(\mathbf{r} \leq i < \mathbf{a}.\text{length} \rightarrow \mathbf{a}[i] \geq \mathbf{x})$

to new-Post: (4) $0 \leq \mathbf{r} < \mathbf{a}.\text{length} \wedge \mathbf{a}[\mathbf{r}] = \mathbf{x} \wedge \forall i. \text{int}(0 \leq i < \mathbf{r} \rightarrow \mathbf{a}[i] < \mathbf{x})$

Case 1: $0 \leq \mathbf{r} < \mathbf{a}.\text{length}$

From (3) $\mathbf{a}[\mathbf{r}] \geq \mathbf{x}$ and $\forall i. \text{int}(0 \leq i < \mathbf{r} \rightarrow \mathbf{a}[i] < \mathbf{x})$

If $\mathbf{a}[\mathbf{r}] > \mathbf{x}$, then by (1) $\forall i. \text{int}(\mathbf{r} \leq i < \mathbf{a}.\text{length} \rightarrow \mathbf{a}[i] \geq \mathbf{a}[\mathbf{r}] > \mathbf{x})$

Hence $\forall i. \text{int}(0 \leq i < \mathbf{a}.\text{length} \rightarrow (\mathbf{a}[i] < \mathbf{x} \vee \mathbf{a}[i] > \mathbf{x}))$

This contradicts (2). Therefore $\mathbf{a}[\mathbf{r}] = \mathbf{x}$

Case 2: $\mathbf{r} = \mathbf{a}.\text{length}$. Then (3) contradicts (2) so case impossible

VARIATION 2: CHANGE OF CODE

Suppose we use a case test on $\mathbf{a}[\text{middle}]$ with one of 3 outcomes:

$\mathbf{a}[\text{middle}] < \mathbf{x}$, $\mathbf{a}[\text{middle}] = \mathbf{x}$ or $\mathbf{a}[\text{middle}] > \mathbf{x}$.

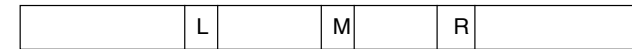
If $\mathbf{a}[\text{middle}] = \mathbf{x}$, suppose we write `return middle`.

The original postcondition was

$$0 \leq \mathbf{r} \leq \mathbf{a}.\text{length} \wedge \forall i. \text{int}(0 \leq i < \mathbf{r} \rightarrow \mathbf{a}[i] < \mathbf{x}) \wedge \forall i. \text{int}(\mathbf{r} \leq i < \mathbf{a}.\text{length} \rightarrow \mathbf{a}[i] \geq \mathbf{x})$$

Q: Why is this not now guaranteed always to be true?

HINT: Consider an array in which all values = \mathbf{x} .



All elements are equal to \mathbf{x}

VARIATION 2 (CONTINUED)

To make the *original* postcondition true could increment left until $\mathbf{a}[\text{left}+1] = \mathbf{x}$ and return `left+1` as result.

i.e. \mathbf{r} is the index of the first occurrence of \mathbf{x} in \mathbf{a} .

We can also strengthen the invariant to reflect that $\mathbf{a}[\text{right}] > \mathbf{x}$ (instead of $\mathbf{a}[\text{right}] \geq \mathbf{x}$). Then, if stop because `right-left=1` also know that $\mathbf{a}[\text{right}] \neq \mathbf{x}$.

What would this tell us about \mathbf{x} and \mathbf{a} ?



A new postcondition:

$$0 \leq \mathbf{r} \leq \mathbf{a}.\text{length} \wedge \forall i. \text{int}(0 \leq i < \mathbf{r} \rightarrow \mathbf{a}[i] < \mathbf{x}) \wedge ((\mathbf{r} < \mathbf{a}.\text{length} \wedge \mathbf{a}[\mathbf{r}] = \mathbf{x}) \vee \forall i. \text{int}(\mathbf{r} \leq i < \mathbf{a}.\text{length} \rightarrow \mathbf{a}[i] > \mathbf{x}))$$

A WARNING

The Binary chop algorithm is presented in many different ways. Possible differences are:

- (i) the precondition states that \mathbf{x} is known to be in \mathbf{a} ; this can simplify the postcondition, which can be $0 \leq \mathbf{r} < \mathbf{a}.\text{length} \wedge \mathbf{a}[\mathbf{r}] = \mathbf{x} \wedge \forall i. \text{int}(0 \leq i < \mathbf{r} \rightarrow \mathbf{a}[i] < \mathbf{x})$. There is no need to state that elements beyond \mathbf{r} are $\geq \mathbf{x}$ – they must be since \mathbf{a} is sorted. For the original postcondition this was required, since $\mathbf{a}[\mathbf{r}] \geq \mathbf{x}$ could not be used instead of $\mathbf{a}[\mathbf{r}] = \mathbf{x}$, as $\mathbf{a}[\mathbf{r}]$ might not be a valid array access;
- (ii) the test between $\mathbf{a}[\text{middle}]$ and \mathbf{x} has three outcomes, depending on whether $\mathbf{a}[\text{middle}] = \mathbf{x}$, $\mathbf{a}[\text{middle}] < \mathbf{x}$ or $\mathbf{a}[\text{middle}] > \mathbf{x}$. This allows for the while loop to terminate early in case the value \mathbf{x} is encountered, although care must be taken to ensure $\forall i. \text{int}(0 \leq i < \mathbf{r} \rightarrow \mathbf{a}[i] < \mathbf{x})$ is true at the end.
- (iii) the `right` variable indicates the *last* index of the portion of \mathbf{a} that has still to be searched. This is in contrast to what was proposed here, where `right` was the first element *beyond* the part of \mathbf{a} still to be searched;
- (iv) the `left` variable indicates the first element in the part of \mathbf{a} that has still to be searched. For this variation the initial IF-statement can be dropped. This version is covered in the exercise sheet, and uses a different computation of `middle`;
- (v) sometimes both of (iii) and (iv) are used; the resetting of `left` or `right` may then be slightly different, being `left=middle+1`, or `right=middle-1`;
- (vi) if the postcondition is weakened to $0 \leq \mathbf{r} < \mathbf{a}.\text{length} \wedge \mathbf{a}[\mathbf{r}] = \mathbf{x}$ and \mathbf{x} is known to be in \mathbf{a} (ie just find some index of \mathbf{a}), then if the 3-way test is used the while condition can be changed to `(right-left > 2)`, and the invariant to $0 \leq \text{left} < \text{right} - 1 \leq \mathbf{a}.\text{length} - 1$. The initial test should check that the array has at least 2 elements, else it is known the only one must = \mathbf{x} .

None of these variations affects very much the efficiency of the algorithm for large arrays \mathbf{a} .

FINAL POINTS

- The usual pitfall with the binary chop algorithm lies in not being quite sure what the values of **left** and **right** are supposed to mean.
- Making the specification and the loop invariant precise and being careful about the difference between $<$ and \leq is the way to avoid this.