A Metalogic Programming Approach to Multi-Agent Knowledge and Belief

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Abstract

We investigate, within a logic programming framework, the use of the provability predicate demo(A, P) to represent that an agent named A believes a sentence named P. This use of the provability predicate extends its more usual metaprogramming applications and motivates its use within an amalgamation of object language and metalanguage. We study the application of the amalgamated logic programming language to the wise man problem in detail.

1 Introduction

Metalogic is used in logic programming to implement metaprograms, which manipulate other programs, databases, knowledge bases, or axiomatic theories as data. Typical applications include program transformers, expert system shells, and knowledge assimilators. For many of these applications it is useful to employ a metapredicate demo(T, P), which holds when the sentence named P can be proved (or demonstrated) from the theory named T. For such applications it is usually adequate to implement systems in which the object level theory is separated from the metalevel theory.

The metalevel provability predicate can also be used to represent such modalities as necessity and belief [Kon82], [Per88], [Smu88]. Thus demo(A, P) might represent that the agent named A believes the sentence named P, in

the sense that the theory (also named A) constituting the agent's explicitly held beliefs can be used to demonstrate the conclusion named P. For these purposes, an amalgamation [Kow79, BK82] which combines object language and metalanguage in a single language is necessary to obtain the naturalness of expression which is possible in modal logic.

In this paper we investigate how the simple model of logic programming can be extended by the amalgamation of object language and metalanguage to make it more useful for representing knowledge and belief in multi-agent domains. We shall investigate this extension in the context of a representation and solution of the wise man problem, which has been advanced by John McCarthy [MSHI78] as a benchmark for testing the expressive power and naturalness of knowledge representation formalisms. A simple version of this problem is this:

A king, wishing to determine which of his three wise men is the wisest, puts a white spot on each of their foreheads, and tells them that at least one of the spots is white. The king arranges the wise men in a circle so that they can see and hear each other (but cannot see their own spot) and asks each wise man in turn what is the colour of his spot. The first two say that they don't know, and the third says that his spot is white.

The problem is to explain how the third wise man reaches his conclusion.

We will present a solution which uses a logic programming amalgamation of object language and metalanguage. In this respect our solution resembles that of Coscia et al. [CFL88], but differs from it in our explicit use of "demo" to represent belief. Our solution is similar to modal logic solutions using common knowledge. Following the approach taken by McCarthy et al. [MSHI78], we treat common knowledge as the knowledge possessed by any "fool" and therefore by any agent. The solution presented in this paper is a simplified and improved version of the one presented in an earlier paper [KK90].

2 A logic programming implementation of the provability predicate for propositional Horn clause logic

The simplest metainterpreter is one for an object language consisting of propositional Horn clause logic:

$$[MP] \quad demo(T,P) \qquad \qquad if \qquad demo(T,(P \ if \ Q)) \\ and \quad demo(T,Q) \\ [Conj] \quad demo(T,(P \ and \ Q)) \quad if \qquad demo(T,P) \\ and \quad demo(T,Q) \\ \end{cases}$$

These two clauses of a metalogic program express that every theory T is closed under $modus\ ponens$ and the rule of conjunction.

In fact the conjunction rule is not strictly necessary because object level rules of the form

can be written instead as

In this case the rule MP can perform the same function as the rule Conj. The rule MP is similar to the axiom schema of distribution in modal logic

$$(\square P \ if \ \square \ (P \ if \ Q)) \ if \ \square \ Q$$

where "

" is the necessity operator.

It is usual in metalogic to distinguish between object level formulae, such as P if Q, and terms which name object level formulae and which can appear therefore as arguments of metapredicates. However, this distinction has generally been ignored in most practical metaprogramming applications. It seems that this practice of metaprogramming can be justified by the semantics developed by Barry Richards [Ric74], in which sentences name themselves. The context in which a formula syntactically appears distinguishes its use as a term from its use as a formula. We shall use this simplification throughout this paper.

To be used in practice, the rules MP and Conj would need to be augmented by rules describing what axioms belong to what theories. This can be done most simply by treating theories as conjunctions, and conjunctions as lists, writing

$$A_1$$
 and A_2 and ... and A_n

as shorthand for

$$A_1$$
 and $(A_2$ and $(\ldots$ and $(A_n$ and $true)\ldots)).$

The rules are similar to those defining membership for lists:

$$\begin{array}{lll} [Memb1] & demo((P \ and \ Q), P) \\ [Memb2] & demo((P \ and \ Q), R) & if \quad demo(Q, R) \end{array}$$

Using these two clauses together with MP and Conj, the metalevel can simulate object level reasoning. For example, the metalevel execution sequence

```
? demo(((p \ if \ q) \ and \ q), p)
? demo(((p \ if \ q) \ and \ q), (p \ if \ Q)) \ and
demo(((p \ if \ q) \ and \ q), Q) by MP
? demo(((p \ if \ q) \ and \ q), q) by Memb1
? demo((q), q) by Memb2
yes by Memb1
```

using the metalevel clauses pr which define the demo predicate, simulates the object level execution

$$egin{array}{lll} ? & p & & & & \\ ? & q & by \ p \ if \ q & & \\ & yes & by \ q & & \end{array}$$

using the object level theory.

Notice that metalevel reasoning is more powerful than object level reasoning. For example, it can generate answers for such queries as

?
$$demo(((p if q) and q), X)$$

 yes $X = p if q$
 $X = q$
 $X = p$

?
$$demo(((p \ if \ q) \ and \ X), p)$$
 yes $X = p$ $X = q$.

3 Reflection rules

In the case of a propositional Horn clause object language, the metalevel program pr can completely simulate object level provability. This completeness property of pr justifies the reflection principle

$$[RP1] \qquad \frac{T \vdash P}{pr \vdash demo(T,P)}$$

Used as a rule of inference, RP1 is redundant if pr is complete. Nonetheless, its use can improve efficiency by replacing indirect metalevel reasoning by more direct object level reasoning. In cases where pr might not be complete, RP1 can be used as an inexpensive way to "complete" the procedures for computing demo. RP1 is the analogue of the rule of necessitation in modal logic:

$$\frac{P}{\Box P}$$

The definition pr correctly defines object level provability. This correctness property justifies the second reflection principle

$$[RP2] \qquad \frac{pr \vdash demo(T, P)}{T \vdash P}$$

This rule is redundant if pr is correct. Nonetheless, it too can be useful in practice, for example by facilitating the use of lemmas L stored by means of metalevel statements of the form

$$demo(T, L)$$
.

Such metalevel recording of lemmas, both for the sake of efficiency and to facilitate theory revision, is a major concern of truth maintenance systems. RP2 is analogous to, but weaker than, the modal necessitation axiom schema

$$P$$
 if $\square P$.

The two reflection principles were first introduced by Weyhrauch [Wey80] and were a feature of the amalgamation logic developed by Bowen and Kowalski [BK82]. They constitute the sole mechanisms for linking object level and metalevel reasoning in the Reflective Prolog language of Costatini and Lanzarone [CL89]. The first reflection principle, also called attachment, plays an essential role in Konolige's modal deduction model of belief [Kon85], [Kon86].

4 Extensions of the definition of the demo predicate

The provability predicate for any logic defined by means of a finite set of axioms and inference rules can be defined by means of a Horn clause metaprogram. Suppose for example that a logic L has axioms

$$ax_1, ax_2, \ldots, ax_n$$

and inference rules of the form

$$\frac{C_1, C_2, \dots, C_m}{C}$$

then the proof predicate for L can be defined by means of a metaprogram of the form

```
demo_L(T, ax_1)
demo_L(T, ax_2)
\vdots
demo_L(T, ax_n)
demo_L(T, C) if demo_L(T, C_1)
and demo_L(T, C_2)
\cdots
and demo_L(T, C_m)
```

together with Memb1 and Memb2. Thus provability in any logic can be reduced to provability in Horn clause logic.

For the formalisation and solution of the wise man problem, it is necessary to extend the object level logic to include disjunction and negation. The necessary rules include

Although in theory it is not necessary to go outside Horn clause metalogic, in practice it is often useful, as it is for example in the case of defining object level negation as negation by failure:

Unfortunately, although this definition is useful for many applications, it is not appropriate when *demo* is interpreted as belief. Given, for example, an assumption that a person is agnostic, it would be possible to conclude using this definition, that that person is an atheist.

What is acceptable, however, both in the case of metainterpreters and in the case of belief, is to hold that an agent believes not P if it does not believe P, but has complete information about P, i.e.

$$[Comp1] \quad demo(T, not \ P) \quad if \quad \quad not \ demo(T, P) \\ \quad \quad and \quad \quad complete(T, P)$$

In fact, this rule and its contrapositive

$$[Comp2]$$
 $demo(T, P)$ if $not\ demo(T, not\ P)$ and $complete(T, P)$

are both trivial consequences of the more general specification, which, however, goes beyond logic programming form:

$$[Comp]$$
 $complete(T, P)$ iff $[demo(T, P) \ or \ demo(T, not \ P)]$

In the solution of the wise man problem, given in section 8, it is necessary to reason that because the first wise man has complete knowledge of the colour of the second wise man's spot (i.e. white2) and complete knowledge of the colour of the third wise man's spot (i.e. white3), he also has complete knowledge of the disjunction

The necessary reasoning can be carried out by means of the rule

$$[Comp3] \quad complete(T,(P \ or \ Q)) \quad \ if \quad \ complete(T,P) \\ \quad \quad and \quad \ complete(T,Q)$$

This property can be derived from the specification Comp together with the rules Disj1 and Disj2.

The solution of the wise man problem requires the use of Disj3 in its contrapositive form

$$\begin{array}{ccc} not \; demo(T, not \; P) & if & \; demo(T, (P \; or \; Q)) \\ & & and & \; not \; demo(T, Q) \end{array}$$

Such use of contrapositives, however, is not possible within currently available logic programming systems. Fortunately, all such uses of the contrapositive are used together with the clause *Comp*2, to eliminate the literal

$$not\ demo(T, not\ P).$$

Consequently the effect of using the two clauses can be achieved by using their resolvent instead:

$$\begin{array}{cccc} [Reason] & demo(T,P) & if & demo(T,P \ or \ Q) \\ & & and & not \ demo(T,Q) \\ & & and & complete(T,P) \end{array}$$

The term "Reason" is borrowed from Aiello et al. [ANS88, ANS89].

In the solution of the problem the clause *Reason* is used twice. First it is used to reason that, because the first wise man knows (or believes) that at least one of the spots is white (i.e. white1 or white2 or white3), and because he does not know that his own spot is white, and because he has complete information about the colour of the other spots, therefore he knows that at least one of the other spots is white (i.e. white2 or white3).

It is also used a second time to reason that because the second wise man also knows white 2 or white 3, and because he does not know that his own spot is white, and because he has complete knowledge about the colour of the other spot, therefore he knows that the third wise man's spot is white.

5 Belief, knowledge, and confidence

In modal logic, the necessitation axiom schema

$$P if \square P$$

is what distinguishes the interpretation of "\sum" as knowledge from its interpretation as belief. In metalogic, the analogous axiom schema

$$[Conf]$$
 P if $demo(T,P)$ and $agent(T)$

can be interpreted as an expression of confidence in the agent named T. Here the same term T is used both as the name of an agent and as a name of that agent's beliefs.

In the solution of the wise man problem, the confidence schema is used twice. First it is used to derive the conclusion

white2 or white3

from the previously derived conclusion (using Reason) that the first wise man believes white 2 or white 3. It is also used to derive the conclusion

white3

from the conclusion (also obtained by using *Reason*) that the second wise man believes *white*3. In both cases the confidence schema justifies interpreting the beliefs of the two wise men as knowledge rather than as mere belief.

6 Constants as names of theories

In cases where *demo* means belief, it is not generally possible to identify explicitly all the axioms belonging to the theory which constitutes an agent's beliefs. It is possible, however, to use constants as names of theories instead, and to assert by means of meta-axioms what object level axioms can be proved from the object level theory. Thus, for example, we could use the meta-axioms

- [t1] $demo(t, p \ if \ q)$
- [t2] demo(t,q)

to define what axioms belong to the object level theory

using the constant t as a name of the theory. This use of constants, to name theories and of meta-axioms like t1 and t2 to perform the same function as Memb1 and Memb2, is in the spirit of McCarthy's notion of abstract syntax [McC63]. In the same way that Memb1 and Memb2 belong to the definition pr of demo, so too should t1 and t2.

In the wise man puzzle, we will use the constants

$$wise 1, wise 2, wise 3$$

both as names of theories and as names of the wise men themselves. For the sake of simplicity, we assume that the constant wisei names the state of the i-th wise man just before he answers the king's question. In a more precise representation we could represent the state of a theory as a pair (wi, s) consisting of an invariant name wi for the theory and a name s for the state.

Because it is virtually impossible to have complete knowledge of all an agent's beliefs, it is not appropriate to use negation as failure to prove conditions of the form

$not\ demo(A, P)$

when A is a constant which names the agent's beliefs. However, conditions of that form occur in the *Reason* axiom. They can be proved by using negative assertions which are given as part of the input. In the wise man puzzle the statements that the first and the second wise men do not know the colours of their spots is just this kind of input:

not demo(wise1, white1) not demo(wise1, not white1) not demo(wise2, white2) not demo(wise2, not white2)

The use of such negative assertions to prove negative conditions requires a trivial extension of the usual logic programming execution strategies. It is implemented in the Prolog solution by introducing a new predicate symbol not_demo and by replacing negative literals of the form not demo(T, P) by positive literals of the form $not_demo(T, P)$.

7 Common knowledge

The solution of the wise man puzzle, which is presented formally in section 8, is presented from the third wise man's point of view, i.e. using the theory wise3. Perhaps the trickiest part of the solution is to formalise how the third wise man concludes that the second wise man knows white2 or white3. Does wise3 simulate the reasoning of wise2? Or does wise3 first reason for himself that white2 or white3, and then conclude somehow or other that wise2 must also know white2 or white3?

Our solution to this problem, like several others ([Kon82], [Kon85]), is based on the concept of common knowledge. The third wise man reasons that it is common knowledge that white 2 or white 3. Therefore both he and wise 2 know white 2 or white 3. This solution can be viewed as an elegant combination of the two alternative solutions mentioned above.

Following McCarthy *et al.* [MSHI78], we represent common knowledge by means of a common theory *wise*0, which is a subtheory of every agent, i.e.

```
[Common1] \quad demo(T,P) \quad if \quad demo(wise0,P) \\ \quad and \quad agent(T)
```

In the wise man puzzle, the statements made by the king and by each of the wise men are all common knowledge. It is also common knowledge that each wise man has complete information about the colour of every other wise man's spot, and that each wise man is an intelligent agent. Therefore the following "facts" all belong to the theory of every agent:

```
demo(wise0, complete(wise1, white2))
(F1)
(F2)
       demo(wise0, complete(wise1, white3))
(F3)
       demo(wise0, complete(wise2, white1))
       demo(wise0, complete(wise2, white3))
(F4)
       demo(wise0, complete(wise3, white1))
(F5)
       demo(wise0, complete(wise3, white2))
(F6)
(F7)
       demo(wise0, white1 or white2 or white3)
       demo(wise0, not demo(wise1, white1))
(F8)
       demo(wise0, not demo(wise1, not white1))
(F9)
       demo(wise0, not demo(wise2, white2))
(F10)
```

demo(wise0, not demo(wise2, not white2)) (F11)

demo(wise0, agent(wise1))(F12)

demo(wise0, agent(wise2))(F13)

demo(wise0, agent(wise3))(F14)

Every common knowledge belief P is held by every agent wisei in the form of a metalevel statement demo(wise0, P). Given the additional assumption

```
[Common2] agent(wise0)
```

then by confidence wisei can also hold P as an object level belief.

It is a characteristic property of common knowledge, not only that all agents know it, but that all agents know that all agents know it, and that all agents know that all agents know that all agents know it, etc. This property can be captured quite simply by the axiom

```
[Common3] demo(wise0, (demo(T, P) if P and agent(T)))
```

This axiom is more powerful, and also more useful, than the usual common knowledge axiom:

```
demo(wise0, P)
demo(wise0, demo(T, P))
                                demo(wise0, agent(T))
                         and
```

which can be derived from Common3 by one application each of MP and Coni.

As we shall see in the proof below, Common3 is especially useful when using the attachment rule RP1 to construct and reason within an object level representation of the common theory wise0. Common3 can be used in this context simply to add the axiom schema

$$demo(T, P)$$
 if P and $agent(T)$

to the object level representation. In contrast the usual axiom would need to be applied an infinite number of times to add a clause of the form

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[Common 3] on page 241 is too strong. The weaker axiom

```
demo (wise0, demo (T, P)) if demo (wise0, P) and demo (wise0, agent (T))
```

needs to be used instead. This makes the proof on pages 242-243 more complicated. Either the first part of the proof needs to be carried out at the metalevel without using reflection, or else a more complicated form of reflection needs to be employed where the effect of the weaker axiom is obtained by an inference rule

```
P, agent (T)
demo (T, P)
```

which is restricted for use within the object level representation of wise0.

To see that the stronger axiom [common 3] is incorrect, consider what follows from the general assumptions

[Conf] P if demo (T, P) and agent (T) [Common 3] demo (wise0, demo (T,P) if P and agent (T)) [Common 2] agent (wise0)

and the domain-specific knowledge of wise 3

- (1) white2
- (2) demo (wise0, agent (wise2))

From these wise3 can derive

(3) demo (T, P) if P and agent (T)
(4) agent (wise2)
(5) demo (wise2, white2)
which is incorrect!
by [Conf, Common 2, Common 3]
by [Conf, (2), Common 2]
by [(1), (3), (4)]

Clearly the problem lies with the derivation of (3) using [Common 3].

demo(T, P)

whenever the object level representation contains clauses of the form

P and agent(T).

In addition to its ability to perform metalevel reasoning, an important characteristic of an intelligent agent is its ability to recognise that every other agent can also perform metalevel reasoning, etc. This property can be captured simply by assuming that the confidence axiom and the axioms pr that define provability are all common knowledge. In fact, in the proof below it is necessary to use only the assumptions that Reason, Conf, Common3 and Comp3 belong to wise0.

8 The proof

The following proof is presented from the point of view of the third wise man, using the axioms wise3. These axioms contain the purely object level knowledge

 $white 1 \\ white 2$

but these are not necessary for the proof. Although the proof is presented in a forward direction, it is generated backward in the Prolog implementation.

The proof has two main parts. In the first part, wise3 reasons that white2 or white3 is common knowledge. This reasoning could be performed entirely within the theory wise3 by simulating wise0. However, it is simpler and potentially more efficient to use the attachment rule to reason directly within an object language representation of wise0. The object level representation is constructed by collecting all sentences of the form

demo(wise0,P)

in wise3 and for each such sentence adding

 \boldsymbol{P}

to the object level representation of wise0. It is then possible to reason within this representation:

(1)	white1 or white2 or white3	by F7
(2)	agent(wise1)	by F12
	demo(wise1, white1 or white2 or white3)	$by\ (1), (2), Common3$
	$not\ demo(wise1, white1)$	by F8
(5)	complete(wise1, white2)	$by \ F1$
(6)	complete(wise1, white3)	$by \ F2$
(7)	complete(wise1, white2 or white3)	by (5), (6), Comp3
	demo(wise1, white2 or white3)	by(3), (4), (7), Reason
(9)	white2 or white3	$by\ (2), (8), Conf$

Having proved white 2 or white 3 from the object level representation of wise 0, it is then possible for wise 3 to reason by attachment that

demo(wise0, white2 or white3).

This provides the starting point for the second part of the proof, where wise3 reasons for himself that wise2 knows white3, and therefore that white3 is true:

(10)	$demo(wise0, white2 \ or \ white3)$	by~(9), RP1
(11)	agent(wise2)	$by\ F13, Common2, Conf$
(12)	demo(wise2, white2 or white3)	$by\ (10), (11), Common1$
(13)	$not\ demo(wise2, white2)$	$by\ F10, Common2, Conf$
(14)	complete(wise2, white3)	$by \ F4, Common 2, Conf$
(15)	demo(wise2, white3)	$by\ (12), (13), (14), Reason$
(16)	white 3	$by\ (11), (15), Conf$

9 Conclusion

The example of the wise man problem shows that the metareasoning capabilities of logic programming can be extended to formalise reasoning about knowledge and belief. The resulting extension combines the naturalness of modal logic, with the power of metalogic and simplicity and efficiency of logic programming.

The extension of the *demo* predicate to represent knowledge and belief is greatly facilitated by the amalgamation of object language and metalanguage embodied in such axioms as *Common*3 and such axiom schemas as *Conf*. As can be seen, for example, from the work of Coscia *et al.* [CFL88], such amalgamation also has practical value in the implementation of object-oriented systems. A schema such as

P if demo(Ob1, P)

within a theory Ob2, for example, allows Ob2 to inherit all the beliefs of Ob1.

In most conventional applications of metaprogramming it is usual for the first argument T of the demo predicate to be a term which has the same structure as the theory it names. This has the limitation that the metaprogram then needs to have complete information about the contents of that theory. This is not generally possible when demo represents knowledge or belief. In such cases it is possible instead to name theories by constants or other structurally dissimilar terms. It seems likely that such structurally dissimilar terms can be used in a similar way for other more practical applications.

The amalgamation logic used in this paper has an alternative interpretation as a modal logic similar to Konolige's deduction model of belief [Kon86]. It shares with his modal logic the characteristic that the arguments of demo denote sentences rather than propositions, as they do in most modal languages. We prefer, however, the interpretation of the amalgamation logic as a metalogic, with the simplifying features of Richards' semantics [Ric74], whereby sentences name themselves.

It is well known from the work of Montague [Mon63] and Thomason [Tho80] that simply treating modal operators as metapredicates can lead to inconsistencies. It has been shown, however, by Perlis [Per88] and by des Rivières and Levesque [dRJL86] that it is possible to extend modal logic or to restrict metalogic so that the two approaches have similar consistency properties.

Another correspondence between modal logic and an amalgamation of object language and metalanguage has been studied by such logicians as Boolos [Boo79] and Smorynski [Smo85]. In their case the amalgamation logic studied is first-order arithmetic, in which Gödel numbers name arithmetic sentences and other syntactic expressions. It was in fact the example of arithmetic which was the original guide for the development of the amalgamation logic of Bowen and Kowalski [BK82] used in this paper. Perhaps it is along these same lines that a more rigorous semantics for the amalgamation logic will be developed in the future.

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