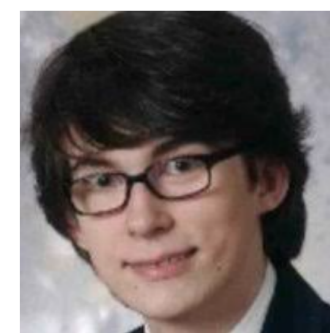


Holistic Specifications for Robust Code

Sophia Drossopoulou
Imperial College London

based on prior work with James Noble (VU Wellington),
Toby Murray (Uni Melbourne) , Mark Miller (Agorics),
and Susan Eisenbach, Shupeng Loh and Emil Klasan (Imperial)



Today

- Traditional Specifications do not adequately address Robustness
- Holistic Specifications — Summary and by Example
- Holistic Specification Semantics

Today

- **Traditional Specifications do not adequately address Robustness**
- Holistic Specifications — Summary and Examples
- Holistic Specification Semantics

Traditional Specification Languages do not adequately address robustness considerations

Traditional Specs

- designed for *closed* world
- pre- and post condition for each function; thus give *sufficient* conditions for some action/effect
- *explicit* about each individual function, and *implicit* about emergent behaviour

Robustness considerations

- concerned with *open* world
- *necessary* conditions for some action/effect
- *explicit* about emergent behaviour

Today

- Traditional Specifications do not adequately address Robustness
- **Holistic Specifications – Summary Examples**
- Holistic Specification Semantics

Holistic Assertions — summary

$e ::= \text{this} \mid x \mid e.\text{fld} \mid \dots$

$A ::= e > e \mid e = e \mid \dots$
 $\mid A \rightarrow A \mid A \wedge A \mid \exists x. A \mid \dots$

\mid **Access**(e, e') permission

\mid **Changes**(e) authority

\mid **Will**(A) \mid **Was**(A) time

\mid **A in S** space

\mid $x.$ **Calls**(y, m, z_1, \dots, z_n) control

\mid x **obeys** A trust

Holistic Assertions — examples

- ERC20
- DAO
- DOM attenuation
- Bank & Account
- Escrow

Example1: ERC20

a popular standard for initial coin offerings. (https://theethereum.wiki/w/index.php/ERC20_Token_Standard); allows clients to buy and transfer tokens, and to designate other clients to transfer on their behalf.

In particular, a client may call

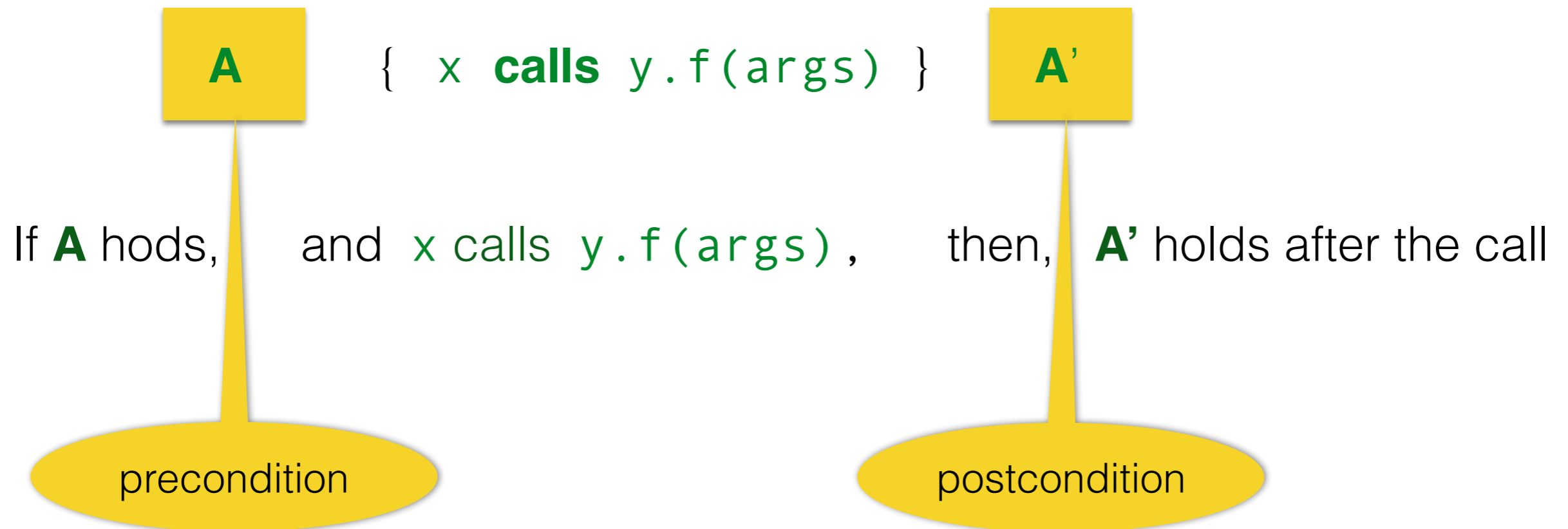
- `transfer`: transfer some of her tokens to another clients,
- `allow`: authorise another client to transfer some of her tokens on her behalf.
- `transferFrom`: cause another client's tokens to be transferred

Moreover, ERC20 keeps for each client

- `balance` the number of tokens she owns

classical specs - Hoare triples

Hoare triples



ERC20 classical spec - transfer

For any ERC20 contract e , and different clients $c1, c2$.

$c1$'s balance is larger than m .

$\{ c1 \text{ calls } e.\text{transfer}(c2, m) \}$

$c1$'s balance decreases by m , and $c2$'s balance increases by m .

$e:\text{ERC20} \wedge \text{this} = c1 \neq c2 \wedge e.\text{balance}(c1) > m$

$\{ e.\text{transfer}(c2, m) \}$

$e.\text{balance}(c1) = e.\text{balance}(c1)_{\text{pre}} - m \wedge e.\text{balance}(c2) = e.\text{balance}(c2)_{\text{pre}} + m$

precondition condition

postcondition

ERC20 classical spec - transfer - 2

What if $c1$'s balance not large enough?

$$\begin{aligned} & e:\text{ERC20} \wedge \text{this} = c \wedge e,\text{balance}(c1) < m \\ & \quad \{ \quad e.\text{transfer}(c2, m) \quad \} \\ & \forall c. e,\text{balance}(c) = e,\text{balance}(c)_{\text{pre}} \end{aligned}$$

ERC20 classic spec - authorised transfer

For any ERC20 contract e , and different clients $c1, c2, c3$.

$c1$ is authorised to spend at least m on $c2$'s behalf and

$c2$'s balance is at least m

$\{ \text{c1 calls } e.\text{transferFrom}(c2, c3, m) \}$

$c2$'s balance decreases by m , and $c3$'s balance increases by m .

$e:\text{ERC20} \wedge \text{this} = c1 \neq c2 \neq c3 \neq c1 \wedge$
 $e.\text{Authorized}(c1, c2, m') \wedge m' \geq m$
 $e.\text{balance}(c1) \geq m$

$\{ e.\text{transferFrom}(c2, c3, m) \}$

$e.\text{balance}(c1) = e.\text{balance}(c1)_{\text{pre}} - m \wedge$

$e.\text{balance}(c2) = e.\text{balance}(c2)_{\text{pre}} + m \wedge$

$e.\text{Authorized}(c1, c2, m' - m)$

ERC20 classic spec - authorised transfer - 2

What if $c1$ is not authorised, or $c1$'s authorisation is insufficient, or $c2$ has insufficient tokens?

$$\begin{aligned} & e:\text{ERC20} \wedge \text{this} = c1 \neq c2 \neq c3 \neq c1 \wedge \\ & (\neg e.\text{Authorized}(c1, c2, m) \\ & \quad \vee e.\text{Authorized}(c1, c2, m') \wedge m' < m \\ & \quad \vee e.\text{balance}(c1) < m) \\ & \quad \{ e.\text{transferFrom}(c1', m) \} \\ & \forall c. e.\text{balance}(c) = e.\text{balance}(c)_{\text{pre}} \wedge \\ & \forall c, m. [e.\text{Authorized}(c1, c2, m) \longleftrightarrow e.\text{Authorized}(c1, c2, m)] \end{aligned}$$

ERC20 classic spec - authorising

```
e:ERC20 ^ this = c1
    { e.approve(c2,m) }
e.Authorized(c1,c2,m)
```

ERC20 classical spec

Is that robust?

a "super-client,"
authorised on all?

a function that
takes 0.5% from
each account?

sufficient
for change of balance

who/what can
affect my balance?

```
e:ERC20 & this = c1 & e.balance(c1) < m  
{ e.transferFrom(c1, c2, m) }  
e.balance(c1) = e.balance(c1)pre - m &  
e.balance(c2) = e.balance(c2)pre + m & e.Authorized(c1, c2, m)
```

```
e:ERC20 & this = c1#c2 & !e.Authorized(c1, c2, m) &  
( !e.Authorized(c1, c2, m) & e.Authorized(c1, c2, m') &  
  e.balance(c1) < m )  
{ e.transferFrom(c2, c1, m) }  
forall c. e.balance(c) = e.balance(c)pre &  
forall c, m. [ e.Authorized(c1, c2, m) -> e.Authorized(c1, c2, m) ]
```

```
... { e.totalSupply() } ...
```

```
... { e.allowanceOf(c2) } ...
```

```
... { e.balanceOf(c) } ...
```

holistic spec - reduce balance

$\forall e:ERC20. \forall c1: Client. \forall m: Nat.$

[$e.balance(c1) = \mathbf{Was}(e.balance(c1)) - m$]



($\exists c2, c3: Client.$

$\mathbf{Was}(c1.Calls(e, transfer, c2, m))$)

\vee

$\mathbf{Was}(e.Authorized(c1, c2, m) \wedge c2.Calls(e, transferFrom, c1, c3, m))$)]

effect

necessary condition

This says: A client's balance decreases *only* if that client, or somebody authorised by that client, made a payment.

This says: A client $c1'$ is authorised by another client $c1$, iff at some time in the past the latter informed the token holder e that it authorised the former.

$e.Authorized(c1, c2, m) \equiv \mathbf{Was}^*(c1'.Calls(e.approve(c2, m)))$

holistic spec - authority

effect

$e . \text{Authorized}(c1, c2, m) \equiv$

$\text{Was}(c1.\text{Calls}(e, \text{approve}, c2, m))$

\vee

$\text{Was}(e . \text{Authorized}(c1, c2, m+m') \wedge c2.\text{Calls}(e, \text{transferFrom}, c1, _, m')))$

\vee

$\text{Was}(e . \text{Authorized}(c1, c2, m) \wedge \neg c2.\text{Calls}(e, \text{transferFrom}, c1, _, _)))$

necessary
conditions

$c2$ is authorised by $c1$ for m iff

in previous step $c1$ informed e that it authorised $c2$ for m
or

in previous step $c2$ was authorised for $m+m'$ and spent m' for $c1$
or

in previous step $c2$ was authorised for m and did not spend $c1$

classical vs holistic

```

e:ERC20 ∧ e.balance(cl) > m ∧ e.balance(cl') = m' ∧ cl ≠ cl'
    { e.transfer(cl', m) ∧ Caller = cl }
e.balance(cl) = e.balance(cl)pre - m ∧ e.balance(cl')pre = m' + m

e:ERC20 ∧ e.balance(cl) > m ∧ e.balance(cl') = m' ∧ cl ≠ cl'
    ∧ Authorized(e, cl, cl'')
    { e.transferFrom(cl', m) ∧ Caller = cl'' }
e.balance(cl) = e.balance(cl)pre - m ∧ e.balance(cl')pre = m' + m

e:ERC20 ∧ e.balance(cl) > m ∧ e.balance(cl') = m'
    { e.allow(cl') ∧ Caller = cl }
    Authorized(e, cl, cl'')

... another 7 specs ...

```

Holistic

- *necessary* conditions for some action/effect
- *explicit* about emergent behaviour

Classical

- per function; *sufficient* conditions for some action/effect
- *explicit* about individual function, and *implicit* about emergent behaviour

```

∀ e:ERC20. ∀ cl: Client.
  [ e.balance(cl) = Was(e.balance(cl)) - m )
    →
    ( ∃ cl', cl'': Client.
      Was ( cl.Calls(e.transfer(cl', m)) ) )
      ∨
      Was ( Authorized(e, cl, cl'') ∧ cl''.Calls(e.transferFrom(cl, cl', m)) ) ) ]

Authorized(cl, cl') ≡ ∃ m: Nat. Was*( cl.Calls(e.approve(cl', m)) )

```

Example2: DAO simplified

DAO, a “hub that disperses funds”; (<https://www.ethereum.org/dao>).

... clients may contribute and retrieve funds :

- `payIn (m)` pays into DAO `m` on behalf of client
- `repay ()` withdraws all moneys from DAO

Vulnerability: Through a buggy version of `repay ()`, a client could re-enter the call and deplete all funds of the DAO.

classical spec

Assuming DAO keeps a directory of contributions, and require:

R1: directory is compatible with the amount of ether kept in the DAO, and

R2: that withdraw reduces the ether by that amount.

R1: $\forall d:\text{DAO}. \quad d.\text{ether} = \sum_{c1 \in \text{dom}(d.\text{directory})} d.\text{directory}(c1)$

R2: $d:\text{DAO} \wedge n:\text{Nat} \wedge d.\text{directory}(c1)=n>0 \wedge \text{this}=c1$

$\{ \quad d.\text{repay}() \quad \}$

$d.\text{directory}(c1)=0 \wedge d.\text{Calls}(c1,\text{send},n)$

$d:\text{DAO} \wedge n:\text{Nat} \wedge d.\text{directory}(c1)=0 \wedge \text{this}=c1$

$\{ \quad d.\text{repay}() \quad \}$

“nothing changes”

This spec avoids the vulnerability, *provided* the attack goes through the function `repay`.

To avoid the vulnerability in general, we need to inspect the specification of *all* the functions in the DAO. DAO - interface has *nineteen* functions.

holistic

$$\begin{aligned} & \forall cl:\text{External}. \forall d:\text{DAO}. \forall n:\text{Nat}. \\ & [cl.\mathbf{Calls}(d.\text{repay}()) \wedge d.\text{Balance}(cl) = n \\ & \quad \rightarrow \\ & \quad d.\text{ether} \geq n \wedge \mathbf{Will}(d.\mathbf{Calls}(cl.\text{send}(n)))] \end{aligned}$$

This specification avoids the vulnerability, regardless of which function introduces it:

The DAO will always be able to repay all its customers.

$$\begin{aligned} d.\text{Balance}(cl) \equiv & \quad 0 & \quad \text{if } cl.\mathbf{Calls}(d,\text{initialize}()) \\ & m+m' & \quad \text{if } \mathbf{Was}(d.\text{Balance}(cl),m) \wedge cl.\mathbf{Calls}(d.\text{payIn}(m')) \\ & 0 & \quad \text{if } \mathbf{Was}(cl.\mathbf{Calls}(d.\text{repayIn}())) \\ & \mathbf{Was}(d.\text{Balance}(cl)) & \quad \text{otherwise} \end{aligned}$$

classical vs holistic

$\forall d:\text{DAO}. d.\text{ether} = \sum_{c1 \in \text{dom}(d.\text{directory})} d.\text{directory}(c1)$

$d:\text{DAO} \wedge n:\text{Nat} \wedge d.\text{directory}(c1)=n>0 \wedge \text{this}=c1$
 $\{ d.\text{repay}() \}$
 $d.\text{directory}(c1)=0 \wedge d.\text{Calls}(c1.\text{send}(n))$

$d:\text{DAO} \wedge n:\text{Nat} \wedge d.\text{directory}(c1)=0 \wedge \text{this}=c1$
 $\{ d.\text{repay}() \}$
 “nothing changes”

specs for another 19 functions

Holistic

- *necessary* conditions for some action/effect
- *explicit* about emergent behaviour

Classical

- per function; *sufficient* conditions for some action/effect
- *explicit* about individual function, and *implicit* about emergent behaviour

$\forall c1:\text{External}. \forall d:\text{DAO}. \forall n:\text{Nat}.$
 $[c1.\mathbf{Calls}(d.\text{repay}()) \wedge d.\text{Balance}(c1) = n$
 \rightarrow
 $d.\text{ether} \geq n \wedge \mathbf{Will}(d.\mathbf{Calls}(c1.\text{send}(n)))]$

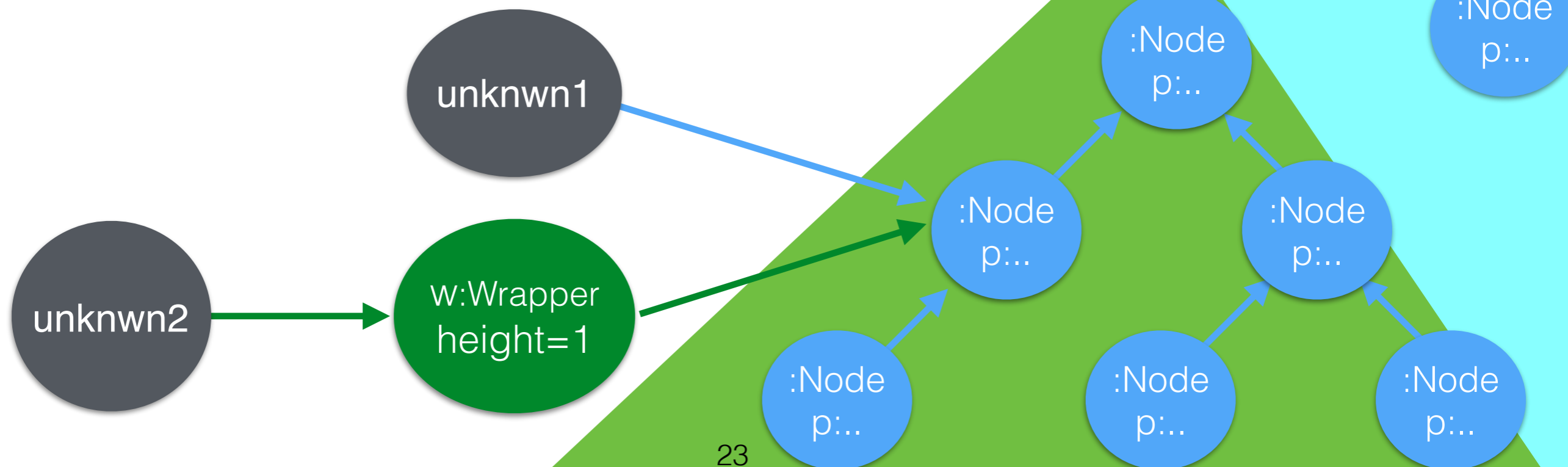
$d.\text{Balance}(c1) \equiv$

m	if $c1.\mathbf{Calls}(d,\text{initialize},m)$
$m+m'$	if $\mathbf{Was}(d.\text{Balance}(c1),m)$ $\wedge \mathbf{Was}(c1.\mathbf{Calls}(d.\text{payIn}(m')))$
0	if $\mathbf{Was}(c1.\mathbf{Calls}(d.\text{repayIn}()))$

Example 3: DOM attenuation

Access to any Node gives access to **complete** tree

Wrappers have a height;
Access to Wrapper w allows modification of Nodes under the $w.height$ -th parent **and nothing else**



DOM attenuation

```
function mm(unknown)
```

```
  n1:=Node(...); n2:=
```

```
  n2.p:="robust";
```

```
  w=Wrapper(n4,1);
```

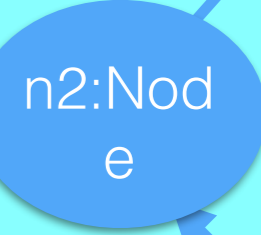
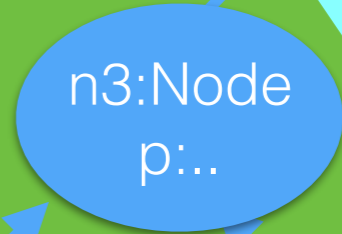
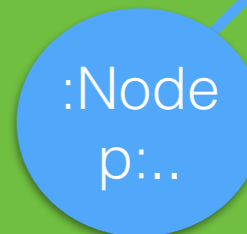
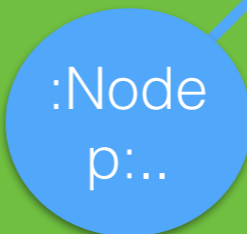
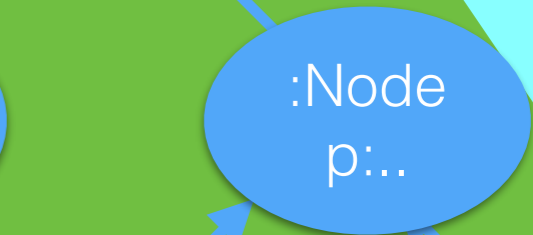
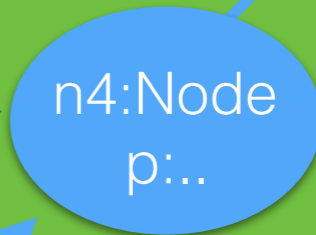
```
  unknown.untrusted(w);
```

...

open world

Here: n3.p = ????
n2.p = "robust"

How do we specify Wrapper?



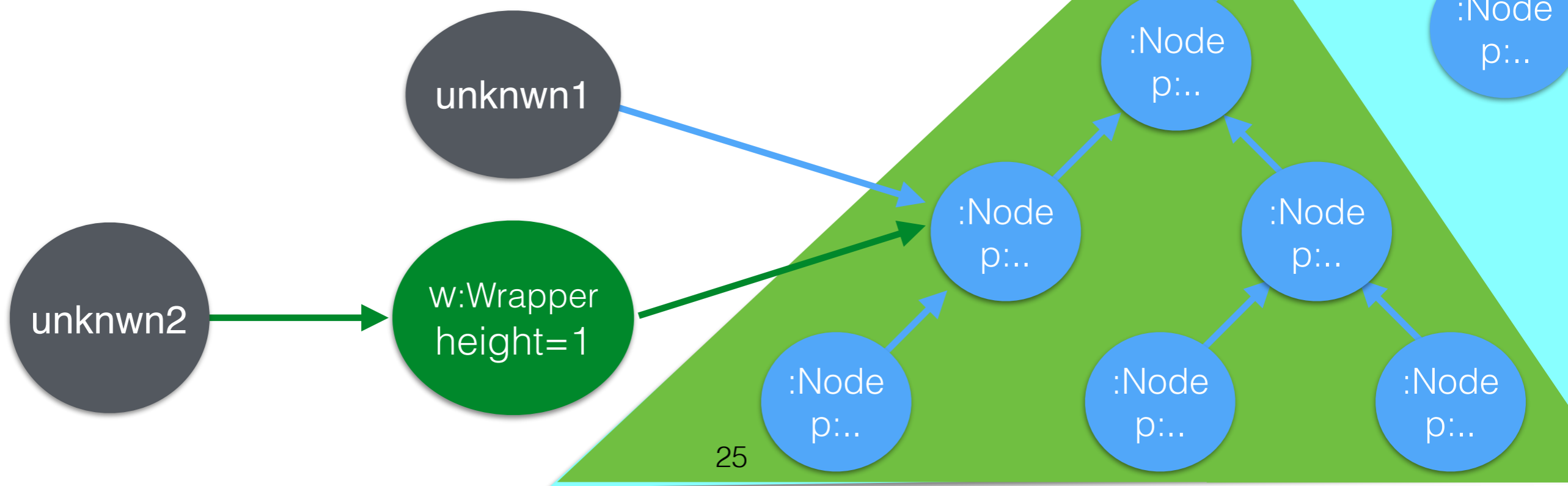
classical

Access to Wrapper w allows modification of Nodes under the $w.height$ -th parent and nothing else

?????



?????



holistic

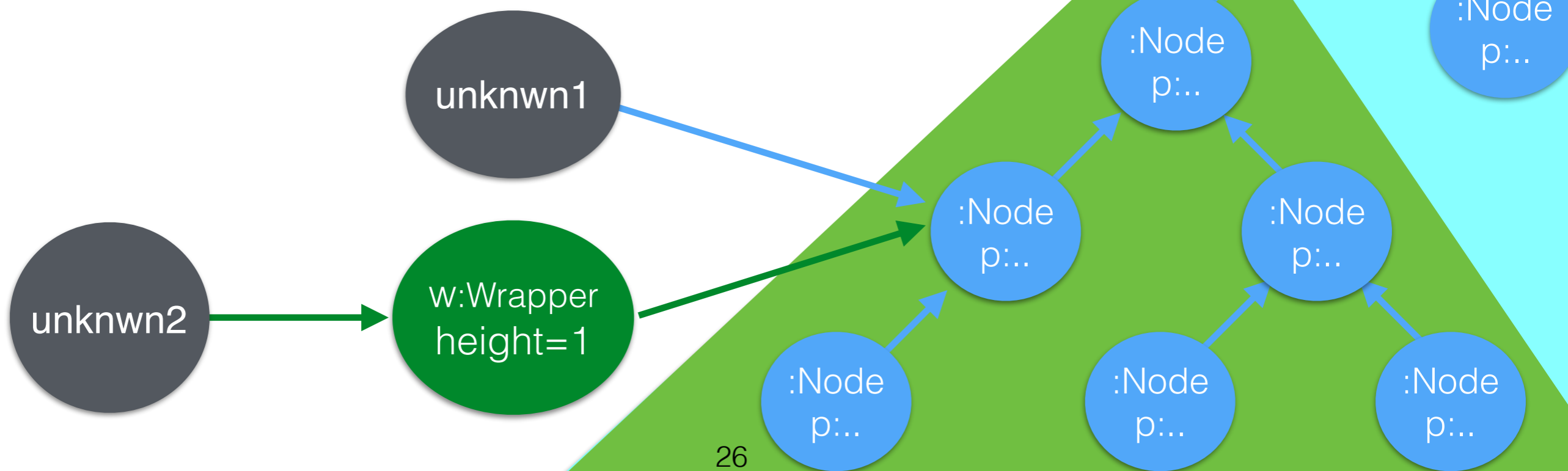
If

a node nd is external to a set S

then

any execution involving no more than S does *not* modify $nd.p$

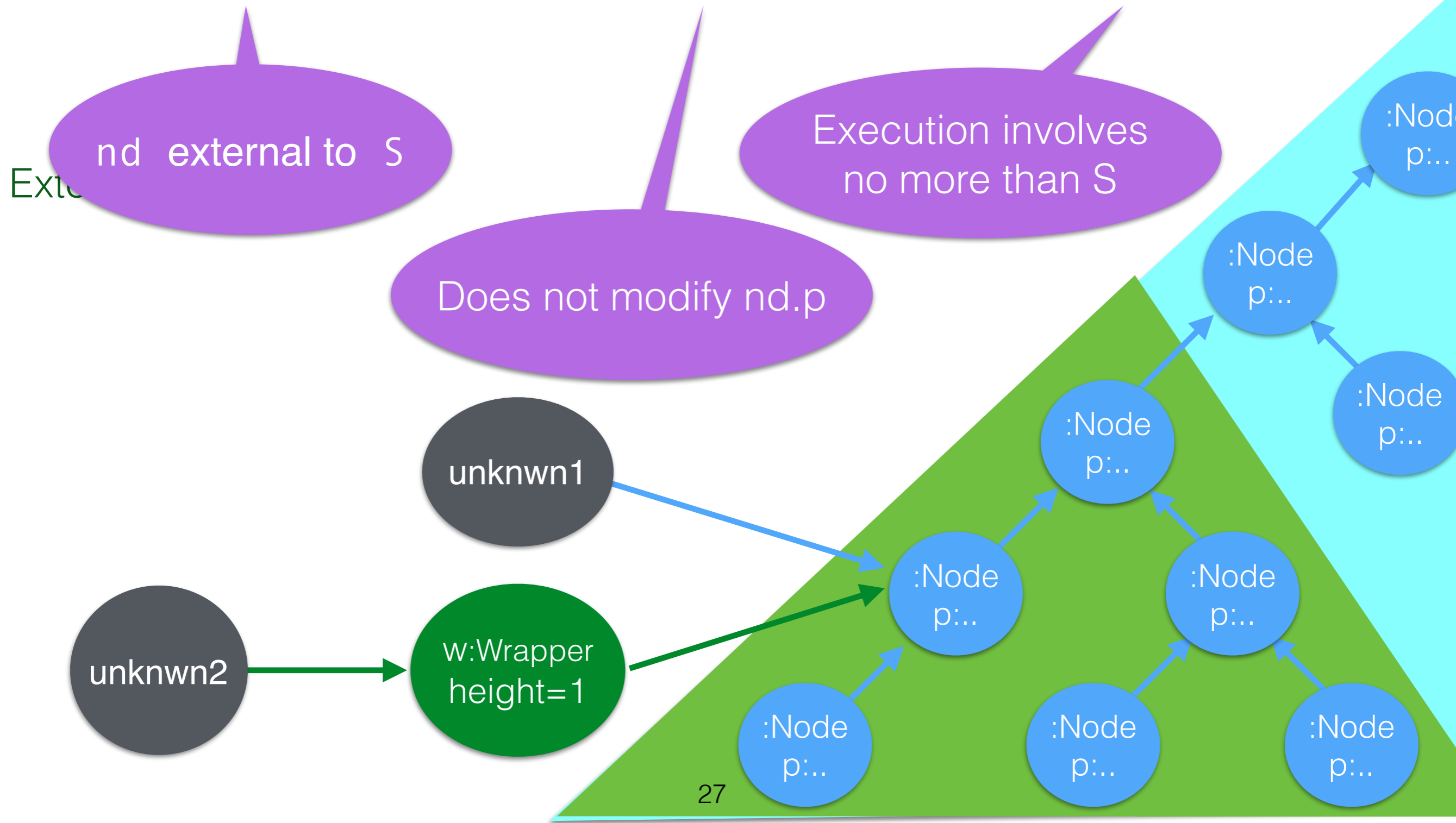
$\text{Externa}(nd, S)$ iff



holistic

$\forall S:\text{Set. } \forall nd:\text{Node.}$

$[\text{External}(nd, S) \rightarrow \neg (\mathbf{Will(Changes}(nd.p)) \text{ in } S)]$



holistic

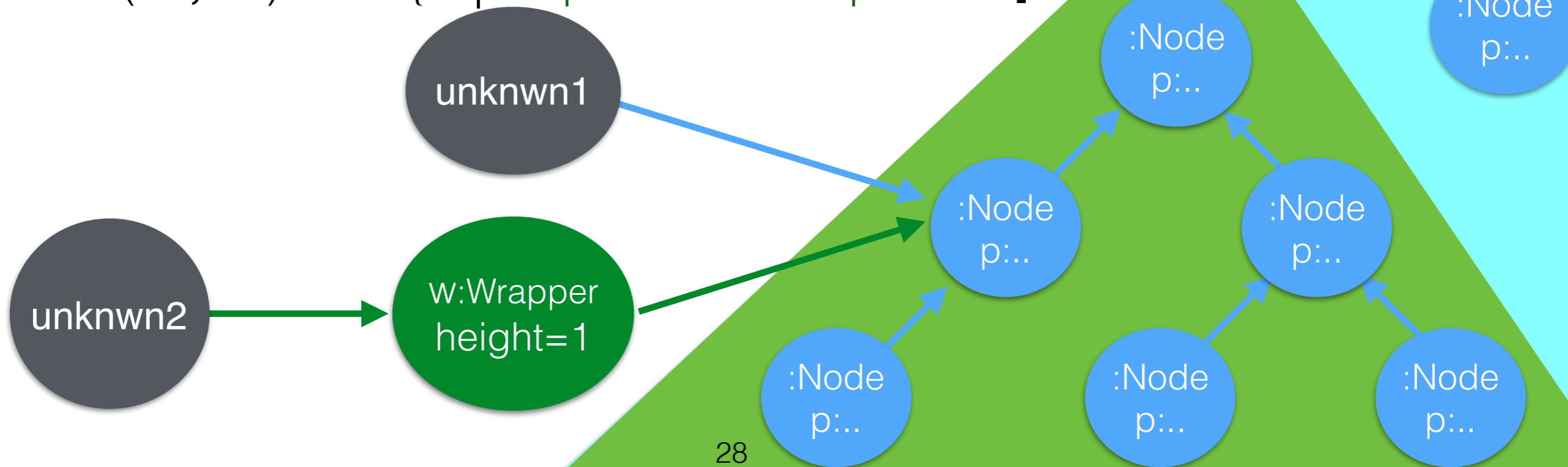
$\forall S:\text{Set. } \forall nd:\text{Node.}$

$[\text{Extenal}(nd,S) \rightarrow \neg (\mathbf{Will(Changes}(nd.p)) \text{ in } S)]$

$\text{Extenal}(nd,S) \text{ iff } \forall o \in S. \forall \text{path}$

$[o.\text{path} \neq nd \vee$
 $o:\text{Node} \vee$
 $\exists \text{path}',fs. (\text{path}=\text{path}'.fs \wedge o.\text{path}':\text{Wrapper} \wedge$
 $\text{Distance}(o.\text{path}',nd) > o.\text{path}'.\text{height})]$

$\text{Distance}(nd,nd') = \min\{ k \mid nd.\text{parent}^k = nd'.\text{parent}^j \}$



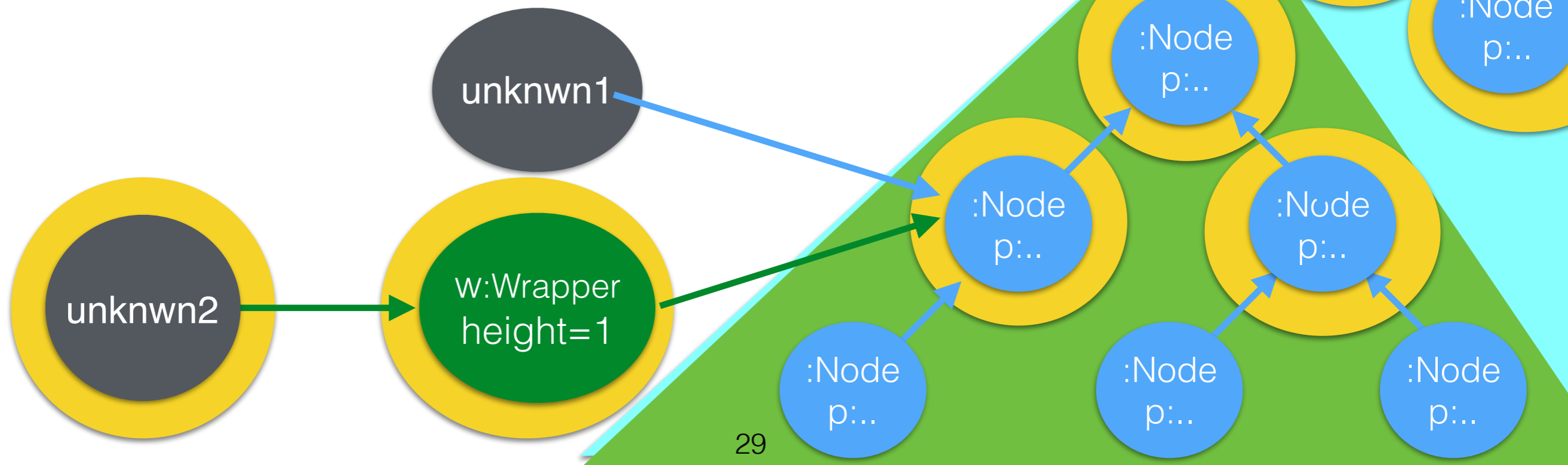
external

Extenal(**RedNode**, **YellowSet**)

Extenal(nd,S) iff $\forall o \in S. \forall \text{path}$

[$o.\text{path} \neq \text{nd} \vee$
 $o:\text{Node} \vee$
 $\exists \text{path}', \text{fs}. (\text{path} = \text{path}'.\text{fs} \wedge o.\text{path}' : \text{Wrapper} \wedge$
 $\text{Distance}(o.\text{path}', \text{nd}) > o.\text{path}'.\text{height})$]

$\text{Distance}(\text{nd}, \text{nd}') = \min\{ k \mid \text{nd}.\text{parent}^k = \text{nd}'.\text{parent}^j \}$



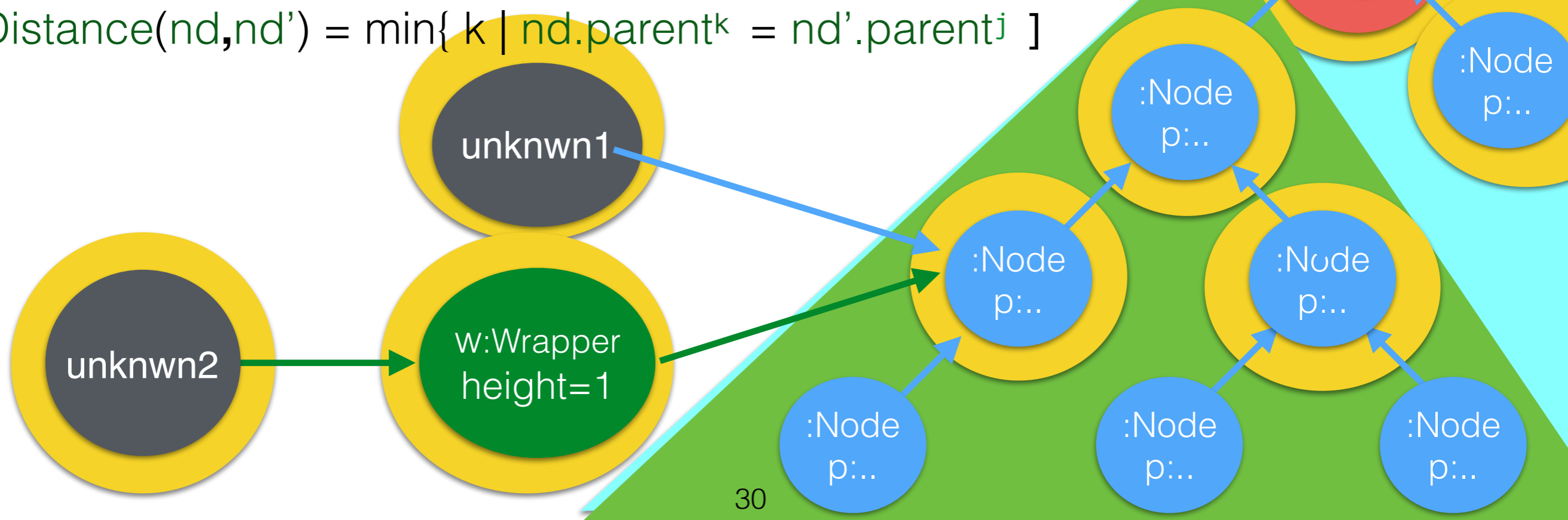
external

External(**RedNode**, **YellowSet**)

External(nd,S) iff $\forall o \in S. \forall \text{path}$

[$o.\text{path} \neq \text{nd} \vee$
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 $\text{Distance}(o.\text{path}', \text{nd}) > o.\text{path}'.\text{height})$]

Distance(nd,nd') = $\min\{ k \mid \text{nd}.\text{parent}^k = \text{nd}'.\text{parent}^j \}$



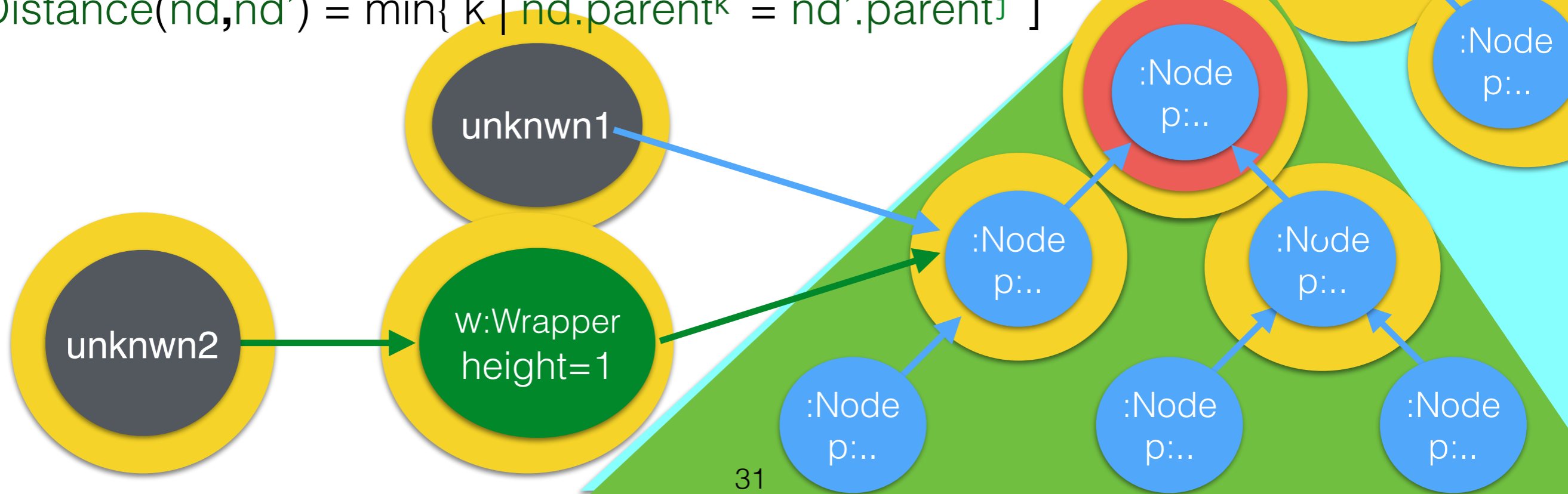
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 $\text{Distance}(o.\text{path}', \text{nd}) > o.\text{path}'.\text{height})$]

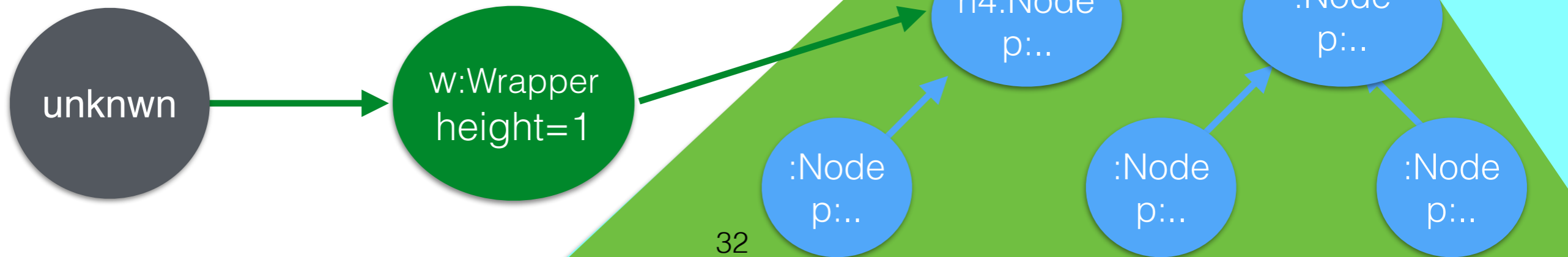
Distance(nd,nd') = $\min\{ k \mid \text{nd}.\text{parent}^k = \text{nd}'.\text{parent}^j \}$



using holistic spec

```
function mm(unknown) {  
  n1:=Node (...); n2:=Node (n1, ...); n3:=Node (n2, ...); n4:=Node (n3, ...);  
  n2.p:="robust"; n3.p:="volatile";  
  w=Wrapper (n4, 1);  
  unknown.untrusted(w);  
  ...  
}
```

With holistic spec we can show that despite the call to unknown object, at this point:
 $n2.p = \text{"robust"}$



Bank and Account

- Banks and Accounts
- Accounts hold money
- Money can be transferred between Accounts
- A banks' currency = sum of balances of accounts held by bank

[Miller et al, Financial Crypto 2000]

Bank/Account - 2

classical

robustness

- **Pol_1:** With two accounts of same bank one can transfer money between them.
- **Pol_2:** Only someone with the Bank of a given currency can violate conservation of that currency
- **Pol_3:** The bank can only inflate its own currency
- **Pol_4:** No one can affect the balance of an account they do not have.
- **Pol_5:** Balances are always non-negative.
- **Pol_6:** A reported successful deposit can be trusted as much as one trusts the account one is depositing to.

[Miller et al, Financial Crypto 2000]

Pol_4 — holistic

- **Pol_4**: No-one can affect the balance of an account they do not have

$a:\text{Account} \wedge \text{Will}(\text{Changes}(a.\text{balance}) \text{ in } S)$

→

necessary condition
 $\exists o \in S. \text{Access}(o, a)$

This says: If some execution starts now and involves at most the objects from S , and modifies $a.\text{balance}$ at some future time, then at least one of the objects in S can access a directly now.

Pol_4 — classical

- **Pol_4**: No-one can affect the balance of an account they do not have

?????



?????

Today

- Traditional Specifications do not adequately address Robustness
- Holistic Specifications — Summary and by Example
- **Holistic Specification Semantics**

Giving meaning to holistic Assertions

We define in a “conventional” way (omit from slides):

module $M : \text{Ident} \longrightarrow \text{ClassDef} \cup \text{PredicateDef} \cup \text{FunctionDef}$
 configuration $\sigma : \text{Heap} \times \text{Stack} \times \text{Code}$
 execution $M, \sigma \rightsquigarrow \sigma'$

Define module concatenation $*$ so that

$M * M'$ undefined, iff $\text{dom}(M) \cap \text{dom}(M') \neq \emptyset$

otherwise

$(M * M')(id) = M(id)$ if $M'(id)$ undefined, else $M'(id)$

Lemma define

- $M * M' = M \wedge M'$
- $(M1 * M2) * M3 = M1 * (M2 * M3)$
- $M, \sigma \rightsquigarrow \sigma' \wedge M \wedge M'$ defined $\longrightarrow M * M', \sigma \rightsquigarrow \sigma'$

Giving meaning to holistic Assertions

We define in a “conventional” way (omit from slides):

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configuration $\sigma : \text{Heap} \times \text{Stack} \times \text{Code}$
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Define module concatenation $*$ so that

$M * M'$ undefined, iff $\text{dom}(M) \cap \text{dom}(M') \neq \emptyset$

otherwise

$(M * M')(id) = M(id)$ if $M'(id)$ undefined, else $M'(id)$

We will define $M, \sigma \models A$

$\text{Initial}(\sigma)$ and $\text{Arising}(M)$

$M \models A$

Holistic Assertions — summary

$e ::= \text{this} \mid x \mid e.\text{fld} \mid \text{func}(e_1, \dots, e_n) \mid \dots$

$A ::= e > e \mid e = e \mid P(e_1, \dots, e_n) \mid \dots$
 $\mid A \rightarrow A \mid A \wedge A \mid \exists x. A \mid \dots$

\mid **Access**(e, e')

permission

\mid **Changes**(e)

authority

\mid **Will**(A) \mid **Was**(A)

time

\mid A **in** S

space

\mid x .**Call**(y, m, z_1, \dots, z_n)

control

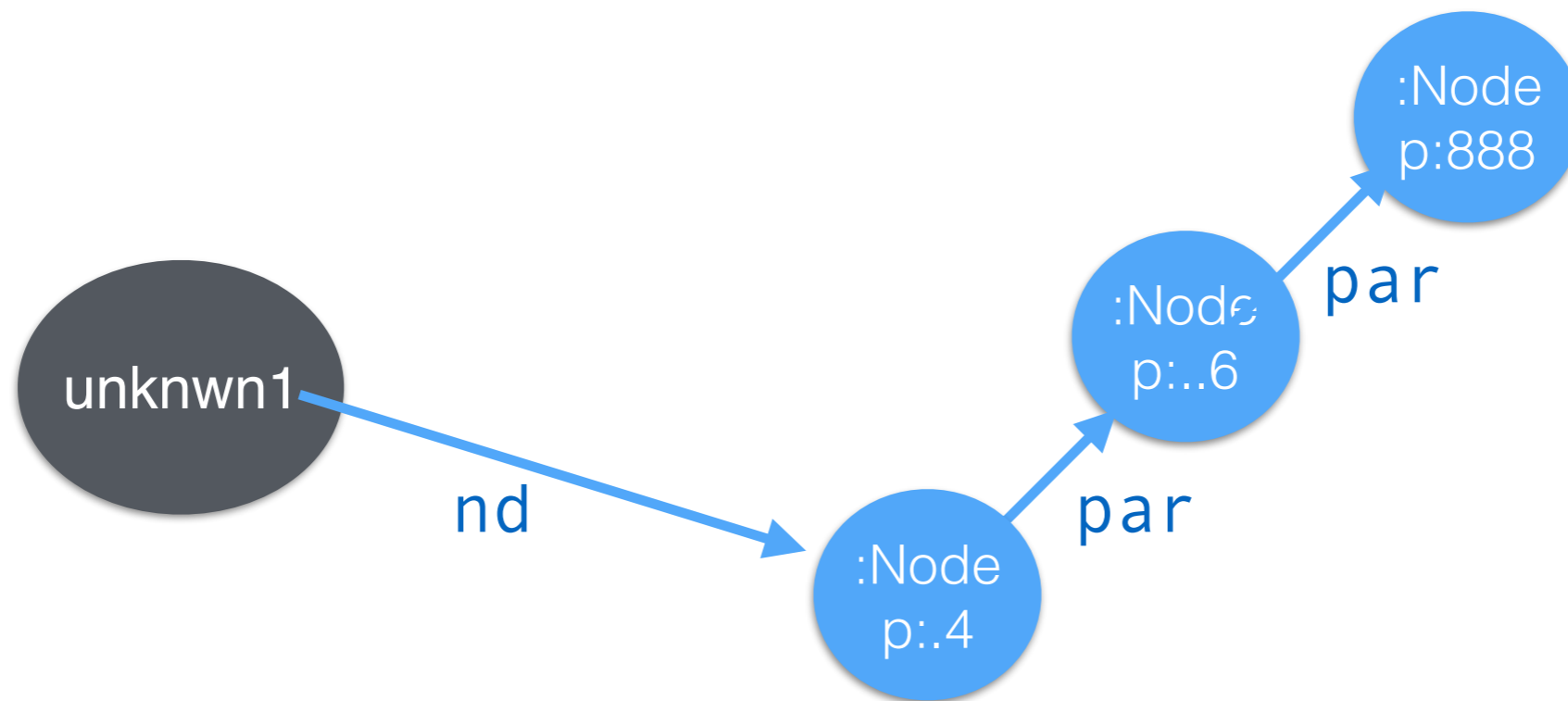
\mid x **obeys** A

trust

Semantics of Expressions

$e ::= \text{this} \mid x \mid e.\text{fld} \mid \text{func}(e_1, \dots, e_n) \mid \dots$

Define $\llbracket e \rrbracket_{M, \sigma}$ as expected



Eg, $\llbracket \text{unkwn1.nd.par.par.p} \rrbracket_{M, \sigma} = 888$

Semantics of holistic Assertions

“Conventional part”

$A ::= e > e \mid A \rightarrow A \mid \exists x.A \mid \dots$

We define $M, \sigma \models A$

$M, \sigma \models e > e'$ iff $\llbracket e \rrbracket_{M, \sigma} > \llbracket e' \rrbracket_{M, \sigma}$

$M, \sigma \models A \rightarrow A'$ iff $M, \sigma \models A$ implies $M, \sigma \models A'$

$M, \sigma \models \exists x.A$ iff $M, \sigma[z \mapsto \iota] \models A[x \mapsto z]$
for some $\iota \in \text{dom}(\sigma.\text{heap})$, and z free in A

Semantics of holistic Assertions

“Unconventional part”

$A ::= \mathbf{Access}(x, x') \mid \mathbf{Changes}(e) \mid \mathbf{Will}(A) \mid A \mathbf{in} S \mid x.\mathbf{Calls}(y, m, z_1, \dots, z_n)$

$M, \sigma \models \mathbf{Access}(x, x')$ iff $\lfloor x \rfloor_{M, \sigma} = \lfloor x' \rfloor_{M, \sigma} \quad \forall$
 $\lfloor x.fld \rfloor_{M, \sigma} = \lfloor x' \rfloor_{M, \sigma}$ for some field fld $\quad \forall$
 $\lfloor \text{this} \rfloor_{M, \sigma} = \lfloor x \rfloor_{M, \sigma} \wedge \lfloor y \rfloor_{M, \sigma} = \lfloor x' \rfloor_{M, \sigma}$
 $\wedge y$ is formal parameter of current function

$M, \sigma \models \mathbf{Changes}(e)$ iff $M, \sigma \rightsquigarrow \sigma' \wedge \lfloor e \rfloor_{M, \sigma} \neq \lfloor e \rfloor_{M, \sigma'}$

$M, \sigma \models \mathbf{Will}(A)$ iff $\exists \sigma'. [M, \sigma \rightsquigarrow^* \sigma' \wedge M, \sigma' \models A]$

$M, \sigma \models A \mathbf{in} S$ iff $M, \sigma @_{O_S} \models A$ where $O_S = \lfloor S \rfloor_{M, \sigma}$

$M, \sigma \models x.\mathbf{Calls}(y, m, z_1, \dots, z_n)$ iff $\lfloor \text{this} \rfloor_{M, \sigma} = \lfloor x \rfloor_{M, \sigma} \wedge \sigma.\text{code} = y'.m(z_1' \dots z_n') \wedge \dots$

Semantics of holistic Assertions

- the full truth -

$M, \sigma \models \mathbf{Access}(e, e')$ iff ... as before ...

$M, \sigma \models \mathbf{Changes}(e)$ iff $M, \sigma \rightsquigarrow \sigma' \wedge \llbracket e \rrbracket_{M, \sigma} \neq \llbracket e[z \mapsto y] \rrbracket_{M, \sigma'[y \mapsto \sigma(z)]}$
where $\{z\} = \text{Free}(e) \wedge y$ fresh in e, σ, σ'

$M, \sigma \models \mathbf{Will}(A)$ iff $\exists \sigma', \sigma'', \phi. [\sigma = \sigma'.\phi \wedge M, \phi \rightsquigarrow^* \sigma' \wedge$
 $M, \sigma'[y \mapsto \sigma(z)] \models A[z \mapsto y]]$
where $\{z\} = \text{Free}(A) \wedge y$ fresh in A, σ, σ'

$M, \sigma \models A \text{ In } S$ iff $M, \sigma @_{O_S} \models A$ where $O_S = \llbracket S \rrbracket_{M, \sigma}$

$M, \sigma \models x.\mathbf{Calls}(y, m, z_1, \dots, z_n)$ iff ... as before ...

Giving outstanding definitions

$M, \sigma \models \mathbf{Access}(x, y)$ iff

$M, \sigma \models \mathbf{Changes}(e)$ iff

$M, \sigma \models \mathbf{Will}(A)$ iff ...

$M, \sigma \models A \mathbf{in} S$ iff $M, \sigma @ OS \models A$ where $OS = \lfloor S \rfloor_{M, \sigma}$

$M, \sigma \models x.\mathbf{Calls}(y.m(x_1, \dots, x_n))$ iff ...

outstanding definitions: Initial, and Arising

A runtime configuration is *initial* iff

- 1) The heap contains only one object, of class Object
- 2) The stack consists of just one frame, where **this** points to that object.

The code can be arbitrary

Initial(σ) iff $\sigma.\text{heap}=(1 \mapsto (\text{Object}, \dots)) \wedge \sigma.\text{stack}=(\text{this} \mapsto 1).[]$

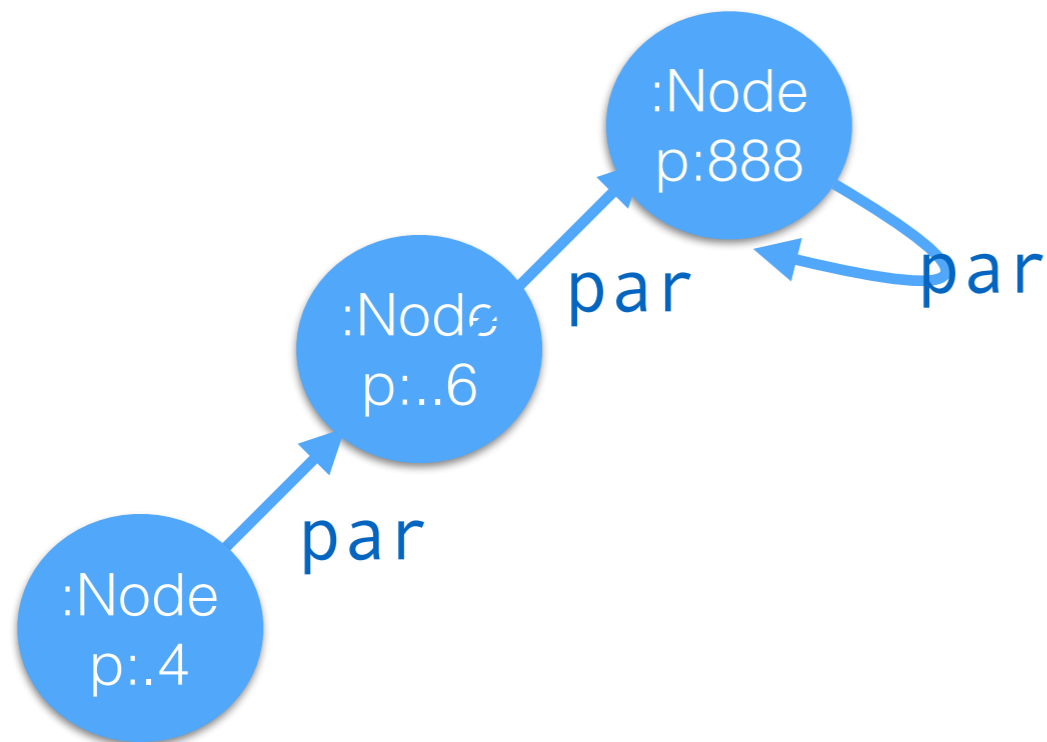
A runtime configuration σ *arises* from a module M if there is some initial configuration σ_0 whose execution M in reaches σ in a finite number of steps.

Arising(M) = $\{ \sigma \mid \exists \sigma_0. \text{Initial}(\sigma_0) \wedge M, \sigma_0 \rightarrow^* \sigma \}$

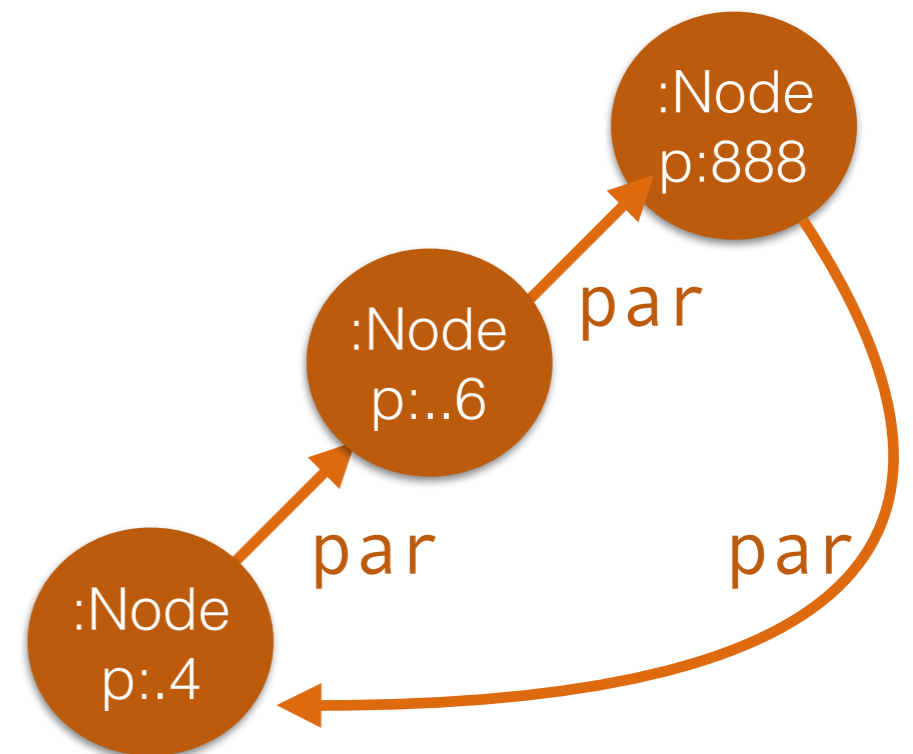
Arising expresses “defensiveness”

Assume a Tree-module, M_{tree} .

blue configuration
arises from $M_{tree} * M'$
for some module M'



brown configuration
does not arise from $M_{tree} * M'$
for any module M'



Giving meaning to Assertions

$$M \models A \text{ iff } \forall M'. \forall \sigma \in \text{Arising}(M * M'). M * M', \sigma \models A$$

A module M satisfies an assertion A if all runtime configurations σ which arise from execution of code from $M * M'$ (for any module M'), satisfy A .

open world

Entailments

Definitions

- $M \models A \subseteq A'$ iff $\forall \sigma \in \text{Arising}(M). [M, \sigma \models A \rightarrow M, \sigma \models A']$
- $M \models A \sqsubseteq A'$ iff $M \models A \rightarrow M \models A'$

Facts

- $M \models A \subseteq A'$ implies $M \models A \sqsubseteq A'$
- $M \models A \sqsubseteq A'$ does not imply $M \models A \subseteq A'$
- $M \models (\mathbf{Will}(A) \rightarrow A') \sqsubseteq (A' \rightarrow \mathbf{Was}(A))$
- $M \models A @ S$ and $M \models S \subseteq S'$ imply $M \models A @ S'$
- $M \models (\bullet A) @ S$ and $M, \sigma \models (A @ S)$ and $M, \sigma \models S \subseteq S'$ imply $M, \sigma \models A @ S'$
- If A monotonic, then
 $M, \sigma \models (\bullet A) @ S$ and $M, \sigma \models S' = \text{Allocated}$ imply $M, \sigma \models \bullet (A @ (\text{Su}(\text{Allocated} \setminus S')))$

Summary of our Proposal

$A ::= e > e \mid e = e \mid f(e_1, \dots, e_n) \mid \dots$
 $\mid A \rightarrow A \mid A \wedge A \mid \exists x. A \mid \dots$

$\mid \mathbf{Access}(x, y)$

permission

$\mid \mathbf{Changes}(e)$

authority

$\mid \mathbf{Will}(A) \mid \mathbf{Was}(A)$

time

$\mid A \mathbf{in} S$

space

$\mid x. \mathbf{Calls}(y, m, z_1, \dots, z_n)$

call

Initial(σ)

$M, \sigma \models A$

Arising(M)

$M \models A$

Classical vs Holistic Specification

- fine-grained
- per function

- ADT as a whole
- emergent behaviour

Which is “stronger”?

“Closed” ADT with classical spec implies holistic spec.

(closed: no functions can be added, all functions have classical specs, ghost state has known representation)

Why do we need holistic specs?

- * “closed ADT” is sometimes too strong a requirement.
- * Holistic aspect is cross-cutting (eg no payment without authorization)
- * Allows reasoning in open world (eg DOM wrappers)