The Limits of LoRaWAN in Event-Triggered Wireless Networked Control Systems

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Abstract—Wireless sensors and actuators offer benefits to large industrial control systems. The absence of wires for communication reduces the deployment cost, maintenance effort, and provides greater flexibility for sensor and actuator location and system architecture. These benefits come at a cost of a high probability of communication delay or message loss due to the unreliability of radio-based communication. This unreliability poses a challenge to contemporary control systems that are designed with the assumption of instantaneous and reliable communication. Wireless sensors and actuators create a paradigm shift in engineering energy-efficient control schemes coupled with robust communication schemes that can maintain system stability in the face of unreliable communication. This paper investigates the feasibility of using the low-power wide-area communication protocol LoRaWAN with an event-triggered control scheme through modelling in Matlab. We show that LoRaWAN is capable of meeting the maximum delay and message loss requirements of an event-triggered controller for certain classes of applications. We also expose the limitation in the use of LoRaWAN when message size or communication range requirements increase or the underlying physical system is exposed to significant external disturbances.

Index Terms—Wireless Networked Control Systems, Event-Triggered Control, Wireless Sensor and Actuator Networks, LPWA Networks, LoRaWAN.

I. INTRODUCTION

The design of traditional periodic control systems [1] assumes instantaneous and reliable communication of sensor data and control actions between the sensors and the controllers. This assumption is easy to meet when the sensors and controllers are connected by cables or wires. The controller will have relevant data to maintain the stability and the desired level of performance of the controlled physical system. Periodic transmissions at a high frequency can be achieved because wired systems do not pose restrictions on the bandwidth available.

There is a current movement to instrument industrial control systems like water/waste distribution, unmanned off-shore oil rigs, transportation networks, and agricultural facilities with wireless sensors and actuators. Wireless Networked Control Systems (WNCSs) are smarter, more responsive to user demand, and more efficient in their use of resources such as energy [2]. These next generation industrial systems are composed of a network of sensor nodes installed around a plant to measure its physical processes and transmit the measurements (data) via a wireless network. The controller receives the measurements and processes them according to an underlying control scheme. The result is a control action

that is sent wirelessly to actuators to influence the dynamics of the plant. The challenge of this approach is that wireless radio networks are not reliable, and the assumption of instantaneous and reliable communication no longer holds [3].

There are two associated challenges with WNCSs. The first is to develop control schemes that can tolerate a certain amount of delay and data loss caused by unreliable wireless networks. The second is to develop wireless network protocols that can provide the bounded maximum delay and message loss required by the control scheme. To provide the solution that addresses both challenges, the communication and control systems have to be jointly designed. This is known as the communication and control systems co-design problem [4], [5]. An additional constraint on the communication and control systems design problem is energy efficiency. WNCS sensors are battery powered which introduces restrictions on the available bandwidth. They are often deployed in inaccessible locations which makes frequent battery changes difficult. In this paper, we investigate the feasibility of using the low-power wide-area communication protocol LoRaWAN with an eventtriggered control scheme.

Event-Triggered Control (ETC) schemes [6] are a solution to the high communication cost and frequent battery changes of traditional periodic control schemes. Traditional periodic control schemes send communication every fixed period of time, even if there is no change in the underlying physical process. ETC schemes save energy by only sending communication when an event occurs and new action is needed. An event is triggered when there is an indication that the stability or performance of the system are about to be compromised.

Low-Power Wide-Area (LPWA) networks [7] have been developed to enable wireless communication over long ranges. LPWA techniques enable long-range communication of up to 15km's at a low data rate of 0.3-37.5Kbps. This offers trade-off between communication coverage, and data rates when compared to commonly used short-range protocols such as those used by WirelessHART and ISA-100.a.

In this paper we provide the following contributions:

 We model the delays and message losses introduced by the LPWA communication protocol LoRaWAN [8] for different rates and message sizes. We analyse an application scenario where we model a linear ETC system in Matlab. We evaluate the effects of LoRaWAN delays and message loss rates on system stability and performance

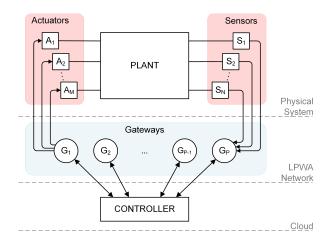


Fig. 1: The architecture of wireless networked control system.

guarantees for both cases, an ideal ETC system and the ETC system that is exposed to external disturbances.

• Most of the existing studies that address event-triggered controllers with radio networks assume that bounds on communication delays and packet losses are given a priori (e.g. [9], [10]). However, it is difficult to obtain such properties in real applications. In this paper we consider a practical communication protocol LoRaWAN. LoRaWAN is an example of a long-range protocol whose use in control scenarios has received very little attention [4].

The rest of the paper is organized as follows: Sec. 2 presents the problem formulation. Sec. 3 presents the event-triggered control model. Sec. 4 presents the LoRaWAN communication model. We give the evaluation results in Sec. 5 and end the paper in Sec. 6 with brief concluding remarks.

II. WIRELESS NETWORKED CONTROL SYSTEM PROBLEM FORMULATION

In Fig. 1 we present a diagram of the closed-loop WNCS considered in this paper. The WNCS consists of a large complex physical process (the plant) and the control and management system which are connected via a LPWA communication network. The plant is a continuous-time physical system instrumented with the set of sensors $\{S_1, S_2, \ldots, S_N\}$ and the set of actuators $\{A_1, A_2, \ldots, A_M\}$. We assume that all of the sensors and actuators are collocated on LPWA-enabled end-devices which share same communication channels.

The end-devices communicate with the set of gateways $\{\mathcal{G}_1, \mathcal{G}_2, \ldots, \mathcal{G}_P\}$ when a change in the plant is detected. Both, sensor-to-gateway and gateway-to-actuator communication is achieved in a single-hop fashion via a LPWA network. A single-hop network topology is far more favourable for control-based systems due to its high reliability and low energy cost compared to multi-hop networks. The information exchange between gateways and the controller is achieved via traditional wired communication and thus is instantaneous and reliable. In the rest of this paper the terms the gateway and the controller will be used interchangeably.

To describe the WNCS in Fig. 1 we present two models:

- 1) *Event-Triggered Control Model* A general model for event-triggered control of a linear plant.
- LoRaWAN Communication Model We explain how LoRaWAN works and present its main concepts and parameters.

We use the notion of communication delays and message losses to investigate the feasibility of using LoRaWAN with an event-triggered control system. The LoRaWAN introduces delays and losses as part of the protocol. In an ETC system there is a maximum bound on the delay and data loss for which the system can remain stable and a certain level of performance is guaranteed. In this paper, we check if these bounds can be met by LoRaWAN.

III. EVENT-TRIGGERED CONTROL MODEL

Here we give a model of our event-triggered control scheme as a linear control system of the form:

$$\dot{\xi}(t) = A\xi(t) + Bv(t), \quad \xi(0) = \xi_0$$
 (1)

where $\xi(t) \in \mathbb{R}^n$ denotes the state input from the sensors and $\upsilon(t) \in \mathbb{R}^m$ denotes the control action sent to the actuator at time t. The matrix $A \in \mathbb{R}^{n \times n}$ is the state matrix and $B \in \mathbb{R}^{n \times m}$ is the input matrix.

The system is connected via a communication channel to the controller. In this paper, the communication channel uses LoRaWAN wireless protocol. In a conventional discrete-time state feedback control, the control input v(t) is given by

$$v(t) = K\xi(t_k), \quad \forall t \in [t_k, t_{k+1}]$$
(2)

where t_k , $k \in \mathbb{N}$ are the sampling instants which occur periodically, i.e. $t_k = kh$ for h > 0. The feedback gain matrix is denoted as $K \in \mathbb{R}^{n \times n}$.

In ETC schemes, the sampled state taken from the sensor, $\xi(t_k)$, is used to evaluate a predefined triggering condition at each $t_k = kh$ for $k \in \mathbb{N}, h > 0$. In that way, the controller only updates and sends a control action when the triggering condition is satisfied. We refer to this as to an event and it can be expressed in terms of the measurement error $\epsilon(t_k) = |\xi(t_k) - \xi(t)| \leq \eta$ for $t \in [t_k, t_{k+1}]$ that exceeds the predefined threshold value η . This approach differs from conventional periodic control schemes where events are transmitted regularly regardless of the state of the plant. ETC schemes only transmit an event if one actually occurs. This difference reduces the computational load of the controller and the amount of communication required between the sensors/actuators and the controller. The reduction of computation and communication equate to direct energy savings for the control system. This energy saving aspect is why ETC strategies are actively researched for WNCSs.

In general, the controller is centralized and it works in a sample-and-hold fashion:

$$v(t_k) = \begin{cases} K\xi(t_k), & \text{if } \theta_k = 1\\ K\xi(t_{k-1}), & \text{if } \theta_k = 0 \end{cases}$$
(3)

The indicator function $\theta_k = 1$ indicates that the triggering condition is satisfied, $\theta_k = 0$ indicates that the triggering condition is not satisfied at time t_k . Satisfaction of the triggering condition is based on measurements received from each end-device.

With ETC control schemes in [11] the event-triggering mechanism is distributed to the end-devices such that $j \in \{1, \ldots, N_d\}$, where N_d is the total number of end-devices in the network. End-devices work asynchronously. Only the data of the end-device that measured a threshold violation is sent to the gateway to update the control action in Eq. 3, i.e. if $j \in \{1, \ldots, N_d\}$ and $\theta_{k_j} = 1$, then $\xi(t_k) = [\xi_1(t_{k-1}), \xi_2(t_{k-1}), \ldots, \xi_j(t_k), \ldots, \xi_N(t_{k-1})]^T$. The decentralisation of the event triggering mechanism further increases energy efficiency by reducing the number of events.

There exists a non-zero minimum time that must always elapse after an event and before the next event is triggered. This lower bound on inter-arrival time can be explicitly computed (see [6]). We show later that when an ETC system relies on a LoRaWAN, there is a practical bound imposed by LoRaWAN on the event rate due to the LoRaWAN protocol and the limitations of wireless communication. This practical bounds imposed by LoRaWAN can be abstracted to event delays, control action delays and event losses in the previously discussed ETC implementation. We define these as:

discussed ETC implementation. We define these as: Definition 1: We denote by $\tau_k^{j \to C}$ the event delay of the measurement $\xi(t_k^j)$ of the end-device j to the controller C at time t_k^j . Similarly, $\tau_k^{C \to j}$ is the control action delay of the control action to the end-device j.

control action to the end-device j. Definition 2: We denote by $P_k^{i \to C}$ the number of successive event losses in the transmission of the measurement $\xi(t_k^j)$ of end-device j to the controller C at time t_k^j . A loss of an event message also means the loss of the subsequent control action message as it is dependant on the successful reception of the event message by the controller.

Event or control action delays or losses directly affect the ability of the controller to maintain a stable plant. They cause the absence of control actions. The stability or level of performance of the plant is determined by η , the size of the error that can be tolerated. There is a maximum number of consecutive event losses and a maximum tolerable delay for which the performance of the system can be guaranteed (see [11], [12]). In the next section we discuss LoRaWAN protocol, and its delays and losses.

IV. LORAWAN COMMUNICATION MODEL

In this section we explain how LoRaWAN functions and discuss some of its parameters. We relate its parameters and performance to the event and control action delays and losses discussed in the previous section.

A. Network Architecture and Transmission Parameters

LoRaWAN provides bidirectional communication with an uplink (end-device to gateway) and a downlink (gateway to end-device). LoRaWAN defines three classes of end-devices, classes A, B and C. Class A end-devices only receive downlink communication from the gateway after a successful uplink sent from the end-device to the same gateway. There are two time periods (or windows) that are available to the gateway for downlink transmissions at 1s and 2s. Class B end-devices allow the gateway to schedule downlink communication windows without a prior successful uplink transmission from an end-device. Class C end-devices listen for transmissions from the gateway all the time unless they are transmitting. In this paper, we consider only Class A end-devices. Class A devices are the most energy efficient, and are a perfect fit for ETC because communication from the gateway is always triggered by an uplink transmission from the end-device.

LoRaWAN end-devices transmit uplink packets using an ALOHA-based channel access scheme. The LoRa physical layer uses Chirp Spread Spectrum (CSS) modulation. CSS signals are modulated by pulses that increase or decrease in frequency, or chirps. The number of chirps used to encode each symbol is given by 2^{SF} where SF represents the Spreading Factor that varies between 7 and 12 in increments of 1. SF7 provides a data rate of 5.468kbps, while SF12 provides 0.293kbps. The data rate in LoRa also depends on the channel bandwidth (we use 125kHz) and the code rate (we use 4/5). The trade-off in LoRa is that the higher SF has a lower data rate, but a longer range and is more resilient to interference. Lower SF has a higher data rate, but a shorter range and less resilience.

In LoRa, the use of retransmissions is optional. The use of CSS makes the signals very robust to interference, and it has been experimentally shown that LoRA is very reliable [13]. If retransmissions are used, the number of and timing is at the discretion of the each end-device. In this paper, we assume no use of retransmissions.

B. Round Trip Time Delay of LoRaWAN

Wireless technologies such as IEEE 802.15.4 have transmission times in the range of 10-100ms depending on the payload size [14]. LoRaWAN networks have transmission times from 61ms-2.7s depending on the message size, as it can be seen in Fig. 2. We define the time needed for a message (sensor measurements) to be transmitted from an end-device to the controller (an uplink transmission) as TimeOnAir. Figure 2 shows the TimeOnAir of an uplink transmission using LoRaWAN for a code rate of 4/5, bandwidth of 125kHz, all SF7 through 12 inclusive, and messages sizes of 10, 20, 30, 40, and 50Bytes of payload. The messages sizes are shown without the header. The message header adds 13Bytes regardless of SF. As can be seen from Fig. 2, large SFs increase the TimeOnAir. Large SFs also have an impact on duty cycling (channel availability) as shown later.

Downlink transmissions also use the LoRaWAN protocol. These transmissions contain the control action sent from the controller to the actuators connected to the end-devices as in Fig. 1. With class A end-devices, downlink transmissions are possible only after a successful uplink transmission. We assume that the downlink payload size is the same as the uplink payload size and both have the same TimeOnAir.

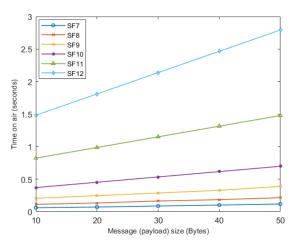


Fig. 2: TimeOnAir of LoRaWAN for a code rate of 4/5 and a bandwidth of 125kHz.

In Def. 1 we defined event delays as $\tau_k^{j \to C}$ and control action delays as $\tau_k^{C \to j}$. These delays are a result of the used SF. They are expressed in our LoRaWAN model as TimeOnAir. Additionally, the LoRaWAN specification [8] introduces a fixed time delay between an uplink and a downlink transmission. This delay is RECEIVE_DELAY and it equals 1s.

We now define Round Trip Time (RTT) as:

$$RTT = 2 \times TimeOnAir + RECEIVE_DELAY.$$
 (4)

From Eq. 4 and Fig. 2 it can be seen that the RTT delay of a message sent from the end-device to the controller, and the response from the controller back to the end-device using LoRaWAN ranges from 1.12s to 6.59s. We do not include controller processing time in RTT because RECEIVE_DELAY is almost certainly larger than the processing time. An important observation to make is that RTT bounds the rate at which events can be reported from the sensor to the controller. An event that occurs less than RTT after a previous event will be dropped due to the fact that the end-device will be awaiting a reply from the controller.

C. Duty Cycle Limitation of LoRaWAN

LoRaWAN operates in the unlicensed frequency band 863-870MHz in Europe (where this study was conducted). European regulations impose duty cycles on users of this band to ensure fair usage. When a LoRa end-device transmits a message, it can not use the same channel for the length of its duty cycle. The duty cycle (DutyCycle) varies from 0.1% to 10% usage time per node per channel. For example, if an enddevice spends 0.5s transmitting a message on a channel that specifies a 1% duty cycle, that channel will be unavailable to the sending node for next 49.5s. Different channels can specify different duty cycles, as long as they remain within the regulated duty cycle specifications.

We define the metric Blackout Period (BP) as

$$BP = \frac{\text{TimeOnAir}}{\text{DutyCycle}} - \text{TimeOnAir}$$
(5)

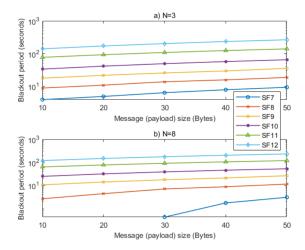


Fig. 3: Blackout Period of LoRaWAN for a) N = 3 channels and b) N = 8 channels, a code rate of 4/5 and a bandwidth of 125kHz (the y-axis uses logarithmic scale).

Blackout Period, BP, is the time for which an end-device cannot access a channel after it has sent a message due to duty cycle restriction.

We now relate $P_k^{i \to C}$, the event losses defined in Def. 2 of our ETC model, to the Blackout Period. The cause of the BP, and therefore event losses, is the LoRaWAN duty cycle.

An end-device might have N channels available which decreases the blackout time. For example, if the end-device can transmit on 3 channels instead of one, each individual channel is still occupied for 1%. However, the device is now transmitting for 1% of time units in each channel, giving it a duty cycle of 3% which reduces BP in Eq. 5. However, Eq. 4 introduces the bound on minimum inter-arrival time of two consecutive messages that has to be taken into account. Therefore, the BP for an end-device that has the access to N channels is given by

$$BP_{N} = BP - (N - 1) \times RTT.$$
(6)

According to the LoRaWAN specification [8] a minimum of 3 channels must be available to all end-devices. An individual network may provide more channels. For example, The Things Network [15] allocates 8 channels with 1% duty cycle to each end-device.

We now examine the affect of multiple channels on the length of the BP. Figure 3, part a) shows the BP of LoRaWAN end-device when 3 channels are available for various SFs and message sizes. We can see that the BP varies from 3.8s to 236.4s when an end-device has the access to only 3 channels. When the number of channels is increased to 8, in Fig. 3, part b) and a 1% duty cycle is still maintained, the BP completely disappears for SF7 payloads of 30Bytes and less. It is interesting to observe that the BP does not disappear for the other SFs. SF10, SF11, and SF12 only show a minimal improvement. This shows that BPs can be reduced by adding more channels, but that it is difficult to remove them completely, and that they get worse as the range and robustness of the links improve.

In the next section we will see the impact of the RTTs and the BPs on the ability of ETC control schemes to maintain system stability and performance levels.

V. EVALUATION

In this section, we present a simulation based experiment to illustrate the feasibility of using LoRaWAN with an ETC scheme to maintain the stability of a WNCS and guarantee a certain level of performance. First, we present a dynamical model with an asynchronous ETC scheme. The example is motivated by a real-life water network that can be characterised as a slow-rate physical process. Then, using projected Round Trip Time delays and Blackout Periods of data loss from Fig. 2 and Fig. 3 we show that LoRaWAN is capable of meeting the system's performance goal for certain scenarios. Finally, we characterise the limitations of using LoRaWAN protocol if the application requirements increase or if the system is exposed to external disturbances.

A. Motivating Example

Consider a hybrid linear model in the form of Eq. 1 that represents a water distribution network. The state input vector $\xi(t) = [\xi_1(t) \ \xi_2(t) \ \xi_3(t)]^T$ represents the water levels in three different tanks. Each tank is equipped with an end-device (sensor and actuator). The model can work in two different modes for which the state matrix A is given as a zero matrix, i.e. $A_w = A_p = O_{3\times 3}$, and the input matrix B is given as

$$B_w = 10^{-5} \times \begin{bmatrix} 0.1436 & -0.0170 & -0.0164 \\ -0.0098 & 0.1060 & -0.0100 \\ -0.0139 & -0.0139 & 0.1492 \end{bmatrix},$$

$$B_p = 10^{-5} \times \begin{bmatrix} 0.7666 & -0.0493 & -0.0457 \\ -0.0274 & 0.5848 & -0.0279 \\ -0.0393 & -0.0432 & 0.1492 \end{bmatrix}.$$

The control law in Eq. 2 works in a sample-and-hold fashion with the feedback gain matrix K given as

	99950	3029	872	
$K_w =$	-3014	99940	-1679	,
	-922	1652	99982	
	_		-	_
	9998.5	167.1	41.0	
$K_p =$	-166.6	9997.9	-116.0	.
	-43.0	115.3	9999.2	
	L			_

The 'weak mode' is represented by (A_w, B_w, K_w) and it simulates low water demand that a water network would experience during the night when only the assistant pump is on. The 'powerful mode' is represented by (A_p, B_p, K_p) and it simulates high water demand during the day when the powerful pump is enabled.

The model uses asynchronous ETC given in Sec. III. The switching from powerful to weak mode is triggered by a function that maps actuator saturation and quantization to represent the degree to which the node's valve is open: $|S(-K_p\xi(t) + \alpha_p^{in})|_1 < 180^\circ$. The valves themselves are discrete, and open and close in steps of 10° . The term $|\cdot|_1$ is L¹-norm, or sum of the entries, of the resulting vector, and α_p^{in} denotes the degree that the in-valves are open at equilibrium while in the powerful mode. The switching from

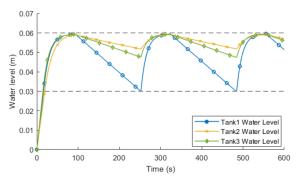


Fig. 4: The system response under normal operating conditions (no delays nor event losses).

weak to powerful mode is triggered by $\xi_j(t) \leq h_{l_j}$ where h_{l_j} is minimum water level for end-device j and equals 0.03m. More details on the model can be found in [16].

The system response under normal operating conditions is given in Fig. 4. It takes on average 100 seconds for the system to reach the steady-state value and continues operating within the safe bounds (in our case between 0.03m and 0.06m).

B. System Performance Analysis when using LoRaWAN

We simulate the system for 600s using a sampling rate of 1ms. Each end-device is allocated N = 3 LoRaWAN channels with 1% duty cycle restriction. Our goal is to record overshoots and undershoots due to delays and message losses caused by LoRaWAN limitations. We measure this through the *deviation metric* which indicates the maximum system's deviation from the safe operating conditions which is critical for water networks. The behaviour of our system is understood and bounded. Its acceptable deviation is up to $\pm 15\%$.

We consider two cases:

1) Disturbance-Free System - We assume an ideal case when there is no disturbance to the system. We investigate the maximum system deviation for all spreading factors (from 7 to 12) and various message sizes (from 10Bytes to 50Bytes in increments of 10). The results are presented in Fig. 5. We present the results for the tank 1 only as it is the smallest size tank and therefore the most sensitive to state changes for identical control input. As it can be observed from Fig. 5, for low SFs and message sizes the system is well within the bounds. This is due to low RTTs and BPs as demonstrated in Sec. IV.

2) System with Disturbance - We model disturbance as a step impulse in the water level state of the first tank, $\xi_1(t)$. The magnitude of disturbance is equivalent to an increase in demand of 30% at time t or to a leak due to a pipe burst. We vary the duration of the disturbance from 1s to 10s. The results are presented in Table. I. These show that for severe disturbances (duration larger that 5s), there is a strict bound on SFs and message sizes that guarantee system performance within desired levels. To reject a disturbance, measurements need to be transmitted to the controller in shorter time. However, long BPs caused by LoRaWAN prevent reaction of the system at a rate that can keep it stable.

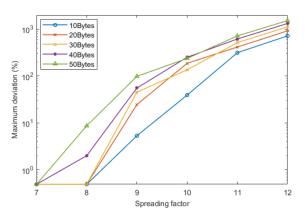


Fig. 5: Maximum deviation of water level in the tank 1 when the communication is supported by LoRaWAN with N = 3channels (y-axis uses logarithmic scale).

TABLE I: Maximum deviation of water level (tank 1) given in % when there is an external step disturbance of 0.01m (N = 3 channels, SF8)

Disturbance Duration	1s	5s	10s
10Bytes	0.00	8.67	16.33
20Bytes	1.67	9.23	18.00
30Bytes	0.67	10.33	18.00
40Bytes	0.67	10.33	22.67
50Bytes	10.73	16.87	28.80

With this example we illustrated that LoRaWAN is able to support the classes of applications where the underlying phenomenon changes at a slow rate. The mode switching happens between every 50s and 150s. LoRaWAN in such control system handles packet sizes of up to 50Bytes for SF7 and SF8 without affecting the system's performance and stability, as well as the smallest packet size of up to 10Bytes for SF7, SF8 and SF9. LoRaWAN can be used for systems that are resilient to blackout period s and delays of up to 10s. These limits become more conservative when the system is affected by significant disturbances. LoRaWAN protocol does not provide flexibility of sending time-critical data if there is a duty cycle restriction in place at that moment.

VI. CONCLUSIONS

In this paper we created a model of a system using an ETC controller, and a model of the LoRaWAN LPWA protocol. We discussed the causes of delays and message losses in the LoRaWAN protocol, and how these affect the stability and performance of the ETC controlled system. Our results demonstrated the feasibility of the LoRaWAN protocol for certain application scenarios and network parameter settings. We also identified the limitations of LoRaWAN that prevent its wide adoptio n for use in certain classes of control-based application scenarios.

This paper represents a step forward in addressing the communication and control systems co-design problem for longrange control over wireless channel. It is an ongoing process and there is no single widely accepted methodology to address the development of protocol stacks suitable for WNCSs. We showed that the current specification of LoRaWAN can be considered as suitable for slow-changing physical processes where the data transmission requirements are not high. We will continue to address the coexistence of LPWA protocols and ETC control by focusing on n end-devices sharing the same set of channels. We will also investigate the possibility of having priority slots, so even if there is a duty cycle restriction in place there is a way to report a possible failure due to the disturbance. Additionally, we will exploit the possibility of using 'Listen before Talk' feature of LoRa where by listening to the channel and sending if free the duty cycle restriction can be bypassed.

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